

11

Sampling Outcomes

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This chapter reports on PISA sampling outcomes. Details of the sample design are given in Chapter 4.

Table 11.1 shows the various quality indicators for population coverage and the various pieces of information used to derive them. The following notes explain the meaning of each coverage index and how the data in each column of the table were used.

Indices 1, 2 and 3 are intended to measure PISA population coverage. Indices 4 and 5 are intended to be diagnostic in cases where indices 1, 2 or 3 have unexpected values. Many references are made in this chapter to the various sampling forms on which NPMs documented statistics and other information needed in undertaking the sampling.

Index 1: Coverage of the national population, calculated by $P/(P+E) \times 3[c]/3[a]$:

- The national population (NP), defined by sampling form 3 response box [a] and denoted here as 3[a] (and in Table 11.1 as target population) is the population that includes all enrolled 15-year-olds in grades 7 and above in each country (with the possibility of small levels of exclusions), based on national statistics. However, the final NP reflected on each country's school sampling frame might have had some school-level exclusions. The value that represents the population of enrolled 15-year-olds minus those in excluded schools is represented initially by response box [c] on sampling form 3. It is denoted here as 3[c]. As in PISA 2003, the procedure for PISA 2006 was that very small schools having only one or two eligible students could not be excluded from the school frame but could be excluded in the field if they still had exactly only one or two eligible students at the time of data collection. Therefore, what is noted in index 1 as 3[c] (and in Table 11.1 as target minus school level exclusions) is a number that excludes schools excluded from the sampling frame in addition to those schools excluded in the field. Thus, the term 3[c]/3[a] provides the proportion of the NP covered in each country based on national statistics;
- The value ($P+E$) provides the weighted estimate from the student sample of all eligible 15-year-olds in each country, where P is the weighted estimate of eligible non-excluded 15-year-olds and E is the weighted estimate of eligible 15-year-olds that were excluded within schools. Therefore, the term $P/(P+E)$ provides an estimate, based on the student sample, of the proportion of the eligible 15-year-old population represented by the non-excluded eligible 15-year-olds;
- Thus the result of multiplying these two proportions together (3[c]/3[a] and $P/(P+E)$) indicates the overall proportion of the NP covered by the non-excluded portion of the student sample.

Index 2: Coverage of the national enrolled population, calculated by $P/(P+E) \times 3[c]/2[b]$:

- The national enrolled population (NEP), defined by sampling form 2 response box [b] and denoted here as 2[b] (and as enrolled 15-year-olds in Table 11.1), is the population that includes all enrolled 15-year-olds in grades 7 and above in each country, based on national statistics. The final NP, denoted here as 3[c] as described above for coverage index 1, reflects the 15-year-old population after school-level and other small exclusions. This value represents the population of enrolled 15-year-olds less those in excluded schools;
- The value ($P+E$) provides the weighted estimate from the student sample of all eligible 15-year-olds in each country, where P is the weighted estimate of eligible non-excluded 15-year-olds and E is the weighted estimate of eligible 15-year-olds that were excluded within schools. Therefore, the term $P/(P+E)$ provides an estimate based on the student sample of the proportion of the eligible 15-year-old population that is represented by the non-excluded eligible 15-year-olds;
- Multiplying these two proportions together (3[c]/2[b] and $P/(P+E)$) gives the overall proportion of the NEP that is covered by the non-excluded portion of the student sample.



Index 1 shows the extent to which the weighted participants cover the final target population after all school exclusions.

Index 2 shows the extent to which the weighted participants cover the target population of all enrolled students in grades 7 and above.

Index 1 and Index 2 will differ when countries have excluded geographical areas or language groups apart from other school level exclusions.

Index 3: Coverage of the national 15-year-old population, calculated by $P/2[a]$:

- The national population of 15-year-olds, defined by sampling form 2 response box [a] and denoted here as $2[a]$ (and called all 15-year-olds in Table 11.1, is the entire population of 15-year-olds in each country (enrolled and not enrolled), based on national statistics. The value P is the weighted estimate of eligible non-excluded 15-year-olds from the student sample. Thus $P/2[a]$ indicates the proportion of the national population of 15-year-olds covered by the non-excluded portion of the student sample;

Index 4: Coverage of the estimated school population, calculated by $(P+E)/S$:

- The value $(P+E)$ provides the weighted estimate from the student sample of all eligible 15-year-olds in each country, where P is the weighted estimate of eligible non-excluded 15-year-olds and E is the weighted estimate of eligible 15-year-olds who were excluded within schools;
- The value S is an estimate of the 15-year-old school population in each country (called estimate of enrolled students on frame in Table 11.1). This is based on the actual or (more often) approximate number of 15-year-olds enrolled in each school in the sample, prior to contacting the school to conduct the assessment. The S value is calculated as the sum over all sampled schools of the product of each school's sampling weight and its number of 15-year-olds (ENR) as recorded on the school sampling frame. In the infrequent case where the ENR value was not available, the number of 15-year-olds from the student tracking form was used;
- Thus, $(P+E)/S$ is the proportion of the estimated school 15-year-old population that is represented by the weighted estimate from the student sample of all eligible 15-year-olds. Its purpose is to check whether the student sampling has been carried out correctly, and to assess whether the value of S is a reliable measure of the number of enrolled 15-year-olds. This is important for interpreting Index 5.

Index 5: Coverage of the school sampling frame population, calculated by $S/3[c]$:

- The value $S/3[c]$ is the ratio of the enrolled 15-year-old population, as estimated from data on the school sampling frame, to the size of the enrolled student population, as reported on sampling form 3 and adjusted by removing any additional excluded schools in the field. In some cases, this provides a check as to whether the data on the sampling frame give a reliable estimate of the number of 15-year-olds in each school. In other cases, however, it is evident that $3[c]$ has been derived using data from the sampling frame by the National Project Manager, so that this ratio may be close to 1.0 even if enrolment data on the school sampling frame are poor. Under such circumstances, Index 4 will differ noticeably from 1.0, and the figure for $3[c]$ will also be inaccurate.

Tables 11.2, 11.3, 11.4 present school and student-level response rates.

Table 11.2 indicates the rates calculated by using only original schools and no replacement schools. Table 11.3 indicates the improved response rates when first and second replacement schools were accounted for in the rates. Table 11.4 indicates the student response rates among the full set of participating schools.



Table 11.1 [Part 1/3]
Sampling and coverage rates

| | All 15-year-olds | Enrolled 15-year-olds | Target population | School level exclusions | Target minus school level exclusions | % school level exclusions | Estimate of enrolled students on frame | Participants | | Excluded | | |
|-------------|-----------------------------|-----------------------|-------------------|-------------------------|--------------------------------------|---------------------------|--|--------------|------------|--------------|-----------|------------|
| | | | | | | | | Actual | Weighted | Actual | Weighted | |
| OECD | Australia | 270 115 | 256 754 | 255 554 | 1 371 | 0.54 | 251 221.74 | 14 170 | 234 939.52 | 234 | 2 934.61 | |
| | Austria | 97 337 | 92 149 | 92 149 | 401 | 0.43 | 92 606.34 | 4 927 | 89 925.11 | 94 | 1 585.63 | |
| | Belgium | 124 943 | 124 557 | 124 216 | 2 957 | 2.38 | 123 596.62 | 8 857 | 123 161.45 | 28 | 401.21 | |
| | Belgium-Flanders | 69 650 | 68 662 | 68 321 | 1 201 | 1.76 | 67 048.31 | 5 124 | 69 409.16 | 16 | 214.53 | |
| | Canada | 426 967 | 428 876 | 424 238 | 5 141 | 1.21 | 418 565.11 | 22 646 | 370 879.36 | 1 681 | 20 339.28 | |
| | Czech Republic | 127 748 | 124 764 | 124 764 | 1 124 | 0.90 | 125 258.79 | 5 932 | 128 827.19 | 8 | 202.51 | |
| | Denmark | 66 989 | 65 984 | 65 984 | 1 871 | 2.84 | 57 156.10 | 4 532 | 57 012.63 | 170 | 1 960.32 | |
| | Finland | 66 232 | 66 232 | 66 232 | 1 257 | 1.90 | 65 085.51 | 4 714 | 61 386.99 | 135 | 1 649.63 | |
| | France | 809 375 | 809 375 | 777 194 | 19 397 | 2.50 | 757 511.93 | 4 716 | 739 428.06 | 28 | 3 876.20 | |
| | Germany | 951 535 | 1 062 920 | 1 062 920 | 6 009 | 0.57 | 950 350.10 | 4 891 | 903 512.45 | 37 | 6 016.55 | |
| | Greece | 107 505 | 110 663 | 110 663 | 640 | 110 023 | 0.58 | 104 827.25 | 4 873 | 96 411.69 | 65 | 1 396.91 |
| | Hungary | 124 444 | 120 061 | 120 061 | 3 230 | 116 831 | 2.69 | 114 424.54 | 4 490 | 106 010.05 | 31 | 1 103.26 |
| | Ireland | 58 667 | 57 648 | 57 510 | 50 | 57 460 | 0.09 | 57 245.39 | 4 585 | 55 114.26 | 93 | 937.20 |
| | Italy | 578 131 | 639 971 | 639 971 | 16 | 639 955 | 0.00 | 623 569.70 | 21 773 | 520 055.20 | 363 | 8 984.12 |
| | Italy-Basilicata | 7 071 | 8 404 | 8 404 | 0 | 8 404 | 0.00 | 7 736.12 | 1 507 | 6 422.46 | 9 | 41.91 |
| | Italy-Bolzano | 5 314 | 5 116 | 5 116 | 0 | 5 116 | 0.00 | 4 917.44 | 2 084 | 4 654.76 | 28 | 56.81 |
| | Italy-Campania | 76 596 | 80 108 | 80 108 | 0 | 80 108 | 0.00 | 79 658.99 | 1 406 | 67 443.20 | 9 | 323.03 |
| | Italy-Emilia Romagna | 31 879 | 35 926 | 35 926 | 0 | 35 926 | 0.00 | 35 160.37 | 1 531 | 29 500.54 | 34 | 569.50 |
| | Italy-Friuli Venezia Giulia | 9 312 | 10 277 | 10 277 | 0 | 10 277 | 0.00 | 10 123.28 | 1 578 | 8 534.10 | 15 | 84.38 |
| | Italy-Liguria | 11 739 | 13 839 | 13 839 | 16 | 13 823 | 0.12 | 13 061.63 | 1 753 | 11 747.49 | 45 | 222.09 |
| | Italy-Lombardia | 81 088 | 89 897 | 89 897 | 0 | 89 897 | 0.00 | 88 462.73 | 1 524 | 69 524.95 | 40 | 1 913.41 |
| | Italy-Piemonte | 35 309 | 39 070 | 39 070 | 0 | 39 070 | 0.00 | 38 250.67 | 1 478 | 34 069.59 | 31 | 717.74 |
| | Italy-Puglia | 48 518 | 50 168 | 50 168 | 0 | 50 168 | 0.00 | 48 922.23 | 1 540 | 45 333.52 | 10 | 351.27 |
| | Italy-Sardegna | 17 297 | 19 564 | 19 564 | 0 | 19 564 | 0.00 | 19 280.96 | 1 390 | 16 136.50 | 16 | 218.57 |
| | Italy-Sicilia | 63 369 | 68 146 | 68 146 | 0 | 68 146 | 0.00 | 66 178.54 | 1 354 | 54 116.13 | 28 | 1 135.19 |
| | Italy-Trento | 4 821 | 5 653 | 5 653 | 0 | 5 653 | 0.00 | 5 391.76 | 1 757 | 4 316.52 | 42 | 71.45 |
| | Italy-Veneto | 41 926 | 49 511 | 49 511 | 0 | 49 511 | 0.00 | 48 677.17 | 1 530 | 40 070.67 | 34 | 852.25 |
| | Japan | 1 246 207 | 1 222 171 | 1 222 171 | 16 604 | 1 205 567 | 1.36 | 1 182 687.63 | 5 952 | 1 113 700.93 | 0 | 0.00 |
| | Korea | 660 812 | 627 868 | 627 868 | 3 461 | 624 407 | 0.55 | 576 636.64 | 5 176 | 576 669.37 | 4 | 624.93 |
| | Luxembourg | 4 595 | 4 595 | 4 595 | 0 | 4 595 | 0.00 | 4 955.00 | 4 567 | 4 733.00 | 193 | 193.00 |
| | Mexico | 2 200 916 | 1 383 364 | 1 383 364 | 0 | 1 383 364 | 0.00 | 1 342 897.79 | 30 971 | 1 190 420.04 | 49 | 3 217.25 |
| | Netherlands | 197 046 | 193 769 | 193 769 | 57 | 193 712 | 0.03 | 199 533.05 | 4 871 | 189 575.82 | 7 | 226.95 |
| | New Zealand | 63 800 | 59 341 | 59 341 | 451 | 58 890 | 0.76 | 59 089.52 | 4 823 | 53 397.58 | 222 | 2 134.96 |
| | Norway | 61 708 | 61 449 | 61 373 | 412 | 60 961 | 0.67 | 60 368.65 | 4 692 | 59 884.49 | 156 | 1 764.49 |
| | Poland | 549 000 | 546 000 | 546 000 | 10 400 | 535 600 | 1.90 | 532 060.81 | 5 547 | 515 992.95 | 18 | 1 684.94 |
| | Portugal | 115 426 | 100 816 | 100 816 | 0 | 100 816 | 0.00 | 99 961.25 | 5 109 | 90 078.87 | 112 | 1 889.87 |
| | Slovak Republic | 79 989 | 78 427 | 78 427 | 1 355 | 77 072 | 1.73 | 76 671.38 | 4 731 | 76 200.83 | 11 | 193.02 |
| | Spain | 439 415 | 436 885 | 436 885 | 3 930 | 432 955 | 0.90 | 423 903.57 | 19 604 | 381 685.95 | 557 | 10 386.16 |
| | Spain-La Rioja | 2 737 | 2 619 | 2 619 | 11 | 2 608 | 0.42 | 2 641.00 | 1 333 | 2 494.35 | 56 | 107.08 |
| | Spain-Basque Country | 16 820 | 17 967 | 17 967 | 42 | 17 925 | 0.23 | 15 753.72 | 3 929 | 14 706.61 | 81 | 294.97 |
| | Spain-Navarra | 5 298 | 4 903 | 4 903 | 20 | 4 883 | 0.41 | 4 952.20 | 1 590 | 4 677.66 | 37 | 98.12 |
| | Spain-Galicia | 24 269 | 26 420 | 26 420 | 90 | 26 330 | 0.34 | 23 724.51 | 1 573 | 22 577.66 | 32 | 445.25 |
| | Spain-Catalonia | 63 240 | 61 491 | 61 491 | 683 | 60 808 | 1.11 | 61 213.50 | 1 527 | 56 987.17 | 62 | 2 147.44 |
| | Spain-Castilla y Leon | 22 011 | 24 089 | 24 089 | 111 | 23 978 | 0.46 | 21 852.57 | 1 512 | 19 697.15 | 64 | 784.65 |
| | Spain-Cantabria | 4 912 | 5 215 | 5 215 | 25 | 5 190 | 0.48 | 4 751.33 | 1 496 | 4 534.16 | 56 | 154.06 |
| | Spain-Asturias | 8 101 | 9 484 | 9 484 | 32 | 9 452 | 0.34 | 7 983.50 | 1 579 | 7 593.57 | 39 | 200.23 |
| | Spain-Aragon | 11 112 | 11 150 | 11 150 | 67 | 11 083 | 0.60 | 10 594.50 | 1 526 | 9 467.26 | 37 | 193.67 |
| | Spain-Andalucia | 93 709 | 93 188 | 93 188 | 335 | 92 853 | 0.36 | 90 552.40 | 1 463 | 81 437.14 | 29 | 1 444.61 |
| | Sweden | 129 734 | 127 036 | 127 036 | 2 330 | 124 706 | 1.83 | 127 133.27 | 4 443 | 126 392.73 | 122 | 3 470.95 |
| | Switzerland | 87 766 | 86 108 | 86 108 | 2 130 | 83 978 | 2.47 | 81 660.28 | 12 193 | 89 650.91 | 186 | 842.40 |
| | Turkey | 1 423 514 | 800 968 | 782 875 | 970 | 781 905 | 0.12 | 796 371.42 | 4 942 | 665 477.29 | 1 | 130.38 |
| | United Kingdom | 779 076 | 767 248 | 767 248 | 12 879 | 754 369 | 1.68 | 748 795.67 | 13 152 | 732 003.69 | 229 | 12 032.64 |
| | United Kingdom-Scotland | 63 245 | 63 087 | 63 087 | 867 | 62 220 | 1.37 | 63 655.81 | 2 444 | 57 332.35 | 95 | 1 691.42 |
| | United States | 4 192 939 | 4 192 939 | 4 192 939 | 19 710 | 4 173 229 | 0.47 | 3 901 130.57 | 5 611 | 3 578 039.60 | 254 | 142 517.21 |



Table 11.1 [Part 2/3]
Sampling and coverage rates

| | Ineligible | | Eligible | | Within school exclusions (%) ¹ | Overall exclusions (%) | Ineligible (%) | Coverage Indices | | | | | |
|-------------|-----------------------------|----------|------------|----------|---|------------------------|----------------|------------------|------|------|------|------|------|
| | Actual | Weighted | Actual | Weighted | | | | 1 | 2 | 3 | 4 | 5 | |
| OECD | Australia | 877 | 9 737.48 | 17 062 | 237 874.13 | 1.23 | 1.76 | 4.09 | 0.98 | 0.98 | 0.87 | 0.95 | 0.99 |
| | Austria | 197 | 3 103.30 | 5 642 | 91 510.74 | 1.73 | 2.16 | 3.39 | 0.98 | 0.98 | 0.92 | 0.99 | 1.01 |
| | Belgium | 134 | 2 966.90 | 9 520 | 123 562.66 | 0.32 | 2.70 | 2.40 | 0.97 | 0.97 | 0.99 | 1.00 | 1.02 |
| | Belgium-Flanders | 64 | 813.51 | 5 429 | 69 623.69 | 0.31 | 2.06 | 1.17 | 0.98 | 0.97 | 1.00 | 1.04 | 1.00 |
| | Canada | 1 715 | 23 784.08 | 29 143 | 391 218.64 | 5.20 | 6.35 | 6.08 | 0.94 | 0.93 | 0.87 | 0.93 | 1.00 |
| | Czech Republic | 42 | 895.68 | 6 583 | 129 029.70 | 0.16 | 1.06 | 0.69 | 0.99 | 0.99 | 1.01 | 1.03 | 1.01 |
| | Denmark | 126 | 1 433.58 | 5 255 | 58 972.95 | 3.32 | 6.07 | 2.43 | 0.94 | 0.94 | 0.85 | 1.03 | 0.89 |
| | Finland | 48 | 588.79 | 5 217 | 63 036.62 | 2.62 | 4.47 | 0.93 | 0.96 | 0.96 | 0.93 | 0.97 | 1.00 |
| | France | 87 | 12 158.23 | 5 326 | 743 304.26 | 0.52 | 3.00 | 1.64 | 0.97 | 0.93 | 0.91 | 0.98 | 1.00 |
| | Germany | 65 | 10 781.53 | 5 353 | 909 529.01 | 0.66 | 1.22 | 1.19 | 0.99 | 0.99 | 0.95 | 0.96 | 0.90 |
| | Greece | 69 | 1 477.14 | 5 186 | 97 808.60 | 1.43 | 2.00 | 1.51 | 0.98 | 0.98 | 0.90 | 0.93 | 0.95 |
| | Hungary | 93 | 2 233.76 | 4 854 | 107 113.31 | 1.03 | 3.69 | 2.09 | 0.96 | 0.96 | 0.85 | 0.94 | 0.98 |
| | Ireland | 118 | 1 206.67 | 5 562 | 56 051.46 | 1.67 | 1.76 | 2.15 | 0.98 | 0.98 | 0.94 | 0.98 | 1.00 |
| | Italy | 814 | 20 363.44 | 23 874 | 529 039.32 | 1.70 | 1.70 | 3.85 | 0.98 | 0.98 | 0.90 | 0.85 | 0.97 |
| | Italy-Basilicata | 49 | 186.44 | 1 615 | 6 464.37 | 0.65 | 0.65 | 2.88 | 0.99 | 0.99 | 0.91 | 0.84 | 0.92 |
| | Italy-Bolzano | 48 | 109.53 | 2 244 | 4 711.57 | 1.21 | 1.21 | 2.32 | 0.99 | 0.99 | 0.88 | 0.96 | 0.96 |
| | Italy-Campania | 106 | 4 406.00 | 1 561 | 67 766.23 | 0.48 | 0.48 | 6.50 | 1.00 | 1.00 | 0.88 | 0.85 | 0.99 |
| | Italy-Emilia Romagna | 32 | 598.93 | 1 673 | 30 070.05 | 1.89 | 1.89 | 1.99 | 0.98 | 0.98 | 0.93 | 0.86 | 0.98 |
| | Italy-Friuli Venezia Giulia | 29 | 157.17 | 1 689 | 8 618.48 | 0.98 | 0.98 | 1.82 | 0.99 | 0.99 | 0.92 | 0.85 | 0.99 |
| | Italy-Liguria | 69 | 392.05 | 1 960 | 11 969.57 | 1.86 | 1.97 | 3.28 | 0.98 | 0.98 | 1.00 | 0.92 | 0.94 |
| | Italy-Lombardia | 49 | 1 768.57 | 1 681 | 71 438.37 | 2.68 | 2.68 | 2.48 | 0.97 | 0.97 | 0.86 | 0.81 | 0.98 |
| | Italy-Piemonte | 30 | 574.96 | 1 611 | 34 787.33 | 2.06 | 2.06 | 1.65 | 0.98 | 0.98 | 0.96 | 0.91 | 0.98 |
| | Italy-Puglia | 64 | 1 563.12 | 1 660 | 45 684.79 | 0.77 | 0.77 | 3.42 | 0.99 | 0.99 | 0.93 | 0.93 | 0.98 |
| | Italy-Sardegna | 69 | 710.73 | 1 585 | 16 355.07 | 1.34 | 1.34 | 4.35 | 0.99 | 0.99 | 0.93 | 0.85 | 0.99 |
| | Italy-Sicilia | 135 | 4 774.93 | 1 544 | 55 251.32 | 2.05 | 2.05 | 8.64 | 0.98 | 0.98 | 0.85 | 0.83 | 0.97 |
| | Italy-Trento | 52 | 104.17 | 1 913 | 4 387.97 | 1.63 | 1.63 | 2.37 | 0.98 | 0.98 | 0.90 | 0.81 | 0.95 |
| | Italy-Veneto | 47 | 1 448.70 | 1 638 | 40 922.92 | 2.08 | 2.08 | 3.54 | 0.98 | 0.98 | 0.96 | 0.84 | 0.98 |
| | Japan | 408 | 75 104.30 | 5 971 | 113 700.93 | 0.00 | 1.36 | 6.74 | 0.99 | 0.99 | 0.89 | 0.94 | 0.98 |
| | Korea | 44 | 4 915.09 | 5 233 | 57 294.30 | 0.11 | 0.66 | 0.85 | 0.99 | 0.99 | 0.87 | 1.00 | 0.92 |
| | Luxembourg | 29 | 29.00 | 4 926 | 4 926.00 | 3.92 | 3.92 | 0.59 | 0.96 | 0.96 | 1.03 | 0.99 | 1.08 |
| | Mexico | 4 623 | 166 614.35 | 32 409 | 1 193 637.29 | 0.27 | 0.27 | 13.96 | 1.00 | 1.00 | 0.54 | 0.89 | 0.97 |
| | Netherlands | 89 | 3 738.26 | 5 437 | 189 802.77 | 0.12 | 0.15 | 1.97 | 1.00 | 1.00 | 0.96 | 0.95 | 1.03 |
| | New Zealand | 299 | 2 847.56 | 5 757 | 55 532.54 | 3.84 | 4.58 | 5.13 | 0.95 | 0.95 | 0.84 | 0.94 | 1.00 |
| | Norway | 30 | 333.93 | 5 501 | 61 648.98 | 2.86 | 3.51 | 0.54 | 0.96 | 0.96 | 0.97 | 1.02 | 0.99 |
| | Poland | 20 | 1 568.40 | 6 092 | 517 677.89 | 0.33 | 2.22 | 0.30 | 0.98 | 0.98 | 0.94 | 0.97 | 0.99 |
| | Portugal | 362 | 5 696.82 | 6 013 | 91 968.74 | 2.05 | 2.05 | 6.19 | 0.98 | 0.98 | 0.78 | 0.92 | 0.99 |
| | Slovak Republic | 40 | 622.22 | 5 112 | 76 393.85 | 0.25 | 1.98 | 0.81 | 0.98 | 0.98 | 0.95 | 1.00 | 0.99 |
| | Spain | 273 | 4 821.40 | 21 885 | 392 072.12 | 2.65 | 3.52 | 1.23 | 0.96 | 0.96 | 0.87 | 0.92 | 0.98 |
| | Spain-La Rioja | 13 | 22.58 | 1 530 | 2 601.42 | 4.12 | 4.52 | 0.87 | 0.95 | 0.95 | 0.91 | 0.99 | 1.01 |
| | Spain-Basque Country | 77 | 286.27 | 4 164 | 15 001.58 | 1.97 | 2.20 | 1.91 | 0.98 | 0.98 | 0.87 | 0.95 | 0.88 |
| | Spain-Navarra | 14 | 43.20 | 1 734 | 4 775.78 | 2.05 | 2.45 | 0.90 | 0.98 | 0.98 | 0.88 | 0.96 | 1.01 |
| | Spain-Galicia | 24 | 328.18 | 1 704 | 23 022.91 | 1.93 | 2.27 | 1.43 | 0.98 | 0.98 | 0.93 | 0.97 | 0.90 |
| | Spain-Catalonia | 21 | 706.33 | 1 726 | 59 134.61 | 3.63 | 4.70 | 1.19 | 0.95 | 0.95 | 0.90 | 0.97 | 1.01 |
| | Spain-Castilla y Leon | 22 | 273.33 | 1 700 | 20 481.81 | 3.83 | 4.27 | 1.33 | 0.96 | 0.96 | 0.89 | 0.94 | 0.91 |
| | Spain-Cantabria | 26 | 72.12 | 1 692 | 4 688.22 | 3.29 | 3.75 | 1.54 | 0.96 | 0.96 | 0.92 | 0.99 | 0.92 |
| | Spain-Asturias | 18 | 83.33 | 1 747 | 7 793.80 | 2.57 | 2.90 | 1.07 | 0.97 | 0.97 | 0.94 | 0.98 | 0.84 |
| | Spain-Aragon | 13 | 71.65 | 1 695 | 9 660.93 | 2.00 | 2.59 | 0.74 | 0.97 | 0.97 | 0.85 | 0.91 | 0.96 |
| | Spain-Andalucia | 11 | 526.36 | 1 713 | 82 881.75 | 1.74 | 2.10 | 0.64 | 0.98 | 0.98 | 0.87 | 0.92 | 0.98 |
| | Sweden | 33 | 913.64 | 4 973 | 129 863.68 | 2.67 | 4.46 | 0.70 | 0.96 | 0.96 | 0.97 | 1.02 | 1.02 |
| | Switzerland | 217 | 1 679.68 | 12 966 | 90 493.30 | 0.93 | 3.38 | 1.86 | 0.97 | 0.97 | 1.02 | 1.11 | 0.97 |
| | Turkey | 216 | 33 457.71 | 5 058 | 665 607.67 | 0.02 | 0.14 | 5.03 | 1.00 | 1.00 | 0.98 | 0.47 | 0.84 |
| | United Kingdom | 712 | 31 732.72 | 15 668 | 744 036.34 | 1.62 | 3.27 | 4.26 | 0.97 | 0.97 | 0.94 | 0.99 | 0.99 |
| | United Kingdom-Scotland | 145 | 2 657.62 | 3 255 | 59 023.77 | 2.87 | 4.20 | 4.50 | 0.96 | 0.96 | 0.91 | 0.93 | 1.02 |
| | United States | 363 | 228 369.18 | 6 433 | 3 720 556.81 | 3.83 | 4.28 | 6.14 | 0.96 | 0.96 | 0.85 | 0.95 | 0.93 |

1. Code 4 within-school exclusion is defined as students with dyslexia in Greece, Ireland and Poland, as students with dyslexia/-calculi in Denmark, as students with partial skills deficiencies (dyslexia, dysgraphia, etc.) in Hungary, as Maori students in immersion or bilingual programs in New Zealand, and for Lithuania, it includes all exclusions that were not coded to a specific exclusion category.



Table 11.1 [Part 3/3]
Sampling and coverage rates

| | All 15-year-olds | Enrolled 15-year-olds | Target population | School level exclusions | Target minus school level exclusions | % school level exclusions | Estimate of enrolled students on frame | Participants | | Excluded | |
|--------------------|------------------|-----------------------|-------------------|-------------------------|--------------------------------------|---------------------------|--|--------------|--------------|----------|-----------|
| | | | | | | | | Actual | Weighted | Actual | Weighted |
| Argentina | 662 686 | 579 222 | 579 222 | 2 393 | 576 829 | 0.41 | 576 124.51 | 4 339 | 523 047.82 | 4 | 635.69 |
| Azerbaijan | 139 119 | 139 119 | 131 235 | 780 | 130 455 | 0.59 | 130 422.82 | 5 184 | 122 208.40 | 0 | 0.00 |
| Brazil | 3 390 471 | 2 374 044 | 2 357 355 | 0 | 2 357 355 | 0.00 | 2 347 345.55 | 9 295 | 1 875 461.15 | 19 | 6 437.58 |
| Bulgaria | 89 751 | 88 071 | 88 071 | 1 733 | 86 338 | 1.97 | 83 281.35 | 4 498 | 74 325.71 | 0 | 0.00 |
| Chile | 299 426 | 255 459 | 255 393 | 2 284 | 253 109 | 0.89 | 249 370.28 | 5 235 | 233 526.11 | 28 | 1 259.24 |
| Colombia | 897 477 | 543 630 | 543 630 | 2 814 | 540 816 | 0.52 | 535 165.71 | 4 478 | 537 262.21 | 2 | 185.59 |
| Croatia | 54 500 | 51 318 | 51 318 | 548 | 50 770 | 1.07 | 48 768.42 | 5 213 | 46 522.57 | 38 | 381.58 |
| Estonia | 19 871 | 19 623 | 19 623 | 569 | 19 054 | 2.90 | 19 267.17 | 4 865 | 18 662.26 | 50 | 208.37 |
| Hong Kong-China | 77 398 | 75 542 | 75 542 | 678 | 74 864 | 0.90 | 76 956.04 | 4 645 | 75 144.65 | 1 | 20.89 |
| Indonesia | 4 238 600 | 3 119 393 | 2 983 254 | 9 388 | 2 973 866 | 0.31 | 2 256 019.14 | 10 647 | 2 248 313.41 | 0 | 0.00 |
| Israel | 122 626 | 109 370 | 109 370 | 1 770 | 107 600 | 1.62 | 105 941.21 | 4 584 | 93 346.84 | 72 | 1 338.74 |
| Jordan | 138 026 | 126 708 | 126 708 | 0 | 126 708 | 0.00 | 99 088.50 | 6 509 | 90 266.78 | 73 | 1 041.92 |
| Kyrgyzstan | 128 810 | 94 922 | 92 109 | 1 617 | 90 492 | 1.76 | 90 239.71 | 5 904 | 80 674.46 | 42 | 521.05 |
| Latvia | 34 277 | 33 659 | 33 534 | 932 | 32 602 | 2.78 | 32 531.65 | 4 719 | 29 231.86 | 26 | 129.60 |
| Liechtenstein | 422 | 362 | 362 | 0 | 362 | 0.00 | 362.00 | 339 | 353.00 | 3 | 3.00 |
| Lithuania | 53 931 | 51 808 | 51 761 | 613 | 51 148 | 1.18 | 50 584.35 | 4 744 | 50 329.08 | 28 | 263.81 |
| Montenegro | 9 190 | 8 973 | 8 973 | 155 | 8 818 | 1.72 | 7 780.00 | 4 455 | 7 733.55 | 0 | 0.00 |
| Qatar | 8 053 | 7 865 | 7 865 | 0 | 7 865 | 0.00 | 7 407.00 | 6 265 | 7 271.34 | 3 | 3.13 |
| Romania | 341 181 | 241 890 | 240 661 | 2 943 | 237 718 | 1.22 | 231 532.75 | 5 118 | 223 887.02 | 0 | 0.00 |
| Russian Federation | 2 243 924 | 2 077 231 | 2 077 231 | 43 425 | 2 033 806 | 2.09 | 1 848 221.08 | 5 799 | 1 810 855.92 | 60 | 20 576.00 |
| Serbia | 88 584 | 80 692 | 80 692 | 1 811 | 78 881 | 2.24 | 77 568.27 | 4 798 | 73 906.69 | 6 | 86.07 |
| Slovenia | 23 431 | 23 018 | 23 018 | 228 | 22 790 | 0.99 | 22 565.26 | 6 595 | 20 595.17 | 45 | 98.43 |
| Thailand | 895 924 | 727 860 | 727 860 | 7 234 | 720 626 | 0.99 | 721 962.51 | 6 192 | 644 124.69 | 5 | 352.67 |
| Tunisia | 153 331 | 153 331 | 153 331 | 0 | 153 331 | 0.00 | 153 009.06 | 4 640 | 138 491.18 | 2 | 51.68 |
| Uruguay | 52 119 | 40 815 | 40 815 | 97 | 40 718 | 0.24 | 39 854.48 | 4 839 | 36 011.48 | 5 | 38.90 |

| | Ineligible | | Eligible | | Within school exclusions (%) ¹ | Overall exclusions (%) | Ineligible (%) | Coverage Indices | | | | |
|--------------------|------------|------------|----------|--------------|---|------------------------|----------------|------------------|------|------|------|------|
| | Actual | Weighted | Actual | Weighted | | | | 1 | 2 | 3 | 4 | 5 |
| Argentina | 259 | 27 533.89 | 4 963 | 523 683.51 | 0.12 | 0.53 | 5.26 | 0.99 | 0.99 | 0.79 | 0.91 | 1.00 |
| Azerbaijan | 27 | 766.03 | 5 284 | 122 208.40 | 0.00 | 0.59 | 0.63 | 0.99 | 0.94 | 0.88 | 0.94 | 1.00 |
| Brazil | 1 108 | 216 215.00 | 10 554 | 1 881 898.74 | 0.34 | 0.34 | 11.49 | 1.00 | 0.99 | 0.55 | 0.80 | 1.00 |
| Bulgaria | 157 | 2 786.41 | 4 768 | 74 325.71 | 0.00 | 1.97 | 3.75 | 0.98 | 0.98 | 0.83 | 0.89 | 0.96 |
| Chile | 209 | 8 451.50 | 5 615 | 234 785.34 | 0.54 | 1.43 | 3.60 | 0.99 | 0.99 | 0.78 | 0.94 | 0.99 |
| Colombia | 202 | 26 549.37 | 4 789 | 537 447.80 | 0.03 | 0.55 | 4.94 | 0.99 | 0.99 | 0.60 | 1.00 | 0.99 |
| Croatia | 72 | 595.97 | 5 493 | 46 904.15 | 0.81 | 1.87 | 1.27 | 0.98 | 0.98 | 0.85 | 0.96 | 0.96 |
| Estonia | 63 | 276.44 | 5 169 | 18 870.63 | 1.10 | 3.97 | 1.46 | 0.96 | 0.96 | 0.94 | 0.98 | 1.01 |
| Hong Kong-China | 36 | 617.57 | 5 074 | 75 165.54 | 0.03 | 0.93 | 0.82 | 0.99 | 0.99 | 0.97 | 0.98 | 1.03 |
| Indonesia | 324 | 57 333.01 | 10 918 | 2 248 313.41 | 0.00 | 0.31 | 2.55 | 1.00 | 0.95 | 0.53 | 1.00 | 0.76 |
| Israel | 423 | 7 984.81 | 5 130 | 94 685.58 | 1.41 | 3.01 | 8.43 | 0.97 | 0.97 | 0.76 | 0.89 | 0.98 |
| Jordan | 222 | 2 855.45 | 6 864 | 91 308.70 | 1.14 | 1.14 | 3.13 | 0.99 | 0.99 | 0.65 | 0.92 | 0.78 |
| Kyrgyzstan | 197 | 2 439.28 | 6 116 | 81 195.51 | 0.64 | 2.39 | 3.00 | 0.98 | 0.95 | 0.63 | 0.90 | 1.00 |
| Latvia | 261 | 1 622.62 | 4 911 | 29 361.46 | 0.44 | 3.21 | 5.53 | 0.97 | 0.96 | 0.85 | 0.90 | 1.00 |
| Liechtenstein | 2 | 2.00 | 356 | 356.00 | 0.84 | 0.84 | 0.56 | 0.99 | 0.99 | 0.84 | 0.98 | 1.00 |
| Lithuania | 63 | 592.92 | 5 089 | 50 592.89 | 0.52 | 1.70 | 1.17 | 0.98 | 0.98 | 0.93 | 1.00 | 0.99 |
| Montenegro | 41 | 46.45 | 4 951 | 7 733.55 | 0.00 | 1.72 | 0.60 | 0.98 | 0.98 | 0.84 | 0.99 | 0.88 |
| Qatar | 158 | 158.53 | 7 219 | 7 274.47 | 0.04 | 0.04 | 2.18 | 1.00 | 1.00 | 0.90 | 0.98 | 0.94 |
| Romania | 49 | 3 950.23 | 5 129 | 223 887.02 | 0.00 | 1.22 | 1.76 | 0.99 | 0.98 | 0.66 | 0.97 | 0.97 |
| Russian Federation | 57 | 14 435.05 | 6 096 | 1 831 431.92 | 1.12 | 3.19 | 0.79 | 0.97 | 0.97 | 0.81 | 0.99 | 0.91 |
| Serbia | 204 | 2 944.59 | 5 118 | 73 992.75 | 0.12 | 2.36 | 3.98 | 0.98 | 0.98 | 0.83 | 0.95 | 0.98 |
| Slovenia | 168 | 422.74 | 7 288 | 20 693.60 | 0.48 | 1.46 | 2.04 | 0.99 | 0.99 | 0.88 | 0.92 | 0.99 |
| Thailand | 199 | 22 914.23 | 6 271 | 644 477.36 | 0.05 | 1.05 | 3.56 | 0.99 | 0.99 | 0.72 | 0.89 | 1.00 |
| Tunisia | 249 | 6 567.81 | 4 907 | 138 542.86 | 0.04 | 0.04 | 4.74 | 1.00 | 1.00 | 0.90 | 0.91 | 1.00 |
| Uruguay | 462 | 3 395.56 | 5 550 | 36 050.38 | 0.11 | 0.34 | 9.42 | 1.00 | 1.00 | 0.69 | 0.90 | 0.98 |

1. Code 4 within-school exclusion is defined as students with dyslexia in Greece, Ireland and Poland, as students with dyslexia-/calculi in Denmark, as students with partial skills deficiencies (dyslexia, dysgraphia, etc.) in Hungary, as Maori students in immersion or bilingual programs in New Zealand, and for Lithuania, it includes all exclusions that were not coded to a specific exclusion category.



For calculating school response rates before replacement, the numerator consisted of all original sample schools with enrolled age-eligible students who participated (*i.e.*, assessed a sample of eligible students, and obtained a student response rate of at least 50%). The denominator consisted of all the schools in the numerator, plus those original sample schools with enrolled age-eligible students that either did not participate or failed to assess at least 50% of eligible sample students. Schools that were included in the sampling frame, but were found to have no age-eligible students, or which were excluded in the field were omitted from the calculation of response rates. Replacement schools do not figure in these calculations.

For calculating school response rates after replacement, the numerator consisted of all sampled schools (original plus replacement) with enrolled age-eligible students that participated (*i.e.* assessed a sample of eligible students and obtained a student response rate of at least 50%). The denominator consisted of all the schools in the numerator, plus those original sample schools that had age eligible students enrolled, but that failed to assess at least 50% of eligible sample students and for which no replacement school participated. Schools that were included in the sampling frame, but were found to contain no age-eligible students, were omitted from the calculation of response rates. Replacement schools were included in rates only when they participated, and were replacing a refusing school that had age-eligible students.

In calculating weighted school response rates, each school received a weight equal to the product of its base weight (the reciprocal of its selection probability) and the number of age-eligible students enrolled, as indicated on the sampling frame.

With the use of probability proportional-to-size sampling, in countries with few certainty school selections and no over-sampling or under-sampling of any explicit strata, weighted and unweighted rates are very similar. The weighted school response rate before replacement is given by the formula:

$$\text{11.1} \quad \begin{aligned} \text{weighted school response rate} \\ \text{before replacement} &= \frac{\sum_{i \in Y} W_i E_i}{\sum_{i \in (Y \cup N)} W_i E_i} \end{aligned}$$

where Y denotes the set of responding original sample schools with age-eligible students, N denotes the set of eligible non-responding original sample schools, W_i denotes the base weight for school i , $W_i = 1/P_i$ where P_i denotes the school selection probability for school i , and E_i denotes the enrolment size of age-eligible students, as indicated on the sampling frame.

The weighted school response rate, after replacement, is given by the formula:

$$\text{11.2} \quad \begin{aligned} \text{weighted school response rate} \\ \text{after replacement} &= \frac{\sum_{i \in (Y \cup R)} W_i E_i}{\sum_{i \in (Y \cup R \cup N)} W_i E_i} \end{aligned}$$

where Y denotes the set of responding original sample schools, R denotes the set of responding replacement schools, for which the corresponding original sample school was eligible but was non-responding, N denotes the set of eligible refusing original sample schools, W_i denotes the base weight for school i , $W_i = 1/P_i$, where P_i denotes the school selection probability for school i , and for weighted rates, E_i denotes the enrolment size of age-eligible students, as indicated on the sampling frame.

For unweighted student response rates, the numerator is the number of students for whom assessment data were included in the results less those in schools with between 25 and 50% student participation.



Table 11.2 [Part 1/2]
School response rates before replacement

| | Weighted school Participation Rate Before Replacement (%) | Weighted Number of Responding schools (Weighted also by enrolment) | Weighted Number of schools Sampled (responding + non-responding) (Weighted also by enrolment) | Unweighted school Participation Rate Before Replacement (%) | Number of Responding schools (Unweighted) | Number of Responding and Non-responding schools (Unweighted) |
|-----------------------------|---|--|---|---|---|--|
| OECD | | | | | | |
| Australia | 98.40 | 247 211.55 | 251 221.74 | 98.03 | 349 | 356 |
| Austria | 98.77 | 91 471.27 | 92 606.34 | 97.04 | 197 | 203 |
| Belgium | 81.54 | 100 784.59 | 123 596.62 | 81.94 | 236 | 288 |
| Belgium-Flanders | 80.01 | 53 646.19 | 67 048.31 | 79.66 | 141 | 177 |
| Canada | 83.20 | 348 247.71 | 418 565.11 | 90.33 | 850 | 941 |
| Czech Republic | 72.87 | 91 280.51 | 125 258.79 | 75.00 | 198 | 264 |
| Denmark | 87.24 | 49 864.90 | 57 156.10 | 86.70 | 189 | 218 |
| Finland | 100.00 | 65 085.51 | 65 085.51 | 100.00 | 155 | 155 |
| France | 96.68 | 732 365.76 | 757 511.93 | 95.72 | 179 | 187 |
| Germany | 98.15 | 932 815.38 | 950 350.10 | 98.24 | 223 | 227 |
| Greece | 92.51 | 96 973.38 | 104 827.25 | 91.67 | 176 | 192 |
| Hungary | 94.70 | 108 354.48 | 114 424.54 | 95.24 | 180 | 189 |
| Iceland | 98.35 | 4 819.00 | 4 900.00 | 89.40 | 135 | 151 |
| Ireland | 100.00 | 57 245.39 | 57 245.39 | 100.00 | 164 | 164 |
| Italy | 90.53 | 564 533.15 | 623 569.70 | 86.16 | 753 | 874 |
| Italy-Basilicata | 99.61 | 7 706.00 | 7 736.12 | 93.22 | 55 | 59 |
| Italy-Bolzano | 97.71 | 4 804.93 | 4 917.44 | 88.30 | 83 | 94 |
| Italy-Campania | 89.21 | 71 059.88 | 79 658.99 | 84.21 | 48 | 57 |
| Italy-Emilia Romagna | 96.32 | 33 865.72 | 35 160.37 | 86.21 | 50 | 58 |
| Italy-Friuli Venezia Giulia | 86.80 | 8 786.77 | 10 123.28 | 76.81 | 53 | 69 |
| Italy-Liguria | 91.84 | 11 995.37 | 13 061.63 | 93.33 | 70 | 75 |
| Italy-Lombardia | 88.85 | 78 600.94 | 88 462.73 | 84.21 | 48 | 57 |
| Italy-Piemonte | 89.19 | 34 117.12 | 38 250.67 | 81.03 | 47 | 58 |
| Italy-Puglia | 91.40 | 44 715.50 | 48 922.23 | 90.57 | 48 | 53 |
| Italy-Sardegna | 86.72 | 16 721.14 | 19 280.96 | 83.33 | 50 | 60 |
| Italy-Sicilia | 84.93 | 56 204.80 | 66 178.54 | 83.05 | 49 | 59 |
| Italy-Trento | 97.25 | 5 243.68 | 5 391.76 | 90.91 | 60 | 66 |
| Italy-Veneto | 93.80 | 45 659.09 | 48 677.17 | 87.72 | 50 | 57 |
| Japan | 87.27 | 1 032 151.56 | 1 182 687.63 | 87.24 | 171 | 196 |
| Korea | 99.24 | 572 255.97 | 576 636.64 | 98.71 | 153 | 155 |
| Luxembourg | 100.00 | 4 955.00 | 4 955.00 | 100.00 | 31 | 31 |
| Mexico | 95.46 | 1 281 866.56 | 1 342 897.79 | 94.17 | 1115 | 1184 |
| Netherlands | 75.70 | 151 038.94 | 199 533.05 | 75.26 | 146 | 194 |
| New Zealand | 91.69 | 54 181.69 | 59 089.52 | 90.50 | 162 | 179 |
| Norway | 90.47 | 54 613.10 | 60 368.65 | 90.61 | 193 | 213 |
| Poland | 95.41 | 507 650.90 | 532 060.81 | 94.14 | 209 | 222 |
| Portugal | 94.87 | 94 835.05 | 99 961.25 | 94.83 | 165 | 174 |
| Slovak Republic | 92.42 | 70 860.20 | 76 671.38 | 89.47 | 170 | 190 |
| Spain | 98.26 | 416 538.81 | 423 903.57 | 99.42 | 682 | 686 |
| Spain-La Rioja | 100.00 | 2 641.00 | 2 641.00 | 100.00 | 45 | 45 |
| Spain-Basque Country | 100.00 | 15 753.72 | 15 753.72 | 100.00 | 151 | 151 |
| Spain-Navarra | 100.00 | 4 952.20 | 4 952.20 | 100.00 | 52 | 52 |
| Spain-Galicia | 100.00 | 23 724.51 | 23 724.51 | 100.00 | 53 | 53 |
| Spain-Catalonia | 95.99 | 58 759.14 | 61 213.50 | 96.08 | 49 | 51 |
| Spain-Castilla y Leon | 100.00 | 21 852.57 | 21 852.57 | 100.00 | 52 | 52 |
| Spain-Cantabria | 100.00 | 4 751.33 | 4 751.33 | 100.00 | 53 | 53 |
| Spain-Asturias | 100.00 | 7 983.50 | 7 983.50 | 100.00 | 53 | 53 |
| Spain-Aragon | 100.00 | 10 594.50 | 10 594.50 | 100.00 | 51 | 51 |
| Spain-Andalucia | 100.00 | 90 552.40 | 90 552.40 | 100.00 | 51 | 51 |
| Sweden | 99.59 | 126 611.35 | 127 133.27 | 99.00 | 197 | 199 |
| Switzerland | 95.44 | 77 940.45 | 81 660.28 | 96.88 | 496 | 512 |
| Turkey | 97.16 | 773 776.70 | 796 371.42 | 96.88 | 155 | 160 |
| United Kingdom | 76.05 | 569 438.45 | 748 795.67 | 74.79 | 439 | 587 |
| United Kingdom-Scotland | 63.61 | 40 491.76 | 63 655.81 | 63.63 | 70 | 110 |
| United States | 68.95 | 2 689 741.31 | 3 901 130.57 | 69.38 | 145 | 209 |



Table 11.2 [Part 2/2]
School response rates before replacement

| | Weighted school Participation Rate Before Replacement (%) | Weighted Number of Responding schools (Weighted also by enrolment) | Weighted Number of schools Sampled (responding + non-responding) (Weighted also by enrolment) | Unweighted school Participation Rate Before Replacement (%) | Number of Responding schools (Unweighted) | Number of Responding and Non-responding schools (Unweighted) |
|-----------------|---|--|---|---|---|--|
| Partners | Argentina | 95.08 | 547 775.36 | 576 124.51 | 168 | 179 |
| | Azerbaijan | 94.86 | 123 717.99 | 130 422.82 | 163 | 172 |
| | Brazil | 98.01 | 2 300 529.53 | 2 347 345.55 | 606 | 629 |
| | Bulgaria | 98.76 | 82 248.09 | 83 281.35 | 178 | 180 |
| | Chile | 83.08 | 207 182.85 | 249 370.28 | 161 | 196 |
| | Colombia | 93.53 | 500 566.82 | 535 165.71 | 154 | 167 |
| | Croatia | 98.59 | 48 080.63 | 48 768.42 | 159 | 163 |
| | Estonia | 98.98 | 19 070.50 | 19 267.17 | 167 | 169 |
| | Hong Kong-China | 68.57 | 52 768.08 | 76 956.04 | 106 | 156 |
| | Indonesia | 99.72 | 2 249 727.84 | 2 256 019.14 | 349 | 352 |
| | Israel | 89.89 | 95 231.11 | 105 941.21 | 139 | 167 |
| | Jordan | 100.00 | 99 088.50 | 99 088.50 | 210 | 210 |
| | Kyrgyzstan | 99.58 | 89 863.21 | 90 239.71 | 200 | 201 |
| | Latvia | 97.57 | 31 740.22 | 32 531.65 | 171 | 175 |
| | Liechtenstein | 100.00 | 362.00 | 362.00 | 12 | 12 |
| | Lithuania | 96.85 | 48 988.90 | 50 584.35 | 190 | 197 |
| | Montenegro | 94.64 | 7 363.00 | 7 780.00 | 49 | 51 |
| | Qatar | 98.02 | 7 260.00 | 7 407.00 | 128 | 137 |
| | Romania | 100.00 | 231 532.75 | 231 532.75 | 174 | 174 |
| | Russian Federation | 100.00 | 1 848 221.08 | 1 848 221.08 | 209 | 209 |
| | Serbia | 98.67 | 76 533.75 | 77 568.27 | 160 | 163 |
| | Slovenia | 97.42 | 21 983.00 | 22 565.26 | 355 | 365 |
| | Thailand | 97.70 | 705 352.94 | 721 962.51 | 208 | 212 |
| | Tunisia | 100.00 | 153 009.06 | 153 009.06 | 152 | 152 |
| | Uruguay | 96.30 | 38 377.90 | 39 854.48 | 270 | 280 |

The denominator is the number of sampled students who were age-eligible, and not explicitly excluded as student exclusions. The exception is cases where countries applied different sampling rates across explicit strata. In these cases, unweighted rates were calculated in each stratum, and then weighted together according to the relative population size of 15-year-olds in each stratum.

For weighted student response rates, the same number of students appears in the numerator and denominator as for unweighted rates, but each student was weighted by its student base weight. This is given as the product of the school base weight – for the school in which the student is enrolled – and the reciprocal of the student selection probability within the school.

In countries with no over-sampling of any explicit strata, weighted and unweighted student participation rates are very similar.

Overall response rates are calculated as the product of school and student response rates. Although overall weighted and unweighted rates can be calculated, there is little value in presenting overall unweighted rates. The weighted rates indicate the proportion of the student population represented by the sample prior to making the school and student non-response adjustments.



Table 11.3 [Part 1/2]
School response rates after replacement

| | Weighted school Participation Rate After all Replacement (%) | Weighted Number of Responding schools (Weighted also by enrolment) | Weighted Number of schools Sampled (responding + nonresponding) (Weighted also by enrolment) | Unweighted school Participation Rate after all Replacement (%) | Number of Responding schools (Unweighted) | Number of Responding and nonresponding schools (Unweighted) |
|-----------------------------|--|--|--|--|---|---|
| OECD | | | | | | |
| Australia | 98.85 | 248 320.55 | 251 221.74 | 98.31 | 350 | 356 |
| Austria | 98.77 | 91 471.27 | 92 606.34 | 97.04 | 197 | 203 |
| Belgium | 93.59 | 115 645.52 | 123 562.62 | 93.40 | 269 | 288 |
| Belgium-Flanders | 91.78 | 61 503.35 | 67 014.31 | 91.53 | 162 | 177 |
| Canada | 86.23 | 360 866.86 | 418 514.45 | 91.50 | 861 | 941 |
| Czech Republic | 93.87 | 117 526.33 | 125 202.46 | 92.42 | 244 | 264 |
| Denmark | 96.47 | 55 067.95 | 57 085.31 | 95.87 | 209 | 218 |
| Finland | 100.00 | 65 085.51 | 65 085.51 | 100.00 | 155 | 155 |
| France | 96.68 | 732 365.76 | 757 511.93 | 95.72 | 179 | 187 |
| Germany | 99.05 | 941 355.81 | 950 350.10 | 99.12 | 225 | 227 |
| Greece | 99.35 | 104 124.05 | 104 809.66 | 98.44 | 189 | 192 |
| Hungary | 100.00 | 114 266.23 | 114 266.23 | 100.00 | 189 | 189 |
| Iceland | 98.35 | 4 819.00 | 4 900.00 | 89.40 | 135 | 151 |
| Ireland | 100.00 | 57 245.39 | 57 245.39 | 100.00 | 164 | 164 |
| Italy | 97.47 | 607 859.64 | 623 618.70 | 91.08 | 796 | 874 |
| Italy-Basilicata | 99.61 | 7 706.00 | 7 736.12 | 93.22 | 55 | 59 |
| Italy-Bolzano | 97.71 | 4 804.93 | 4 917.44 | 88.30 | 83 | 94 |
| Italy-Campania | 95.84 | 76 343.75 | 79 658.99 | 91.23 | 52 | 57 |
| Italy-Emilia Romagna | 98.27 | 34 551.11 | 35 160.37 | 87.93 | 51 | 58 |
| Italy-Friuli Venezia Giulia | 97.53 | 9 873.62 | 10 123.28 | 85.51 | 59 | 69 |
| Italy-Liguria | 97.89 | 12 786.41 | 13 061.63 | 97.33 | 73 | 75 |
| Italy-Lombardia | 99.32 | 87 860.16 | 88 462.73 | 94.74 | 54 | 57 |
| Italy-Piemonte | 95.35 | 36 471.03 | 38 250.67 | 86.21 | 50 | 58 |
| Italy-Puglia | 99.61 | 48 729.82 | 48 922.23 | 98.11 | 52 | 53 |
| Italy-Sardegna | 96.51 | 18 607.86 | 19 280.96 | 91.67 | 55 | 60 |
| Italy-Sicilia | 92.94 | 61 506.00 | 66 178.54 | 89.83 | 53 | 59 |
| Italy-Trento | 97.25 | 5 243.68 | 5 391.76 | 90.91 | 60 | 66 |
| Italy-Veneto | 99.15 | 48 310.68 | 48 726.17 | 92.98 | 53 | 57 |
| Japan | 92.38 | 1 092 615.65 | 1 182 687.63 | 92.35 | 181 | 196 |
| Korea | 99.89 | 575 983.97 | 576 636.64 | 99.35 | 154 | 155 |
| Luxembourg | 100.00 | 4 955.00 | 4 955.00 | 100.00 | 31 | 31 |
| Mexico | 96.20 | 1 291 872.06 | 1 342 897.79 | 95.27 | 1128 | 1184 |
| Netherlands | 94.25 | 187 952.81 | 199 423.37 | 94.33 | 183 | 194 |
| New Zealand | 96.06 | 56 761.97 | 59 089.52 | 94.97 | 170 | 179 |
| Norway | 95.40 | 57 582.32 | 60 358.60 | 95.31 | 203 | 213 |
| Poland | 99.99 | 532 149.94 | 532 197.11 | 99.55 | 221 | 222 |
| Portugal | 98.73 | 98 593.06 | 99 862.92 | 98.85 | 172 | 174 |
| Slovak Republic | 99.93 | 76 864.87 | 76 920.17 | 98.95 | 188 | 190 |
| Spain | 100.00 | 424 620.57 | 424 620.57 | 100.00 | 686 | 686 |
| Spain-La Rioja | 100.00 | 2 641.00 | 2 641.00 | 100.00 | 45 | 45 |
| Spain-Basque Country | 100.00 | 15 753.72 | 15 753.72 | 100.00 | 151 | 151 |
| Spain-Navarra | 100.00 | 4 952.20 | 4 952.20 | 100.00 | 52 | 52 |
| Spain-Galicia | 100.00 | 23 724.51 | 23 724.51 | 100.00 | 53 | 53 |
| Spain-Catalonia | 100.00 | 61 213.50 | 61 213.50 | 100.00 | 51 | 51 |
| Spain-Castilla y Leon | 100.00 | 21 852.57 | 21 852.57 | 100.00 | 52 | 52 |
| Spain-Cantabria | 100.00 | 4 751.33 | 4 751.33 | 100.00 | 53 | 53 |
| Spain-Asturias | 100.00 | 7 983.50 | 7 983.50 | 100.00 | 53 | 53 |
| Spain-Aragon | 100.00 | 10 594.50 | 10 594.50 | 100.00 | 51 | 51 |
| Spain-Andalucia | 100.00 | 90 552.40 | 90 552.40 | 100.00 | 51 | 51 |
| Sweden | 99.59 | 126 611.35 | 127 133.27 | 99.00 | 197 | 199 |
| Switzerland | 99.09 | 81 345.26 | 82 094.93 | 99.41 | 509 | 512 |
| Turkey | 100.00 | 794 825.58 | 794 825.58 | 100.00 | 160 | 160 |
| United Kingdom | 88.15 | 660 502.84 | 749 269.55 | 84.16 | 494 | 587 |
| United Kingdom-Scotland | 86.09 | 54 802.25 | 63 655.80 | 85.45 | 94 | 110 |
| United States | 79.09 | 3 085 547.88 | 3 901 520.93 | 79.43 | 166 | 209 |



Table 11.3 [Part 2/2]
School response rates after replacement

| | Weighted school Participation Rate After all Replacement (%) | Weighted Number of Responding schools (Weighted also by enrolment) | Weighted Number of schools Sampled (responding + nonresponding) (Weighted also by enrolment) | Unweighted school Participation Rate after all Replacement (%) | Number of Responding schools (Unweighted) | Number of Responding and nonresponding schools (Unweighted) |
|--------------------|--|--|--|--|---|---|
| Partners | | | | | | |
| Argentina | 96.19 | 554 186.35 | 576 124.51 | 95.53 | 171 | 179 |
| Azerbaijan | 99.37 | 129 951.63 | 130 775.00 | 99.42 | 171 | 172 |
| Brazil | 99.24 | 2 329 154.43 | 2 346 987.83 | 98.09 | 617 | 629 |
| Bulgaria | 99.35 | 82 548.02 | 83 091.92 | 99.44 | 179 | 180 |
| Chile | 87.89 | 219 082.48 | 249 282.99 | 88.27 | 173 | 196 |
| Colombia | 99.22 | 530 584.59 | 534 764.00 | 98.80 | 165 | 167 |
| Croatia | 99.80 | 48 727.00 | 48 823.00 | 98.77 | 161 | 163 |
| Estonia | 100.00 | 19 260.50 | 19 260.50 | 100.00 | 169 | 169 |
| Hong Kong-China | 93.76 | 72 564.37 | 77 392.26 | 93.59 | 146 | 156 |
| Indonesia | 100.00 | 2 256 019.14 | 2 256 019.14 | 100.00 | 352 | 352 |
| Israel | 93.45 | 99 541.35 | 106 519.85 | 89.22 | 149 | 167 |
| Jordan | 100.00 | 99 088.50 | 99 088.50 | 100.00 | 210 | 210 |
| Kyrgyzstan | 100.00 | 90 239.71 | 90 239.71 | 100.00 | 201 | 201 |
| Latvia | 100.00 | 32 531.65 | 32 531.65 | 100.00 | 175 | 175 |
| Liechtenstein | 100.00 | 362.00 | 362.00 | 100.00 | 12 | 12 |
| Lithuania | 100.00 | 50 584.35 | 50 584.35 | 100.00 | 197 | 197 |
| Montenegro | 94.64 | 7 363.00 | 7 780.00 | 96.08 | 49 | 51 |
| Qatar | 98.02 | 7 260.00 | 7 407.00 | 93.43 | 128 | 137 |
| Romania | 100.00 | 231 532.75 | 231 532.75 | 100.00 | 174 | 174 |
| Russian Federation | 100.00 | 1 848 221.08 | 1 848 221.08 | 100.00 | 209 | 209 |
| Serbia | 99.96 | 77 538.75 | 77 568.27 | 99.39 | 162 | 163 |
| Slovenia | 97.71 | 22 048.86 | 22 565.26 | 97.53 | 356 | 365 |
| Thailand | 100.00 | 721 551.81 | 721 551.81 | 100.00 | 212 | 212 |
| Tunisia | 100.00 | 153 009.06 | 153 009.06 | 100.00 | 152 | 152 |
| Uruguay | 96.30 | 38 377.90 | 39 854.48 | 96.43 | 270 | 280 |

Table 11.4 [Part 1/2]
Student response rates after replacement

| | Weighted student Participation Rate after Second Replacement (%) | Number of students Assessed (Weighted) | Number of students Sampled (assessed + absent) (Weighted) | Unweighted student Participation Rate after Second Replacement (%) | Number of students Assessed (Unweighted) | Number of students Sampled (assessed + absent) (Unweighted) |
|-----------------------------|--|--|---|--|--|---|
| OECD | | | | | | |
| Australia | 86.30 | 200 410 | 232 221 | 84.82 | 14 071 | 16 590 |
| Austria | 90.81 | 80 765 | 88 942 | 88.87 | 4 925 | 5 542 |
| Belgium | 92.98 | 107 247 | 115 343 | 93.31 | 8 857 | 9 492 |
| Belgium-Flanders | 94.66 | 60 343 | 63 749 | 94.66 | 5 124 | 5 413 |
| Canada | 81.43 | 258 789 | 317 822 | 84.32 | 22 201 | 26 329 |
| Czech Republic | 90.62 | 110 435 | 121 869 | 90.35 | 5 927 | 6 560 |
| Denmark | 89.51 | 49 249 | 55 018 | 89.57 | 4 510 | 5 035 |
| Finland | 92.78 | 56 954 | 61 387 | 92.76 | 4 714 | 5 082 |
| France | 89.78 | 641 681 | 714 695 | 89.77 | 4 684 | 5 218 |
| Germany | 92.26 | 825 350 | 894 612 | 92.26 | 4 884 | 5 294 |
| Greece | 95.24 | 91 494 | 96 070 | 95.21 | 4 871 | 5 116 |
| Hungary | 93.12 | 98 716 | 106 010 | 93.10 | 4 490 | 4 823 |
| Iceland | 83.32 | 3 781 | 4 538 | 83.32 | 3 781 | 4 538 |
| Ireland | 83.75 | 46 160 | 55 114 | 83.84 | 4 585 | 5 469 |
| Italy | 92.30 | 467 291 | 506 270 | 92.70 | 21 753 | 23 465 |
| Italy-Basilicata | 94.06 | 6 017 | 6 397 | 93.95 | 1 506 | 1 603 |
| Italy-Bolzano | 93.58 | 4 263 | 4 556 | 94.04 | 2 084 | 2 216 |
| Italy-Campania | 90.87 | 58 786 | 64 692 | 90.59 | 1 406 | 1 552 |
| Italy-Emilia Romagna | 93.64 | 27 243 | 29 094 | 93.41 | 1 531 | 1 639 |
| Italy-Friuli Venezia Giulia | 94.25 | 7 862 | 8 341 | 94.27 | 1 578 | 1 674 |
| Italy-Liguria | 91.75 | 10 531 | 11 477 | 91.54 | 1 753 | 1 915 |
| Italy-Lombardia | 93.12 | 64 328 | 69 083 | 92.87 | 1 524 | 1 641 |
| Italy-Piemonte | 93.88 | 30 577 | 32 572 | 93.54 | 1 478 | 1 580 |
| Italy-Puglia | 93.65 | 42 283 | 45 148 | 93.33 | 1 540 | 1 650 |
| Italy-Sardegna | 87.74 | 13 644 | 15 550 | 88.59 | 1 390 | 1 569 |
| Italy-Sicilia | 91.46 | 45 177 | 49 395 | 90.63 | 1 335 | 1 473 |
| Italy-Trento | 95.28 | 3 994 | 4 191 | 93.91 | 1 757 | 1 871 |
| Italy-Veneto | 95.47 | 37 958 | 39 761 | 95.39 | 1 530 | 1 604 |



Table 11.4 [Part 2/2]
Student response rates after replacement

| | Weighted student Participation Rate after Second Replacement (%) | Number of students Assessed (Weighted) | Number of students Sampled (assessed + absent) (Weighted) | Unweighted student Participation Rate after Second Replacement (%) | Number of students Assessed (Unweighted) | Number of students Sampled (assessed + absent) (Unweighted) |
|-----------------|--|--|---|--|--|---|
| OECD | Japan | 99.55 | 1 028 039 | 1 032 727 | 99.68 | 5 952 |
| | Korea | 99.04 | 570 786 | 576 314 | 98.99 | 5 176 |
| | Luxembourg | 96.49 | 4 567 | 4 733 | 96.49 | 4 567 |
| | Mexico | 96.40 | 1 101 670 | 1 142 760 | 96.16 | 30 885 |
| | Netherlands | 90.15 | 161 900 | 179 592 | 90.20 | 4 848 |
| | New Zealand | 87.03 | 44 638 | 51 291 | 87.14 | 4 823 |
| | Norway | 87.81 | 50 232 | 57 205 | 87.78 | 4 692 |
| | Poland | 91.70 | 473 144 | 515 945 | 91.32 | 5 547 |
| | Portugal | 86.74 | 77 053 | 88 828 | 86.86 | 5 092 |
| | Slovak Republic | 93.19 | 70 837 | 76 011 | 92.82 | 4 729 |
| | Spain | 88.48 | 337 710 | 381 686 | 91.92 | 19 604 |
| | Spain-Andalucia | 86.94 | 70 803 | 81 437 | 86.88 | 1 463 |
| | Spain-Aragon | 91.71 | 8 682 | 9 467 | 92.04 | 1 526 |
| | Spain-Asturias | 92.33 | 7 011 | 7 594 | 92.45 | 1 579 |
| | Spain-Basque Country | 96.26 | 14 157 | 14 707 | 96.23 | 3 929 |
| | Spain-Cantabria | 91.36 | 4 142 | 4 534 | 91.44 | 1 496 |
| | Spain-Castilla y Leon | 92.31 | 18 183 | 19 697 | 92.42 | 1 512 |
| | Spain-Catalonia | 91.77 | 52 299 | 56 987 | 91.77 | 1 527 |
| | Spain-Galicia | 94.14 | 21 254 | 22 578 | 94.08 | 1 573 |
| | Spain-La Rioja | 89.77 | 2 239 | 2 494 | 90.43 | 1 333 |
| | Spain-Navarra | 93.38 | 4 368 | 4 678 | 93.69 | 1 590 |
| | Sweden | 91.37 | 115 210 | 126 095 | 91.59 | 4 443 |
| | Switzerland | 94.94 | 84 366 | 88 861 | 95.41 | 12 191 |
| | Turkey | 97.59 | 649 451 | 665 477 | 97.73 | 4 942 |
| | United Kingdom | 87.65 | 565 955 | 645 688 | 85.96 | 13 050 |
| | United Kingdom-Scotland | 78.57 | 38 688 | 49 237 | 78.78 | 2 384 |
| | United States | 91.00 | 2 589 680 | 2 845 841 | 90.81 | 5 611 |
| Partners | Argentina | 89.31 | 447 966 | 501 589 | 88.52 | 4 297 |
| | Azerbaijan | 98.02 | 119 024 | 121 433 | 98.11 | 5 184 |
| | Brazil | 90.83 | 1 692 354 | 1 863 114 | 88.84 | 9 246 |
| | Bulgaria | 94.47 | 69 821 | 73 907 | 94.34 | 4 498 |
| | Chile | 93.72 | 192 205 | 205 089 | 93.70 | 5 233 |
| | Colombia | 93.89 | 500 459 | 533 020 | 93.55 | 4 478 |
| | Croatia | 95.63 | 44 400 | 46 431 | 95.56 | 5 213 |
| | Estonia | 94.89 | 17 708 | 18 662 | 95.04 | 4 865 |
| | Hong Kong-China | 91.51 | 64 124 | 70 071 | 91.56 | 4 645 |
| | Indonesia | 97.81 | 2 199 184 | 2 248 313 | 97.52 | 10 647 |
| | Israel | 90.57 | 79 246 | 87 498 | 90.63 | 4 584 |
| | Jordan | 96.26 | 86 890 | 90 267 | 95.85 | 6 509 |
| | Kyrgyzstan | 97.08 | 78 319 | 80 674 | 97.20 | 5 904 |
| | Latvia | 96.66 | 28 255 | 29 232 | 96.60 | 4 719 |
| | Liechtenstein | 96.03 | 339 | 353 | 96.03 | 339 |
| | Lithuania | 93.76 | 47 189 | 50 329 | 93.74 | 4 744 |
| | Macao-China | 97.57 | 6 261 | 6 417 | 97.50 | 4 760 |
| | Montenegro | 93.23 | 6 821 | 7 317 | 93.29 | 4 367 |
| | Qatar | 87.34 | 6 224 | 7 126 | 87.34 | 6 224 |
| | Romania | 99.83 | 223 503 | 223 887 | 99.79 | 5 118 |
| | Russian Federation | 96.02 | 1 738 842 | 1 810 856 | 96.07 | 5 799 |
| | Serbia | 93.91 | 69 375 | 73 877 | 93.86 | 4 798 |
| | Slovenia | 91.50 | 18 489 | 20 206 | 91.41 | 6 576 |
| | Chinese Taipei | 97.75 | 283 168 | 289 675 | 98.08 | 8 815 |
| | Thailand | 98.74 | 636 028 | 644 125 | 98.82 | 6 192 |
| | Tunisia | 94.53 | 130 922 | 138 491 | 94.60 | 4 640 |
| | Uruguay | 88.24 | 30 693 | 34 784 | 88.83 | 4 779 |



DESIGN EFFECTS AND EFFECTIVE SAMPLE SIZES

Surveys in education and especially international surveys rarely sample students by simply selecting a random sample of students (a simple random sample). Schools are first selected and, within each selected school, classes or students are randomly sampled. Sometimes, geographic areas are first selected before sampling schools and students. This sampling design is usually referred to as a cluster sample or a multi-stage sample.

Selected students attending the same school cannot be considered as independent observations as they can be with a simple random sample because they are usually more similar than students attending distinct educational institutions. For instance, they are offered the same school resources, may have the same teachers and therefore are taught a common implemented curriculum, and so on. School differences are also larger if different educational programmes are not available in all schools. One expects to observe greater differences between a vocational school and an academic school than between two comprehensive schools.

Furthermore, it is well known that within a country, within sub-national entities and within a city, people tend to live in areas according to their financial resources. As children usually attend schools close to their house, it is likely that students attending the same school come from similar social and economic backgrounds.

A simple random sample of 4 000 students is thus likely to cover the diversity of the population better than a sample of 100 schools with 40 students observed within each school. It follows that the uncertainty associated with any population parameter estimate (*i.e.*, standard error) will be larger for a clustered sample than for a simple random sample of the same size.

In the case of a simple random sample, the standard error on a mean estimate is equal to:

$$11.3 \quad \sigma_{(\hat{\mu})} = \sqrt{\frac{\sigma^2}{n}}$$

For an infinite population of schools and infinite populations of students within schools, the standard error of a mean estimate for a cluster sample is equal to:

$$11.4 \quad \sigma_{(\hat{\mu})} = \sqrt{\frac{\sigma_{schools}^2}{n_{schools}} + \frac{\sigma_{within}^2}{n_{schools} n_{students}}}$$

The standard error for a simple random sample is inversely proportional to the number of selected students. The standard error on the mean for a cluster sample is proportional to the variance that lies between clusters (*i.e.* schools) and within clusters and inversely proportional to the number of selected schools and the number of students selected per school.

It is usual to express the decomposition of the total variance into the between-school variance and the within-school variance by the coefficient of intraclass correlation, also denoted *Rho*. mathematically, this index is equal to

$$11.5 \quad Rho = \frac{\sigma_{schools}^2}{\sigma_{schools}^2 + \sigma_{within}^2}$$

This index provides an indication of the percentage of variance that lies between schools.



Figure 11.1 shows the standard errors of a mean for any standardized variable for a simple random sample of 5000 students and for cluster samples of 25 students per school, for different intraclass correlation coefficients. In the case of a sample of 25 students per school, this would mean that 200 schools participated.

Figure 11.1

Standard error on a mean estimate depending on the intraclass correlation

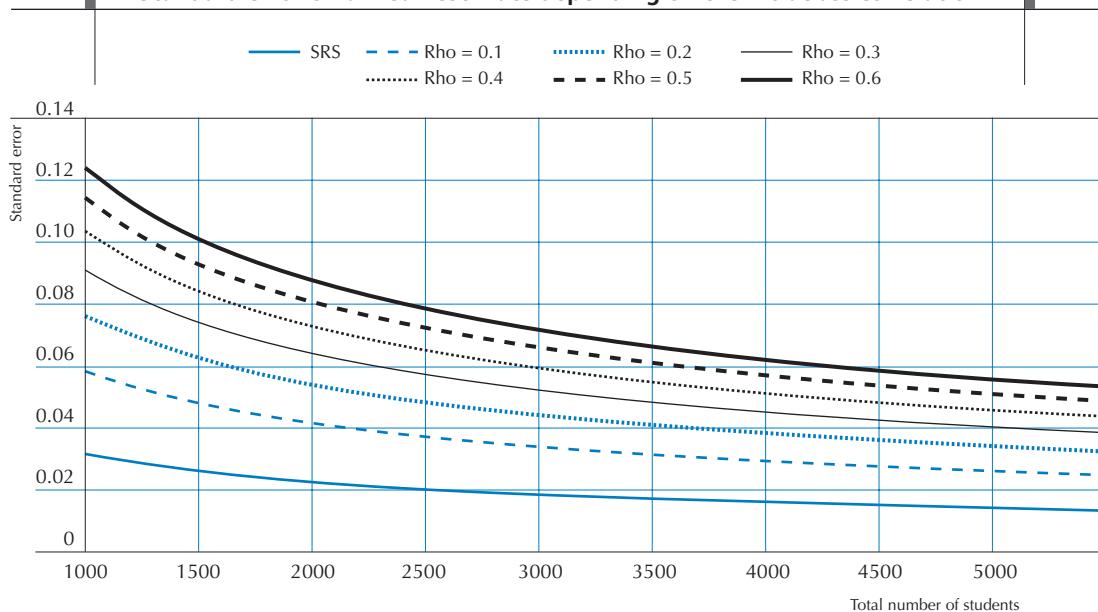


Figure 11.1 shows that the standard error on the mean is quite a lot larger for a cluster sample than it is for a simple random sample and also that the standard error is proportional of the intraclass correlation.

To limit this reduction of precision in the population parameter estimate, multi-stage sample designs usually use supplementary information to improve coverage of the population diversity. In PISA the following techniques are implemented to limit the increase in the standard error: (i) explicit and or implicit stratification of the school sample frame and (ii) selection of schools with probabilities proportional to their size. Complementary information generally cannot compensate totally for the increase in the standard error due to the multi-stage design however.

Table 11.5 provides the standard errors on the PISA 2006 combined science scale if the country sample was selected according to (i) a simple random sample; (ii) a multistage procedure without using complementary information and (iii) the BRR estimate for the actual PISA 2006 design, using the Fay's (BRR) replicates. It should be mentioned that the plausible value imputation variance was not included in these computations.

Note that the values in Table 11.5 for the standard errors for the unstratified design are overestimates for countries that had a school census (Iceland, Liechtenstein, Luxembourg, Macao - China, and Qatar) since these standard error estimates assume a simple random sample of schools.

Also note that in many of the countries where the unbiased values in Table 11.5 are greater than the values for the unstratified cluster sample, this is because of regional oversampling (Brazil, Canada, Indonesia, Mexico, Spain and Switzerland) or a three-stage design was used (Russian Federation).



Table 11.5
Standard errors for the PISA 2006 combined science scale

| | Simple Random Sample | Unstratified Multi-stage Sample | BRR Estimate for PISA sample |
|-----------------|----------------------|---------------------------------|------------------------------|
| OECD | Australia | 0.84 | 2.47 |
| | Austria | 1.39 | 3.92 |
| | Belgium | 1.06 | 2.48 |
| | Canada | 0.63 | 2.03 |
| | Czech Republic | 1.28 | 3.48 |
| | Denmark | 1.38 | 3.11 |
| | Finland | 1.25 | 2.02 |
| | France | 1.48 | 3.36 |
| | Germany | 1.43 | 3.80 |
| | Greece | 1.32 | 3.23 |
| | Hungary | 1.32 | 2.68 |
| | Iceland | 1.57 | 1.64 |
| | Ireland | 1.39 | 3.19 |
| | Italy | 0.65 | 2.02 |
| | Japan | 1.30 | 3.37 |
| | Korea | 1.25 | 3.36 |
| | Luxembourg | 1.43 | 1.05 |
| | Mexico | 0.46 | 2.71 |
| | Netherlands | 1.37 | 2.74 |
| | New Zealand | 1.54 | 2.69 |
| | Norway | 1.40 | 3.11 |
| | Poland | 1.21 | 2.34 |
| | Portugal | 1.24 | 3.02 |
| | Slovakia | 1.35 | 2.59 |
| | Spain | 0.65 | 2.57 |
| | Sweden | 1.41 | 2.37 |
| | Switzerland | 0.90 | 3.16 |
| | Turkey | 1.18 | 3.84 |
| | United Kingdom | 0.93 | 2.29 |
| | United States | 1.42 | 4.22 |
| Partners | Argentina | 1.54 | 6.08 |
| | Azerbaijan | 0.77 | 2.75 |
| | Brazil | 0.93 | 2.79 |
| | Bulgaria | 1.59 | 6.11 |
| | Chile | 1.27 | 4.32 |
| | Colombia | 1.27 | 3.37 |
| | Croatia | 1.19 | 2.45 |
| | Estonia | 1.20 | 2.52 |
| | Hong Kong-China | 1.35 | 2.47 |
| | Indonesia | 0.68 | 5.73 |
| | Israel | 1.65 | 3.71 |
| | Jordan | 1.11 | 2.84 |
| | Kyrgyzstan | 1.09 | 2.93 |
| | Latvia | 1.23 | 2.97 |
| | Liechtenstein | 5.26 | 4.10 |
| | Lithuania | 1.31 | 2.76 |
| | Macao-China | 1.13 | 1.06 |
| | Montenegro | 1.20 | 1.06 |
| | Qatar | 1.06 | 0.86 |
| | Romania | 1.13 | 4.20 |
| | Russian Federation | 1.18 | 3.67 |
| | Serbia | 1.23 | 3.04 |
| | Slovenia | 1.21 | 1.11 |
| | Chinese Taipei | 1.01 | 3.57 |
| | Thailand | 0.98 | 2.14 |
| | Tunisia | 1.21 | 2.96 |
| | Uruguay | 1.36 | 2.75 |



The unbiased values in Table 11.5 are also greater than the values for the unstratified cluster sample for Argentina, Denmark and the United States. For Argentina and the United States, this may be caused by the small school strata. As described in the sampling design chapter, some countries have a substantial proportion of students attending schools with fewer than *TCS PISA* students. In such cases, to compensate the loss of assessed students, schools with fewer than *TCS PISA* students were placed in very small school strata, moderately small school strata or small school strata, depending on the percentage of students attending such schools. Schools in the very small school strata were undersampled while schools in all large school strata were slightly oversampled.

These small school strata appear, in some cases, to have an adverse impact on the standard errors. For instance, removing all small school strata in the United States reduces the standard error on the mean for the science performance estimate from 4.21 to 3.72. When a similar approach was taken for Argentina, the standard error was reduced from 6.08 to 4.61. Recall that removing schools from the sample should theoretically, all else equal, increase the standard error. This phenomenon might be due to the mixing of explicit strata in small school strata (small school strata were sorted by the explicit stratification variables).

For Denmark, there is no ready explanation as to why the unbiased estimate (3.11) is somewhat greater than that based on an unstratified design (2.90), except perhaps the fact that these estimates are based on samples and are therefore subject to random variation. However, this suggests that the stratification did not explain much between-school variance in Denmark.

It is usual to express the effect of the sampling design on the standard errors by a parameter referred to as the design effect. This corresponds to the ratio of the variance of the estimate obtained from the (more complex) sample to the variance of the estimate that would be obtained from a simple random sample of the same number of sampling units. The design effect has two primary uses – in sample size estimation and in appraising the efficiency of more complex plans (Cochran, 1977).

In PISA, as sampling variance has to be estimated by using the 80 *BRR* replicates, a design effect can be computed for a statistic *t* using:

11.6

$$Deff(t) = \frac{Var_{BRR}(t)}{Var_{SRS}(t)}$$

where $Var_{BRR}(t)$ is the sampling variance for the statistic *t* computed by the *BRR* replication method, and $Var_{SRS}(t)$ is the sampling variance for the same statistic *t* on the same data base but considering the sample as a simple random sample.

Based on Table 11.5, the standard error on the mean estimate is science in Australia is equal to 2.26. As the standard deviation of the science performance is equal to 100.205, the design effect in Australia for the mean estimate in science is therefore equal to:

11.7

$$Deff(t) = \frac{Var_{BRR}(t)}{Var_{SRS}(t)} = \frac{(2.26)^2}{[100.205^2/14170]} = 7.21$$

The sampling variance on the science performance mean in Australia is about seven times larger than it would have been with a simple random sample of equal size.



Another way to express the reduction of precision due to the complex sampling design is through the effective sample size, which expresses the simple random sample size that would give the same sampling variance as the one obtained from the actual complex sample design. The effective sample size for a statistic t is equal to:

11.8

$$Effn(t) = \frac{n}{Deff(t)} = \frac{n \times Var_{SRS}(t)}{Var_{Brr}(t)}$$

where n is equal to the actual number of units in the sample. The effective sample size in Australia for the science performance mean is equal to:

11.9

$$Effn(t) = \frac{n}{Deff(t)} = \frac{n \times Var_{SRS}(t)}{Var_{Brr}(t)} = \frac{(100.205)^2}{(2.26)^2} = 1965.9$$

In other words, a simple random sample of 1966 students in Australia would have been as precise as the actual PISA 2006 sample for the estimation of the science performance, for the national estimate of mean science proficiency.

Variability of the design effect

Neither the design effect nor the effective sample size are a definitive characteristic of a sample. They vary both with the variable and statistic of interest.

As previously stated, the sampling variance for estimates of the mean from a cluster sample is proportional to the intraclass correlation. In some countries, student performance varies between schools. Students in academic schools usually tend to perform well while on average student performance in vocational schools is lower. Let us now suppose that the height of the students was also measured. There are no reasons why students in academic schools should be taller than students in vocational schools, at least if there is no interaction between tracks and gender. For this particular variable, the expected value of the school variance should be equal to zero and therefore, the design effect should tend to one. As the segregation effect differs according to the variable, the design effect will also differ according to the variable.

The second factor that influences the size of the design effect is the choice of requested statistics. It tends to be large for means, proportions, and sums but substantially smaller for bivariate or multivariate statistics such as correlation and regression coefficients, and so on.

Design effects in PISA for performance variables

The notion of design effect as given earlier is here extended and gives rise to five different design effect formulae to describe the influence of the sampling and test designs on the standard errors for statistics.

The total error computed for the international PISA initial report, *PISA 2006: Science Competencies for Tomorrow's World* (OECD, 2007) that involves performance variables (plausible values or proficiency levels) consist of two components: sampling variance and measurement variance. The standard error of proficiency estimates in PISA is inflated because the students were not sampled according to a simple random sample and also because the estimation of student proficiency includes some amount of random (measurement) error.



For any statistic t , the population estimate and the sampling variance are computed for each plausible value (or each proficiency level) and then combined as described in Chapter 9.

The five design effects and their respective effective sample sizes are defined as follows:

11.10

$$Deff_1(t) = \frac{Var_{SRS}(t) + MVar(t)}{Var_{SRS}(t)}$$

where $MVar(t)$ is the measurement error variance for the statistic t . This design effect shows the inflation of the total variance that would have occurred due to measurement error if in fact the samples were considered as a simple random sample. Table 11.6 provides, per domain and per cycle, the design effect 1 values, for any country that participated in at least one cycle. Table 11.7 provides the corresponding effective sample size.

11.11

$$Deff_2(t) = \frac{Var_{BRR}(t) + MVar(t)}{Var_{SRS}(t) + MVar(t)}$$

shows the inflation of the *total* variance due only to the use of a complex sampling design. Table 11.8 provides, for each domain and PISA cycle, the design effect 2 values, for each country. Table 11.9 provides the corresponding effective sample size.

11.12

$$Deff_3(t) = \frac{Var_{BRR}(t)}{Var_{SRS}(t)}$$

shows the inflation of the sampling variance due to the use of a complex design. Table 11.9 provides, for each domain and PISA cycle, the design effect 3 values, for each country. Table 11.10 provides the corresponding effective sample size.

11.13

$$Deff_4(t) = \frac{Var_{BRR}(t) + MVar(t)}{Var_{BRR}(t)}$$

shows the inflation of the total variance due to measurement error. Table 11.11 provides, for each domain and PISA cycle, the design effect 4 values, for each country. Table 11.12 provides the corresponding effective sample size.

11.14

$$Deff_5(t) = \frac{Var_{BRR}(t) + MVar(t)}{Var_{SRS}(t)}$$

shows the inflation of the *total* variance due to the measurement error and due to the complex sampling design. Table 11.12 provides, for each domain and PISA cycle, the design effect 5 values, for each country. Table 11.13 provides the corresponding effective sample size.

The product of the first and second design effects equals the product of the third and fourth design effects, and both products are equal to the fifth design effect.



Table 11.6
Design effect 1 by country, by domain and cycle

| | PISA 2000 | | | PISA 2003 | | | PISA 2006 | | | |
|-----------------|--------------------|-------------|---------|-----------|-------------|---------|-----------|-------------|---------|------|
| | Reading | Mathematics | Science | Reading | Mathematics | Science | Reading | Mathematics | Science | |
| OECD | Australia | 1.30 | 1.49 | 1.20 | 1.22 | 1.11 | 1.14 | 1.16 | 1.10 | 1.12 |
| | Austria | 1.06 | 1.01 | 1.07 | 1.10 | 1.14 | 1.09 | 1.09 | 1.19 | 1.12 |
| | Belgium | 1.06 | 1.12 | 1.03 | 1.12 | 1.06 | 1.47 | 1.07 | 1.03 | 1.06 |
| | Canada | 1.09 | 1.12 | 1.10 | 1.49 | 1.51 | 1.82 | 1.30 | 1.08 | 1.13 |
| | Czech Republic | 1.07 | 1.03 | 1.08 | 1.35 | 1.21 | 1.58 | 1.10 | 1.14 | 1.06 |
| | Denmark | 1.08 | 1.23 | 1.04 | 1.39 | 1.24 | 1.29 | 1.16 | 1.19 | 1.17 |
| | Finland | 1.14 | 1.25 | 1.24 | 1.16 | 1.25 | 1.28 | 1.12 | 1.60 | 1.23 |
| | France | 1.12 | 1.21 | 1.25 | 1.16 | 1.12 | 1.26 | 1.05 | 1.20 | 1.02 |
| | Germany | 1.13 | 1.06 | 1.22 | 1.05 | 1.01 | 1.12 | 1.07 | 1.14 | 1.08 |
| | Greece | 1.19 | 1.24 | 1.02 | 1.52 | 1.10 | 1.96 | 1.08 | 1.09 | 1.40 |
| | Hungary | 1.03 | 1.04 | 1.05 | 1.12 | 1.20 | 1.45 | 1.25 | 1.27 | 1.10 |
| | Iceland | 1.11 | 1.25 | 1.03 | 1.14 | 1.06 | 1.05 | 1.62 | 1.56 | 1.12 |
| | Ireland | 1.11 | 1.07 | 1.02 | 1.13 | 1.11 | 1.25 | 1.30 | 1.21 | 1.30 |
| | Italy | 1.16 | 1.32 | 1.05 | 1.90 | 1.78 | 1.20 | 1.19 | 1.29 | 1.10 |
| | Japan | 1.11 | 1.10 | 1.17 | 1.31 | 1.09 | 1.10 | 1.17 | 1.03 | 1.05 |
| | Korea | 1.13 | 1.12 | 1.22 | 1.24 | 1.22 | 1.11 | 1.47 | 1.10 | 1.18 |
| | Luxembourg | 1.16 | 1.11 | 1.15 | 1.36 | 1.01 | 1.25 | 1.21 | 1.13 | 1.07 |
| | Mexico | 1.17 | 1.18 | 1.19 | 1.87 | 1.59 | 5.91 | 1.75 | 2.84 | 1.73 |
| | Netherlands | 1.06 | 1.08 | 1.02 | 1.29 | 1.09 | 1.29 | 1.36 | 1.19 | 1.18 |
| | New Zealand | 1.03 | 1.14 | 1.03 | 1.10 | 1.21 | 1.16 | 1.17 | 1.18 | 1.04 |
| | Norway | 1.06 | 1.24 | 1.06 | 1.26 | 1.03 | 1.14 | 1.10 | 1.13 | 1.06 |
| | Poland | 1.16 | 1.08 | 1.43 | 1.17 | 1.13 | 1.04 | 1.07 | 1.28 | 1.09 |
| | Portugal | 1.20 | 1.10 | 1.03 | 1.11 | 1.02 | 1.14 | 1.28 | 1.34 | 1.23 |
| | Slovak Republic | | | | 1.03 | 1.14 | 1.02 | 1.13 | 1.43 | 1.13 |
| | Spain | 1.17 | 1.03 | 1.04 | 1.83 | 1.36 | 1.38 | 1.33 | 2.18 | 1.92 |
| | Sweden | 1.20 | 1.12 | 1.13 | 1.17 | 1.06 | 1.43 | 1.65 | 1.06 | 1.10 |
| | Switzerland | 1.05 | 1.20 | 1.29 | 1.22 | 1.28 | 1.20 | 1.31 | 1.44 | 1.14 |
| | Turkey | | | | 1.24 | 1.24 | 1.26 | 1.25 | 1.33 | 1.03 |
| | United Kingdom | 1.09 | 1.17 | 1.26 | 1.47 | 1.26 | 1.20 | 1.21 | 1.19 | 1.41 |
| | United States | 1.10 | 1.10 | 1.12 | 1.48 | 1.36 | 1.32 | | 1.15 | 1.03 |
| Partners | Albania | 1.07 | 1.17 | 1.34 | | | | | | |
| | Argentina | 1.18 | 1.17 | 1.31 | | | | 1.29 | 1.33 | 1.11 |
| | Azerbaijan | | | | | | | 1.58 | 1.27 | 1.21 |
| | Brazil | 1.19 | 1.25 | 1.63 | 1.37 | 1.22 | 1.87 | 1.60 | 1.21 | 1.39 |
| | Bulgaria | 1.13 | 1.03 | 1.36 | | | | 1.09 | 1.22 | 1.16 |
| | Chile | 1.12 | 1.30 | 1.36 | | | | 1.17 | 1.28 | 1.08 |
| | Colombia | | | | | | | 1.36 | 1.10 | 1.46 |
| | Croatia | | | | | | | 1.17 | 1.12 | 1.12 |
| | Estonia | | | | | | | 1.07 | 1.07 | 1.15 |
| | Hong Kong-China | 1.05 | 1.10 | 1.12 | 1.07 | 1.42 | 1.19 | 1.09 | 1.13 | 1.03 |
| | Indonesia | 1.48 | 1.24 | 1.29 | 1.98 | 1.46 | 1.70 | 1.29 | 1.94 | 1.16 |
| | Israel | 1.47 | 1.15 | 1.33 | | | | 1.12 | 1.23 | 1.04 |
| | Jordan | | | | | | | 1.51 | 1.20 | 1.07 |
| | Kyrgyzstan | | | | | | | 1.17 | 1.16 | 1.03 |
| | Latvia | 1.20 | 1.18 | 1.05 | 1.20 | 1.18 | 1.15 | 1.14 | 1.05 | 1.08 |
| | Liechtenstein | 1.10 | 1.15 | 1.04 | 1.05 | 1.21 | 1.16 | 1.10 | 1.22 | 1.13 |
| | Lithuania | | | | | | | 1.11 | 1.29 | 1.05 |
| | Macao-China | | | | 1.29 | 1.05 | 1.19 | 1.21 | 1.39 | 1.09 |
| | Montenegro | | | | | | | 1.09 | 1.25 | 1.10 |
| | Peru | 1.10 | 1.20 | 1.89 | | | | | | |
| | Qatar | | | | | | | 1.25 | 1.30 | 1.13 |
| | Romania | | | | | | | 1.40 | 1.39 | 1.07 |
| | Russian Federation | 1.16 | 1.15 | 1.14 | 1.22 | 1.28 | 1.15 | 1.42 | 1.23 | 1.08 |
| | Serbia | | | | 1.11 | 1.29 | 1.36 | 1.14 | 1.33 | 1.05 |
| | Slovak Republic | | | | 1.03 | 1.14 | 1.02 | 1.13 | 1.43 | 1.13 |
| | Slovenia | | | | | | | 1.16 | 1.23 | 1.07 |
| | Chinese Taipei | | | | | | | 1.59 | 1.18 | 1.07 |
| | Thailand | 1.13 | 1.23 | 1.10 | 1.70 | 1.25 | 1.33 | 1.19 | 1.26 | 1.08 |
| | Tunisia | | | | 1.48 | 1.05 | 1.10 | 1.10 | 1.19 | 1.03 |
| | Uruguay | | | | 1.34 | 1.10 | 1.04 | 1.16 | 1.20 | 1.13 |



Table 11.7
Effective sample size 1 by country, by domain and cycle

| | PISA 2000 | | | PISA 2003 | | | PISA 2006 | | |
|--------------------|-----------|-------------|---------|-----------|-------------|---------|-----------|-------------|---------|
| | Reading | Mathematics | Science | Reading | Mathematics | Science | Reading | Mathematics | Science |
| OECD | | | | | | | | | |
| Australia | 3 983 | 1 923 | 2 374 | 10 328 | 11 335 | 11 055 | 12 176 | 12 841 | 12 654 |
| Austria | 4 483 | 2 620 | 2 500 | 4 195 | 4 040 | 4 211 | 4 508 | 4 141 | 4 399 |
| Belgium | 6 302 | 3 366 | 3 613 | 7 861 | 8 291 | 5 987 | 8 256 | 8 614 | 8 364 |
| Canada | 27 294 | 14 682 | 15 047 | 18 723 | 18 559 | 15 320 | 17 465 | 21 011 | 20 048 |
| Czech Republic | 5 019 | 2 964 | 2 841 | 4 681 | 5 221 | 4 006 | 5 377 | 5 195 | 5 604 |
| Denmark | 3 924 | 1 936 | 2 256 | 3 032 | 3 402 | 3 259 | 3 892 | 3 810 | 3 877 |
| Finland | 4 270 | 2 163 | 2 180 | 5 009 | 4 627 | 4 537 | 4 203 | 2 941 | 3 836 |
| France | 4 189 | 2 153 | 2 080 | 3 707 | 3 851 | 3 404 | 4 470 | 3 923 | 4 617 |
| Germany | 4 473 | 2 682 | 2 341 | 4 454 | 4 603 | 4 156 | 4 566 | 4 290 | 4 515 |
| Greece | 3 930 | 2 108 | 2 553 | 3 054 | 4 192 | 2 366 | 4 497 | 4 459 | 3 485 |
| Hungary | 4 743 | 2 701 | 2 678 | 4 272 | 3 978 | 3 278 | 3 603 | 3 543 | 4 089 |
| Iceland | 3 045 | 1 505 | 1 804 | 2 940 | 3 164 | 3 179 | 2 341 | 2 421 | 3 387 |
| Ireland | 3 474 | 1 984 | 2 097 | 3 434 | 3 483 | 3 096 | 3 528 | 3 804 | 3 530 |
| Italy | 4 280 | 2 101 | 2 629 | 6 123 | 6 555 | 9 668 | 18 288 | 16 892 | 19 776 |
| Japan | 4 753 | 2 655 | 2 489 | 3 595 | 4 308 | 4 296 | 5 086 | 5 774 | 5 680 |
| Korea | 4 413 | 2 470 | 2 264 | 4 379 | 4 457 | 4 898 | 3 519 | 4 706 | 4 388 |
| Luxembourg | 3 043 | 1 761 | 1 698 | 2 890 | 3 872 | 3 135 | 3 783 | 4 032 | 4 283 |
| Mexico | 3 945 | 2 181 | 2 149 | 15 998 | 18 839 | 5 074 | 17 696 | 10 894 | 17 861 |
| Netherlands | 2 369 | 1 280 | 1 364 | 3 103 | 3 676 | 3 093 | 3 583 | 4 106 | 4 142 |
| New Zealand | 3 549 | 1 793 | 1 974 | 4 102 | 3 742 | 3 892 | 4 122 | 4 073 | 4 629 |
| Norway | 3 895 | 1 857 | 2 181 | 3 215 | 3 946 | 3 570 | 4 253 | 4 153 | 4 439 |
| Poland | 3 158 | 1 823 | 1 425 | 3 748 | 3 894 | 4 222 | 5 167 | 4 344 | 5 105 |
| Portugal | 3 836 | 2 323 | 2 471 | 4 166 | 4 534 | 4 052 | 4 005 | 3 803 | 4 153 |
| Slovak Republic | | | | 7 111 | 6 466 | 7 183 | 4 183 | 3 306 | 4 194 |
| Spain | 5 323 | 3 330 | 3 339 | 5 899 | 7 918 | 7 806 | 14 768 | 9 005 | 10 226 |
| Sweden | 3 669 | 2 207 | 2 163 | 3 960 | 4 362 | 3 240 | 2 690 | 4 180 | 4 044 |
| Switzerland | 5 798 | 2 841 | 2 626 | 6 883 | 6 596 | 7 033 | 9 335 | 8 456 | 10 732 |
| Turkey | | | | 3 901 | 3 905 | 3 864 | 3 959 | 3 729 | 4 789 |
| United Kingdom | 8 552 | 4 450 | 4 099 | 6 489 | 7 588 | 7 964 | 10 845 | 11 047 | 9 297 |
| United States | 3 500 | 1 950 | 1 894 | 3 682 | 4 015 | 4 139 | | 4 899 | 5 426 |
| Partners | | | | | | | | | |
| Albania | 4 653 | 2 379 | 2 063 | | | | 3 355 | 3 258 | 3 896 |
| Argentina | 3 363 | 1 901 | 1 686 | | | | 3 278 | 4 075 | 4 288 |
| Azerbaijan | | | | | | | | | |
| Brazil | 4 112 | 2 175 | 1 660 | 3 244 | 3 639 | 2 381 | 5 804 | 7 668 | 6 672 |
| Bulgaria | 4 128 | 2 538 | 1 879 | | | | 4 114 | 3 688 | 3 873 |
| Chile | 4 372 | 2 095 | 1 997 | | | | 4 490 | 4 086 | 4 855 |
| Colombia | | | | | | | 3 305 | 4 054 | 3 074 |
| Croatia | | | | | | | 4 438 | 4 659 | 4 666 |
| Estonia | | | | | | | 4 528 | 4 554 | 4 248 |
| Hong Kong-China | 4 199 | 2 223 | 2 181 | 4 171 | 3 162 | 3 777 | 4 281 | 4 108 | 4 488 |
| Indonesia | 4 980 | 3 304 | 3 153 | 5 436 | 7 375 | 6 340 | 8 244 | 5 500 | 9 191 |
| Israel | 3 063 | 2 161 | 1 884 | | | | 4 077 | 3 739 | 4 390 |
| Jordan | | | | | | | 4 319 | 5 434 | 6 066 |
| Kyrgyzstan | | | | | | | 5 031 | 5 095 | 5 706 |
| Latvia | 3 240 | 1 826 | 2 059 | 3 851 | 3 920 | 4 026 | 4 136 | 4 481 | 4 368 |
| Liechtenstein | 286 | 153 | 170 | 316 | 274 | 285 | 309 | 278 | 300 |
| Lithuania | | | | | | | 4 255 | 3 675 | 4 535 |
| Macao-China | | | | 970 | 1 189 | 1 053 | 3 944 | 3 424 | 4 377 |
| Montenegro | | | | | | | 4 102 | 3 570 | 4 039 |
| Peru | 4 020 | 2 107 | 1 336 | | | | | | |
| Qatar | | | | | | | 5 030 | 4 814 | 5 548 |
| Romania | | | | | | | 3 668 | 3 681 | 4 805 |
| Russian Federation | 5 771 | 3 232 | 3 252 | 4 888 | 4 667 | 5 178 | 4 091 | 4 711 | 5 354 |
| Serbia | | | | 3 977 | 3 424 | 3 247 | 4 216 | 3 617 | 4 578 |
| Slovenia | | | | | | | 5 693 | 5 373 | 6 146 |
| Chinese Taipei | | | | | | | 5 535 | 7 448 | 8 270 |
| Thailand | 4 726 | 2 406 | 2 698 | 3 073 | 4 177 | 3 934 | 5 193 | 4 898 | 5 721 |
| Tunisia | | | | 3 181 | 4 497 | 4 284 | 4 225 | 3 890 | 4 526 |
| Uruguay | | | | 4 344 | 5 308 | 5 608 | 4 175 | 4 049 | 4 293 |



Table 11.8
Design effect 2 by country, by domain and cycle

| | PISA 2000 | | | PISA 2003 | | | PISA 2006 | | | |
|-----------------|--------------------|-------------|---------|-----------|-------------|---------|-----------|-------------|---------|-------|
| | Reading | Mathematics | Science | Reading | Mathematics | Science | Reading | Mathematics | Science | |
| OECD | Australia | 4.77 | 2.89 | 3.22 | 4.92 | 5.75 | 4.69 | 5.89 | 8.32 | 6.44 |
| | Austria | 2.98 | 1.93 | 1.95 | 5.58 | 4.97 | 5.29 | 6.41 | 6.01 | 7.08 |
| | Belgium | 6.96 | 4.54 | 5.39 | 4.33 | 3.59 | 3.18 | 6.31 | 6.68 | 5.20 |
| | Canada | 7.41 | 4.05 | 4.70 | 7.29 | 8.08 | 6.34 | 11.21 | 11.04 | 9.33 |
| | Czech Republic | 3.04 | 2.46 | 1.90 | 6.15 | 7.13 | 4.51 | 7.59 | 6.15 | 6.99 |
| | Denmark | 2.26 | 1.53 | 1.67 | 3.09 | 3.07 | 2.78 | 4.93 | 3.63 | 4.32 |
| | Finland | 3.55 | 1.54 | 1.80 | 2.06 | 2.30 | 2.04 | 2.94 | 2.37 | 2.13 |
| | France | 3.70 | 1.99 | 2.01 | 2.83 | 2.87 | 2.48 | 6.83 | 4.32 | 5.05 |
| | Germany | 2.20 | 1.62 | 1.33 | 4.29 | 4.81 | 4.42 | 7.09 | 6.54 | 6.51 |
| | Greece | 10.29 | 5.60 | 6.51 | 4.70 | 7.24 | 3.41 | 6.98 | 4.61 | 4.28 |
| | Hungary | 8.41 | 4.53 | 4.42 | 3.08 | 3.66 | 2.66 | 4.36 | 3.56 | 3.77 |
| | Iceland | 0.75 | 1.06 | 1.10 | 0.74 | 0.78 | 0.75 | 0.94 | 1.02 | 0.97 |
| | Ireland | 4.16 | 2.09 | 2.52 | 3.16 | 2.87 | 2.59 | 5.16 | 4.38 | 4.02 |
| | Italy | 4.35 | 2.21 | 2.54 | 5.59 | 6.77 | 8.14 | 9.10 | 9.59 | 8.83 |
| | Japan | 17.53 | 10.60 | 9.12 | 4.97 | 6.87 | 6.16 | 6.46 | 7.78 | 6.45 |
| | Korea | 5.33 | 2.65 | 2.52 | 6.14 | 5.47 | 6.07 | 6.56 | 7.77 | 6.10 |
| | Luxembourg | 0.77 | 0.81 | 0.98 | 0.64 | 0.43 | 0.67 | 0.62 | 0.53 | 0.51 |
| | Mexico | 5.88 | 3.60 | 3.66 | 29.59 | 34.24 | 8.22 | 18.09 | 12.83 | 20.21 |
| | Netherlands | 3.39 | 2.17 | 2.32 | 3.51 | 4.21 | 3.15 | 3.28 | 3.50 | 3.40 |
| | New Zealand | 2.35 | 1.82 | 1.12 | 2.27 | 1.97 | 2.00 | 3.33 | 2.67 | 2.92 |
| | Norway | 2.85 | 1.70 | 1.81 | 2.36 | 2.63 | 2.74 | 3.89 | 3.45 | 4.65 |
| | Poland | 6.29 | 5.20 | 3.99 | 3.37 | 3.00 | 3.30 | 4.02 | 3.46 | 3.47 |
| | Portugal | 8.30 | 4.63 | 4.98 | 6.75 | 6.84 | 5.56 | 5.20 | 4.35 | 4.84 |
| | Slovak Republic | | | | 8.09 | 8.32 | 9.47 | 3.54 | 2.95 | 3.23 |
| | Spain | 5.44 | 3.96 | 3.19 | 4.38 | 5.87 | 5.31 | 9.34 | 6.21 | 8.21 |
| | Sweden | 2.10 | 1.53 | 1.57 | 2.54 | 3.18 | 2.11 | 3.29 | 3.01 | 2.57 |
| | Switzerland | 10.04 | 5.49 | 5.18 | 8.23 | 7.80 | 8.26 | 9.88 | 8.86 | 10.88 |
| | Turkey | | | | 14.39 | 16.15 | 14.55 | 8.11 | 10.30 | 10.19 |
| | United Kingdom | 5.55 | 3.31 | 3.07 | 4.46 | 5.25 | 4.81 | 5.31 | 6.41 | 4.27 |
| | United States | 15.82 | 11.77 | 9.91 | 3.73 | 3.85 | 3.80 | 9.83 | 8.61 | |
| Partners | Albania | 5.10 | 1.97 | 1.94 | | | | | | |
| | Argentina | 27.72 | 11.50 | 10.32 | | | | 11.18 | 12.41 | 14.05 |
| | Azerbaijan | | | | | | | 6.48 | 9.03 | 10.49 |
| | Brazil | 5.32 | 3.14 | 2.16 | 5.49 | 8.54 | 4.65 | 7.75 | 7.79 | 6.50 |
| | Bulgaria | 9.54 | 6.78 | 4.39 | | | | 14.20 | 13.56 | 12.70 |
| | Chile | 6.96 | 3.24 | 2.67 | | | | 10.50 | 11.22 | 10.77 |
| | Colombia | | | | | | | 7.34 | 7.48 | 4.87 |
| | Croatia | | | | | | | 4.43 | 3.75 | 3.79 |
| | Estonia | | | | | | | 5.37 | 5.31 | 3.86 |
| | Hong Kong-China | 5.10 | 2.69 | 2.73 | 7.88 | 6.48 | 7.74 | 3.75 | 3.36 | 3.27 |
| | Indonesia | 15.08 | 9.47 | 8.71 | 10.69 | 17.38 | 14.12 | 51.68 | 27.19 | 61.43 |
| | Israel | 18.44 | 10.96 | 9.86 | | | | 6.00 | 6.12 | 4.85 |
| | Jordan | | | | | | | 5.21 | 8.47 | 6.05 |
| | Kyrgyzstan | | | | | | | 5.83 | 7.83 | 6.98 |
| | Latvia | 8.62 | 3.40 | 6.80 | 6.34 | 6.90 | 7.08 | 6.99 | 5.99 | 5.42 |
| | Liechtenstein | 0.52 | 0.81 | 0.95 | 0.50 | 0.47 | 0.50 | 0.52 | 0.57 | 0.54 |
| | Lithuania | | | | | | | 4.15 | 3.90 | 4.25 |
| | Macao-China | | | | 1.01 | 1.31 | 1.25 | 0.81 | 0.82 | 0.80 |
| | Montenegro | | | | | | | 0.75 | 0.92 | 0.72 |
| | Peru | 8.47 | 3.43 | 2.70 | | | | | | |
| | Qatar | | | | | | | 0.61 | 0.61 | 0.58 |
| | Romania | | | | | | | 9.57 | 9.25 | 12.87 |
| | Russian Federation | 11.79 | 8.90 | 7.42 | 8.70 | 9.66 | 8.92 | 8.80 | 8.79 | 8.97 |
| | Serbia | | | | 7.59 | 6.73 | 5.80 | 6.00 | 5.30 | 5.82 |
| | Slovenia | | | | | | | 0.71 | 0.73 | 0.79 |
| | Chinese Taipei | | | | | | | 8.86 | 11.79 | 11.80 |
| | Thailand | 8.44 | 4.57 | 4.27 | 3.97 | 5.59 | 4.34 | 5.21 | 4.03 | 4.41 |
| | Tunisia | | | | 2.74 | 4.30 | 3.68 | 7.21 | 7.21 | 5.83 |
| | Uruguay | | | | 3.47 | 5.76 | 3.95 | 3.35 | 2.79 | 3.64 |



Table 11.9
Effective sample size 2 by country, by domain and cycle

| | PISA 2000 | | | PISA 2003 | | | PISA 2006 | | |
|--------------------|-----------|-------------|---------|-----------|-------------|---------|-----------|-------------|---------|
| | Reading | Mathematics | Science | Reading | Mathematics | Science | Reading | Mathematics | Science |
| OECD | | | | | | | | | |
| Australia | 1 085 | 991 | 889 | 2 549 | 2 184 | 2 675 | 2 406 | 1 703 | 2 201 |
| Austria | 1 590 | 1 370 | 1 370 | 824 | 925 | 868 | 769 | 820 | 696 |
| Belgium | 958 | 834 | 690 | 2 031 | 2 452 | 2 767 | 1 404 | 1 326 | 1 705 |
| Canada | 4 009 | 4 072 | 3 506 | 3 834 | 3 458 | 4 407 | 2 020 | 2 052 | 2 428 |
| Czech Republic | 1 766 | 1 246 | 1 611 | 1 027 | 887 | 1 400 | 781 | 964 | 848 |
| Denmark | 1 875 | 1 556 | 1 405 | 1 367 | 1 374 | 1 520 | 919 | 1 249 | 1 049 |
| Finland | 1 370 | 1 751 | 1 510 | 2 820 | 2 519 | 2 844 | 1 606 | 1 991 | 2 213 |
| France | 1 262 | 1 305 | 1 290 | 1 522 | 1 498 | 1 733 | 690 | 1 093 | 934 |
| Germany | 2 309 | 1 747 | 2 142 | 1 087 | 969 | 1 053 | 690 | 748 | 752 |
| Greece | 454 | 466 | 398 | 985 | 639 | 1 356 | 698 | 1 058 | 1 138 |
| Hungary | 581 | 618 | 633 | 1 549 | 1 301 | 1 791 | 1 031 | 1 261 | 1 192 |
| Iceland | 4 470 | 1 768 | 1 684 | 4 538 | 4 268 | 4 470 | 4 028 | 3 717 | 3 917 |
| Ireland | 927 | 1 016 | 847 | 1 228 | 1 352 | 1 498 | 888 | 1 046 | 1 140 |
| Italy | 1 147 | 1 250 | 1 087 | 2 082 | 1 720 | 1 430 | 2 394 | 2 271 | 2 465 |
| Japan | 300 | 276 | 320 | 947 | 685 | 764 | 921 | 765 | 923 |
| Korea | 935 | 1 047 | 1 095 | 887 | 994 | 897 | 789 | 666 | 849 |
| Luxembourg | 4 603 | 2 415 | 1 983 | 6 122 | 9 061 | 5 890 | 7 380 | 8 698 | 8 992 |
| Mexico | 783 | 714 | 696 | 1 013 | 876 | 3 650 | 1 712 | 2 415 | 1 533 |
| Netherlands | 739 | 636 | 601 | 1 137 | 949 | 1 267 | 1 484 | 1 393 | 1 431 |
| New Zealand | 1 560 | 1 128 | 1 811 | 1 991 | 2 287 | 2 260 | 1 447 | 1 805 | 1 654 |
| Norway | 1 457 | 1 357 | 1 279 | 1 723 | 1 545 | 1 486 | 1 205 | 1 359 | 1 008 |
| Poland | 581 | 380 | 513 | 1 302 | 1 462 | 1 328 | 1 381 | 1 603 | 1 600 |
| Portugal | 553 | 550 | 513 | 683 | 673 | 829 | 982 | 1 173 | 1 056 |
| Slovak Republic | | | | 908 | 883 | 776 | 1 338 | 1 605 | 1 465 |
| Sweden | 2 106 | 1 609 | 1 558 | 1 821 | 1 454 | 2 191 | 1 350 | 1 475 | 1 730 |
| Switzerland | 607 | 618 | 656 | 1 023 | 1 080 | 1 020 | 1 234 | 1 376 | 1 121 |
| Turkey | | | | 337 | 301 | 334 | 609 | 480 | 485 |
| United Kingdom | 1 682 | 1 570 | 1 687 | 2 138 | 1 817 | 1 984 | 2 476 | 2 050 | 3 079 |
| United States | 243 | 181 | 215 | 1 462 | 1 418 | 1 437 | 571 | 652 | |
| Partners | | | | | | | | | |
| Albania | 977 | 1 410 | 1 427 | | | | | | |
| Argentina | 144 | 194 | 214 | | | | 388 | 350 | 309 |
| Azerbaijan | | | | | | | 800 | 574 | 494 |
| Brazil | 920 | 864 | 1 253 | 810 | 521 | 956 | 1 200 | 1 193 | 1 431 |
| Bulgaria | 488 | 387 | 581 | | | | 317 | 332 | 354 |
| Chile | 702 | 844 | 1 020 | | | | 498 | 467 | 486 |
| Colombia | | | | | | | 610 | 598 | 920 |
| Croatia | | | | | | | 1 177 | 1 389 | 1 374 |
| Estonia | | | | | | | 907 | 917 | 1 259 |
| Hong Kong-China | 863 | 907 | 893 | 568 | 691 | 578 | 1 237 | 1 384 | 1 422 |
| Indonesia | 489 | 432 | 468 | 1 007 | 619 | 762 | 206 | 392 | 173 |
| Israel | 244 | 227 | 255 | | | | 764 | 749 | 944 |
| Jordan | | | | | | | 1 249 | 769 | 1 076 |
| Kyrgyzstan | | | | | | | 1 012 | 754 | 846 |
| Latvia | 451 | 632 | 317 | 730 | 671 | 654 | 675 | 787 | 870 |
| Liechtenstein | 600 | 216 | 185 | 664 | 700 | 666 | 649 | 593 | 630 |
| Lithuania | | | | | | | 1 144 | 1 217 | 1 115 |
| Macao-China | | | | 1 239 | 956 | 1 002 | 5 857 | 5 820 | 5 947 |
| Montenegro | | | | | | | 5 938 | 4 837 | 6 226 |
| Peru | 523 | 738 | 937 | | | | | | |
| Qatar | | | | | | | 10 254 | 10 257 | 10 791 |
| Romania | | | | | | | 535 | 553 | 398 |
| Russian Federation | 568 | 418 | 501 | 687 | 618 | 670 | 659 | 660 | 647 |
| Serbia | | | | 580 | 654 | 759 | 800 | 906 | 824 |
| Slovenia | | | | | | | 9 244 | 9 015 | 8 373 |
| Chinese Taipei | | | | | | | 995 | 748 | 747 |
| Thailand | 633 | 648 | 694 | 1 320 | 937 | 1 205 | 1 189 | 1 537 | 1 403 |
| Tunisia | | | | 1 725 | 1 097 | 1 282 | 643 | 643 | 795 |
| Uruguay | | | | 1 683 | 1 012 | 1 478 | 1 444 | 1 734 | 1 329 |



Table 11.10
Design effect 3 by country, by domain and by cycle

| | PISA 2000 | | | PISA 2003 | | | PISA 2006 | | | |
|-----------------|--------------------|-------------|---------|-----------|-------------|---------|-----------|-------------|---------|-------|
| | Reading | Mathematics | Science | Reading | Mathematics | Science | Reading | Mathematics | Science | |
| OECD | Australia | 5.90 | 3.81 | 3.67 | 5.77 | 6.25 | 5.19 | 6.69 | 9.08 | 7.09 |
| | Austria | 3.10 | 1.93 | 2.01 | 6.02 | 5.52 | 5.69 | 6.91 | 6.96 | 7.81 |
| | Belgium | 7.31 | 4.98 | 5.53 | 4.73 | 3.75 | 4.20 | 6.70 | 6.84 | 5.44 |
| | Canada | 7.97 | 4.42 | 5.06 | 10.39 | 11.67 | 10.75 | 14.24 | 11.82 | 10.40 |
| | Czech Republic | 3.18 | 2.51 | 1.97 | 7.96 | 8.42 | 6.54 | 8.27 | 6.88 | 7.34 |
| | Denmark | 2.36 | 1.65 | 1.70 | 3.90 | 3.57 | 3.30 | 5.58 | 4.12 | 4.88 |
| | Finland | 3.90 | 1.68 | 1.99 | 2.22 | 2.63 | 2.33 | 3.17 | 3.19 | 2.39 |
| | France | 4.02 | 2.19 | 2.26 | 3.12 | 3.09 | 2.87 | 7.15 | 4.99 | 5.14 |
| | Germany | 2.36 | 1.65 | 1.41 | 4.44 | 4.86 | 4.84 | 7.52 | 7.31 | 6.96 |
| | Greece | 12.04 | 6.68 | 6.60 | 6.60 | 7.89 | 5.72 | 7.48 | 4.94 | 5.59 |
| | Hungary | 8.64 | 4.66 | 4.58 | 3.32 | 4.19 | 3.41 | 5.18 | 4.24 | 4.04 |
| | Iceland | 0.73 | 1.08 | 1.11 | 0.70 | 0.77 | 0.74 | 0.90 | 1.03 | 0.96 |
| | Ireland | 4.50 | 2.17 | 2.55 | 3.44 | 3.08 | 2.99 | 6.41 | 5.08 | 4.92 |
| | Italy | 4.90 | 2.59 | 2.62 | 9.72 | 11.24 | 9.59 | 10.64 | 12.07 | 9.62 |
| | Japan | 19.28 | 11.57 | 10.50 | 6.20 | 7.42 | 6.66 | 7.39 | 7.99 | 6.71 |
| | Korea | 5.89 | 2.84 | 2.85 | 7.39 | 6.47 | 6.63 | 9.18 | 8.44 | 7.01 |
| | Luxembourg | 0.73 | 0.79 | 0.98 | 0.51 | 0.43 | 0.58 | 0.54 | 0.46 | 0.48 |
| | Mexico | 6.69 | 4.06 | 4.15 | 54.56 | 53.89 | 43.63 | 30.91 | 34.61 | 34.30 |
| | Netherlands | 3.52 | 2.27 | 2.35 | 4.23 | 4.48 | 3.78 | 4.10 | 3.96 | 3.83 |
| | New Zealand | 2.40 | 1.93 | 1.12 | 2.39 | 2.17 | 2.15 | 3.73 | 2.98 | 3.00 |
| | Norway | 2.97 | 1.87 | 1.85 | 2.72 | 2.68 | 2.98 | 4.19 | 3.77 | 4.86 |
| | Poland | 7.12 | 5.56 | 5.28 | 3.77 | 3.25 | 3.39 | 4.24 | 4.14 | 3.68 |
| | Portugal | 9.72 | 4.98 | 5.11 | 7.36 | 6.94 | 6.19 | 6.36 | 5.51 | 5.72 |
| | Slovak Republic | | | | 8.33 | 9.31 | 9.66 | 3.87 | 3.79 | 3.52 |
| | Spain | 6.18 | 4.04 | 3.27 | 7.19 | 7.64 | 6.96 | 12.06 | 12.34 | 14.82 |
| | Sweden | 2.32 | 1.59 | 1.64 | 2.80 | 3.31 | 2.59 | 4.79 | 3.14 | 2.72 |
| | Switzerland | 10.52 | 6.37 | 6.40 | 9.85 | 9.68 | 9.69 | 12.60 | 12.33 | 12.22 |
| | Turkey | | | | 17.67 | 19.84 | 18.03 | 9.88 | 13.33 | 10.49 |
| | United Kingdom | 5.97 | 3.70 | 3.61 | 6.08 | 6.34 | 5.56 | 6.23 | 7.45 | 5.63 |
| | United States | 17.29 | 12.79 | 11.01 | 5.05 | 4.87 | 4.69 | | 11.11 | 8.87 |
| Partners | Albania | 5.38 | 2.14 | 2.27 | | | | | | |
| | Argentina | 32.64 | 13.32 | 13.21 | | | | 14.17 | 16.20 | 15.54 |
| | Azerbaijan | | | | | | | 9.66 | 11.22 | 12.47 |
| | Brazil | 6.14 | 3.68 | 2.90 | 7.17 | 10.23 | 7.83 | 11.80 | 9.23 | 8.66 |
| | Bulgaria | 10.63 | 6.96 | 5.61 | | | | 15.44 | 16.32 | 14.58 |
| | Chile | 7.66 | 3.92 | 3.28 | | | | 12.08 | 14.09 | 11.53 |
| | Colombia | | | | | | | 9.60 | 8.16 | 6.63 |
| | Croatia | | | | | | | 5.03 | 4.08 | 4.12 |
| | Estonia | | | | | | | 5.69 | 5.60 | 4.28 |
| | Hong Kong-China | 5.31 | 2.85 | 2.93 | 8.39 | 8.76 | 8.99 | 3.99 | 3.66 | 3.35 |
| | Indonesia | 21.83 | 11.49 | 10.96 | 20.17 | 24.89 | 23.28 | 66.45 | 51.69 | 71.00 |
| | Israel | 26.61 | 12.44 | 12.82 | | | | 6.63 | 7.28 | 5.02 |
| | Jordan | | | | | | | 7.35 | 9.94 | 6.42 |
| | Kyrgyzstan | | | | | | | 6.67 | 8.91 | 7.19 |
| | Latvia | 10.16 | 3.83 | 7.08 | 7.42 | 7.96 | 7.98 | 7.84 | 6.26 | 5.78 |
| | Liechtenstein | 0.48 | 0.78 | 0.95 | 0.47 | 0.36 | 0.42 | 0.48 | 0.48 | 0.48 |
| | Lithuania | | | | | | | 4.51 | 4.74 | 4.40 |
| | Macao-China | | | | 1.01 | 1.32 | 1.29 | 0.77 | 0.75 | 0.78 |
| | Montenegro | | | | | | | 0.73 | 0.90 | 0.69 |
| | Peru | 9.24 | 3.91 | 4.22 | | | | | | |
| | Qatar | | | | | | | 0.52 | 0.49 | 0.53 |
| | Romania | | | | | | | 12.96 | 12.47 | 13.65 |
| | Russian Federation | 13.53 | 10.09 | 8.34 | 10.41 | 12.09 | 10.14 | 12.06 | 10.59 | 9.63 |
| | Serbia | | | | 8.30 | 8.38 | 7.52 | 6.69 | 6.70 | 6.06 |
| | Slovenia | | | | | | | 0.67 | 0.67 | 0.77 |
| | Chinese Taipei | | | | | | | 13.51 | 13.77 | 12.52 |
| | Thailand | 9.40 | 5.39 | 4.60 | 6.06 | 6.75 | 5.45 | 6.02 | 4.83 | 4.69 |
| | Tunisia | | | | 3.58 | 4.47 | 3.96 | 7.82 | 8.41 | 5.96 |
| | Uruguay | | | | 4.31 | 6.24 | 4.07 | 3.73 | 3.14 | 3.98 |



Table 11.11
Effective sample size 3 by country, by domain and cycle

| | PISA 2000 | | | PISA 2003 | | | PISA 2006 | | |
|--------------------|-----------|-------------|---------|-----------|-------------|---------|-----------|-------------|---------|
| | Reading | Mathematics | Science | Reading | Mathematics | Science | Reading | Mathematics | Science |
| OECD | | | | | | | | | |
| Australia | 877 | 751 | 779 | 2 176 | 2 007 | 2 417 | 2 118 | 1 560 | 1 999 |
| Austria | 1 531 | 1 365 | 1 327 | 764 | 833 | 808 | 713 | 708 | 631 |
| Belgium | 912 | 761 | 674 | 1 861 | 2 349 | 2 093 | 1 323 | 1 295 | 1 627 |
| Canada | 3 726 | 3 726 | 3 260 | 2 690 | 2 396 | 2 601 | 1 591 | 1 916 | 2 176 |
| Czech Republic | 1 688 | 1 221 | 1 554 | 794 | 751 | 966 | 717 | 862 | 808 |
| Denmark | 1 796 | 1 440 | 1 383 | 1 081 | 1 182 | 1 279 | 812 | 1 099 | 929 |
| Finland | 1 246 | 1 610 | 1 363 | 2 609 | 2 204 | 2 492 | 1 486 | 1 477 | 1 973 |
| France | 1 164 | 1 184 | 1 148 | 1 380 | 1 393 | 1 498 | 659 | 946 | 918 |
| Germany | 2 152 | 1 711 | 2 031 | 1 050 | 959 | 963 | 651 | 669 | 702 |
| Greece | 388 | 390 | 393 | 701 | 586 | 810 | 652 | 986 | 872 |
| Hungary | 566 | 601 | 612 | 1 437 | 1 138 | 1 395 | 866 | 1 058 | 1 112 |
| Iceland | 4 633 | 1 741 | 1 679 | 4 774 | 4 338 | 4 552 | 4 191 | 3 677 | 3 933 |
| Ireland | 856 | 979 | 838 | 1 128 | 1 258 | 1 296 | 715 | 903 | 931 |
| Italy | 1 018 | 1 066 | 1 054 | 1 197 | 1 035 | 1 213 | 2 046 | 1 804 | 2 263 |
| Japan | 273 | 253 | 277 | 759 | 635 | 707 | 805 | 745 | 887 |
| Korea | 846 | 974 | 968 | 737 | 842 | 821 | 564 | 613 | 738 |
| Luxembourg | 4 838 | 2 480 | 1 988 | 7 655 | 9 220 | 6 739 | 8 461 | 9 884 | 9 610 |
| Mexico | 688 | 633 | 613 | 549 | 556 | 687 | 1 002 | 895 | 903 |
| Netherlands | 711 | 610 | 593 | 944 | 891 | 1 057 | 1 187 | 1 229 | 1 273 |
| New Zealand | 1 531 | 1 060 | 1 805 | 1 886 | 2 077 | 2 094 | 1 293 | 1 619 | 1 609 |
| Norway | 1 398 | 1 234 | 1 246 | 1 495 | 1 517 | 1 366 | 1 119 | 1 244 | 965 |
| Poland | 513 | 356 | 387 | 1 164 | 1 349 | 1 293 | 1 309 | 1 339 | 1 507 |
| Portugal | 472 | 511 | 499 | 626 | 664 | 745 | 803 | 928 | 893 |
| Slovak Republic | | | | 882 | 789 | 761 | 1 223 | 1 249 | 1 346 |
| Spain | 1 005 | 848 | 1 057 | 1 502 | 1 413 | 1 550 | 1 625 | 1 589 | 1 323 |
| Sweden | 1 903 | 1 546 | 1 488 | 1 653 | 1 396 | 1 788 | 929 | 1 415 | 1 631 |
| Switzerland | 580 | 533 | 531 | 855 | 870 | 869 | 968 | 989 | 997 |
| Turkey | | | | 275 | 245 | 269 | 500 | 371 | 471 |
| United Kingdom | 1 564 | 1 406 | 1 433 | 1 567 | 1 504 | 1 716 | 2 112 | 1 766 | 2 337 |
| United States | 222 | 167 | 193 | 1 081 | 1 120 | 1 164 | | 505 | 633 |
| Partners | | | | | | | | | |
| Albania | 925 | 1 301 | 1 224 | | | | 306 | 268 | 279 |
| Argentina | 122 | 167 | 167 | | | | 537 | 462 | 416 |
| Azerbaijan | | | | | | | | | |
| Brazil | 797 | 739 | 935 | 621 | 435 | 569 | 788 | 1 007 | 1 074 |
| Bulgaria | 438 | 376 | 455 | | | | 291 | 276 | 308 |
| Chile | 638 | 697 | 831 | | | | 433 | 372 | 454 |
| Colombia | | | | | | | 467 | 549 | 675 |
| Croatia | | | | | | | 1 037 | 1 278 | 1 265 |
| Estonia | | | | | | | 855 | 869 | 1 137 |
| Hong Kong-China | 830 | 855 | 831 | 534 | 511 | 498 | 1 164 | 1 268 | 1 389 |
| Indonesia | 337 | 356 | 372 | 533 | 432 | 462 | 160 | 206 | 150 |
| Israel | 169 | 200 | 196 | | | | 692 | 630 | 912 |
| Jordan | | | | | | | 886 | 655 | 1 014 |
| Kyrgyzstan | | | | | | | 885 | 662 | 821 |
| Latvia | 383 | 562 | 305 | 624 | 581 | 580 | 602 | 754 | 817 |
| Liechtenstein | 658 | 224 | 185 | 699 | 911 | 798 | 713 | 710 | 709 |
| Lithuania | | | | | | | 1 052 | 1 001 | 1 077 |
| Macao-China | | | | 1 236 | 945 | 967 | 6 151 | 6 374 | 6 079 |
| Montenegro | | | | | | | 6 114 | 4 943 | 6 492 |
| Peru | 480 | 647 | 600 | | | | | | |
| Qatar | | | | | | | 12 151 | 12 697 | 11 900 |
| Romania | | | | | | | 395 | 410 | 375 |
| Russian Federation | 495 | 369 | 446 | 574 | 494 | 589 | 481 | 547 | 602 |
| Serbia | | | | 530 | 526 | 586 | 718 | 716 | 792 |
| Slovenia | | | | | | | 9 872 | 9 837 | 8 541 |
| Chinese Taipei | | | | | | | 653 | 640 | 704 |
| Thailand | 568 | 549 | 645 | 865 | 775 | 961 | 1 029 | 1 282 | 1 319 |
| Tunisia | | | | 1 320 | 1 057 | 1 193 | 593 | 552 | 779 |
| Uruguay | | | | 1 353 | 935 | 1 435 | 1 299 | 1 541 | 1 217 |



Table 11.12
Design effect 4 by country, by domain and cycle

| | PISA 2000 | | | PISA 2003 | | | PISA 2006 | | | |
|-----------------|--------------------|-------------|---------|-----------|-------------|---------|-----------|-------------|---------|------|
| | Reading | Mathematics | Science | Reading | Mathematics | Science | Reading | Mathematics | Science | |
| OECD | Australia | 1.05 | 1.13 | 1.06 | 1.04 | 1.02 | 1.03 | 1.02 | 1.01 | 1.02 |
| | Austria | 1.02 | 1.00 | 1.03 | 1.02 | 1.03 | 1.02 | 1.01 | 1.03 | 1.02 |
| | Belgium | 1.01 | 1.03 | 1.01 | 1.03 | 1.02 | 1.11 | 1.01 | 1.00 | 1.01 |
| | Canada | 1.01 | 1.03 | 1.02 | 1.05 | 1.04 | 1.08 | 1.02 | 1.01 | 1.01 |
| | Czech Republic | 1.02 | 1.01 | 1.04 | 1.04 | 1.03 | 1.09 | 1.01 | 1.02 | 1.01 |
| | Denmark | 1.03 | 1.14 | 1.02 | 1.10 | 1.07 | 1.09 | 1.03 | 1.05 | 1.03 |
| | Finland | 1.04 | 1.15 | 1.12 | 1.07 | 1.10 | 1.12 | 1.04 | 1.19 | 1.10 |
| | France | 1.03 | 1.09 | 1.11 | 1.05 | 1.04 | 1.09 | 1.01 | 1.04 | 1.00 |
| | Germany | 1.06 | 1.03 | 1.16 | 1.01 | 1.00 | 1.03 | 1.01 | 1.02 | 1.01 |
| | Greece | 1.02 | 1.04 | 1.00 | 1.08 | 1.01 | 1.17 | 1.01 | 1.02 | 1.07 |
| | Hungary | 1.00 | 1.01 | 1.01 | 1.03 | 1.05 | 1.13 | 1.05 | 1.06 | 1.02 |
| | Iceland | 1.15 | 1.23 | 1.03 | 1.20 | 1.08 | 1.07 | 1.69 | 1.55 | 1.12 |
| | Ireland | 1.02 | 1.03 | 1.01 | 1.04 | 1.04 | 1.08 | 1.05 | 1.04 | 1.06 |
| | Italy | 1.03 | 1.12 | 1.02 | 1.09 | 1.07 | 1.02 | 1.02 | 1.02 | 1.01 |
| | Japan | 1.01 | 1.01 | 1.02 | 1.05 | 1.01 | 1.01 | 1.02 | 1.00 | 1.01 |
| | Korea | 1.02 | 1.04 | 1.08 | 1.03 | 1.03 | 1.02 | 1.05 | 1.01 | 1.03 |
| | Luxembourg | 1.22 | 1.14 | 1.15 | 1.71 | 1.03 | 1.44 | 1.39 | 1.29 | 1.14 |
| | Mexico | 1.02 | 1.04 | 1.04 | 1.02 | 1.01 | 1.11 | 1.02 | 1.05 | 1.02 |
| | Netherlands | 1.02 | 1.04 | 1.01 | 1.07 | 1.02 | 1.08 | 1.09 | 1.05 | 1.05 |
| | New Zealand | 1.01 | 1.07 | 1.02 | 1.04 | 1.09 | 1.07 | 1.05 | 1.06 | 1.01 |
| | Norway | 1.02 | 1.13 | 1.03 | 1.10 | 1.01 | 1.05 | 1.02 | 1.03 | 1.01 |
| | Poland | 1.02 | 1.02 | 1.08 | 1.05 | 1.04 | 1.01 | 1.02 | 1.07 | 1.02 |
| | Portugal | 1.02 | 1.02 | 1.01 | 1.01 | 1.00 | 1.02 | 1.04 | 1.06 | 1.04 |
| | Slovak Republic | | | | 1.00 | 1.01 | 1.00 | 1.03 | 1.11 | 1.04 |
| | Spain | 1.03 | 1.01 | 1.01 | 1.12 | 1.05 | 1.06 | 1.03 | 1.10 | 1.06 |
| | Sweden | 1.09 | 1.07 | 1.08 | 1.06 | 1.02 | 1.17 | 1.14 | 1.02 | 1.04 |
| | Switzerland | 1.00 | 1.03 | 1.05 | 1.02 | 1.03 | 1.02 | 1.02 | 1.04 | 1.01 |
| | Turkey | | | | 1.01 | 1.01 | 1.01 | 1.03 | 1.02 | 1.00 |
| | United Kingdom | 1.02 | 1.05 | 1.07 | 1.08 | 1.04 | 1.04 | 1.03 | 1.03 | 1.07 |
| | United States | 1.01 | 1.01 | 1.01 | 1.10 | 1.07 | 1.07 | | 1.01 | 1.00 |
| Partners | Albania | 1.01 | 1.08 | 1.15 | | | | | | |
| | Argentina | 1.01 | 1.01 | 1.02 | | | | 1.02 | 1.02 | 1.01 |
| | Azerbaijan | | | | | | | 1.06 | 1.02 | 1.02 |
| | Brazil | 1.03 | 1.07 | 1.22 | 1.05 | 1.02 | 1.11 | 1.05 | 1.02 | 1.05 |
| | Bulgaria | 1.01 | 1.00 | 1.06 | | | | 1.01 | 1.01 | 1.01 |
| | Chile | 1.02 | 1.08 | 1.11 | | | | 1.01 | 1.02 | 1.01 |
| | Colombia | | | | | | | 1.04 | 1.01 | 1.07 |
| | Croatia | | | | | | | 1.03 | 1.03 | 1.03 |
| | Estonia | | | | | | | 1.01 | 1.01 | 1.03 |
| | Hong Kong-China | 1.01 | 1.03 | 1.04 | 1.01 | 1.05 | 1.02 | 1.02 | 1.04 | 1.01 |
| | Indonesia | 1.02 | 1.02 | 1.03 | 1.05 | 1.02 | 1.03 | 1.00 | 1.02 | 1.00 |
| | Israel | 1.02 | 1.01 | 1.03 | | | | 1.02 | 1.03 | 1.01 |
| | Jordan | | | | | | | 1.07 | 1.02 | 1.01 |
| | Kyrgyzstan | | | | | | | 1.03 | 1.02 | 1.00 |
| | Latvia | 1.02 | 1.05 | 1.01 | 1.03 | 1.02 | 1.02 | 1.02 | 1.01 | 1.01 |
| | Liechtenstein | 1.20 | 1.19 | 1.04 | 1.11 | 1.58 | 1.40 | 1.21 | 1.47 | 1.28 |
| | Lithuania | | | | | | | 1.03 | 1.06 | 1.01 |
| | Macao-China | | | | 1.29 | 1.04 | 1.15 | 1.27 | 1.53 | 1.11 |
| | Montenegro | | | | | | | 1.12 | 1.28 | 1.15 |
| | Peru | 1.01 | 1.05 | 1.21 | | | | | | |
| | Qatar | | | | | | | 1.48 | 1.62 | 1.25 |
| | Romania | | | | | | | 1.03 | 1.03 | 1.00 |
| | Russian Federation | 1.01 | 1.01 | 1.02 | 1.02 | 1.02 | 1.02 | 1.03 | 1.02 | 1.01 |
| | Serbia | | | | 1.01 | 1.03 | 1.05 | 1.02 | 1.05 | 1.01 |
| | Slovenia | | | | | | | 1.24 | 1.34 | 1.10 |
| | Chinese Taipei | | | | | | | 1.04 | 1.01 | 1.01 |
| | Thailand | 1.01 | 1.04 | 1.02 | 1.12 | 1.04 | 1.06 | 1.03 | 1.05 | 1.02 |
| | Tunisia | | | | 1.14 | 1.01 | 1.03 | 1.01 | 1.02 | 1.00 |
| | Uruguay | | | | 1.08 | 1.02 | 1.01 | 1.04 | 1.06 | 1.03 |



Table 11.13
Effective sample size 4 by country, by domain and cycle

| | PISA 2000 | | | PISA 2003 | | | PISA 2006 | | | |
|-----------------|--------------------|-------------|---------|-----------|-------------|---------|-----------|-------------|---------|--------|
| | Reading | Mathematics | Science | Reading | Mathematics | Science | Reading | Mathematics | Science | |
| OECD | Australia | 4 926 | 2 534 | 2 709 | 12 098 | 12 339 | 12 231 | 13 831 | 14 010 | 13 934 |
| | Austria | 4 657 | 2 630 | 2 582 | 4 525 | 4 485 | 4 524 | 4 862 | 4 796 | 4 852 |
| | Belgium | 6 617 | 3 692 | 3 702 | 8 579 | 8 655 | 7 911 | 8 762 | 8 821 | 8 762 |
| | Canada | 29 364 | 16 041 | 16 181 | 26 687 | 26 790 | 25 958 | 22 183 | 22 498 | 22 367 |
| | Czech Republic | 5 251 | 3 025 | 2 946 | 6 053 | 6 166 | 5 806 | 5 859 | 5 812 | 5 885 |
| | Denmark | 4 097 | 2 090 | 2 292 | 3 833 | 3 952 | 3 872 | 4 402 | 4 333 | 4 380 |
| | Finland | 4 697 | 2 352 | 2 414 | 5 412 | 5 287 | 5 177 | 4 540 | 3 964 | 4 301 |
| | France | 4 542 | 2 373 | 2 337 | 4 090 | 4 143 | 3 938 | 4 680 | 4 532 | 4 696 |
| | Germany | 4 800 | 2 738 | 2 466 | 4 612 | 4 648 | 4 546 | 4 845 | 4 799 | 4 833 |
| | Greece | 4 600 | 2 516 | 2 587 | 4 292 | 4 567 | 3 962 | 4 819 | 4 783 | 4 549 |
| | Hungary | 4 870 | 2 777 | 2 772 | 4 604 | 4 550 | 4 205 | 4 286 | 4 224 | 4 383 |
| | Iceland | 2 936 | 1 527 | 1 809 | 2 793 | 3 113 | 3 121 | 2 246 | 2 444 | 3 372 |
| | Ireland | 3 762 | 2 059 | 2 119 | 3 739 | 3 741 | 3 577 | 4 380 | 4 406 | 4 323 |
| | Italy | 4 822 | 2 464 | 2 712 | 10 650 | 10 887 | 11 397 | 21 390 | 21 264 | 21 547 |
| | Japan | 5 227 | 2 899 | 2 867 | 4 483 | 4 649 | 4 640 | 5 818 | 5 929 | 5 910 |
| | Korea | 4 875 | 2 656 | 2 561 | 5 270 | 5 264 | 5 354 | 4 923 | 5 116 | 5 047 |
| | Luxembourg | 2 893 | 1 713 | 1 691 | 2 301 | 3 804 | 2 730 | 3 291 | 3 542 | 3 999 |
| | Mexico | 4 489 | 2 460 | 2 439 | 29 508 | 29 656 | 26 950 | 30 236 | 29 401 | 30 322 |
| | Netherlands | 2 463 | 1 334 | 1 382 | 3 738 | 3 917 | 3 706 | 4 478 | 4 652 | 4 657 |
| | New Zealand | 3 617 | 1 908 | 1 980 | 4 330 | 4 120 | 4 200 | 4 613 | 4 542 | 4 756 |
| | Norway | 4 058 | 2 042 | 2 237 | 3 703 | 4 019 | 3 883 | 4 579 | 4 535 | 4 638 |
| | Poland | 3 575 | 1 947 | 1 888 | 4 194 | 4 220 | 4 334 | 5 452 | 5 199 | 5 419 |
| | Portugal | 4 495 | 2 497 | 2 536 | 4 542 | 4 597 | 4 508 | 4 897 | 4 809 | 4 911 |
| | Slovak Republic | | | | 7 317 | 7 240 | 7 329 | 4 576 | 4 247 | 4 565 |
| | Spain | 6 050 | 3 403 | 3 420 | 9 673 | 10 301 | 10 228 | 19 085 | 17 896 | 18 461 |
| | Sweden | 4 059 | 2 295 | 2 265 | 4 362 | 4 541 | 3 966 | 3 906 | 4 355 | 4 287 |
| | Switzerland | 6 070 | 3 295 | 3 248 | 8 230 | 8 186 | 8 251 | 11 903 | 11 770 | 12 058 |
| | Turkey | | | | 4 789 | 4 796 | 4 787 | 4 821 | 4 824 | 4 927 |
| | United Kingdom | 9 198 | 4 968 | 4 826 | 8 852 | 9 164 | 9 208 | 12 717 | 12 823 | 12 248 |
| | United States | 3 824 | 2 119 | 2 105 | 4 980 | 5 081 | 5 109 | | 5 539 | 5 590 |
| Partners | Albania | 4 916 | 2 577 | 2 403 | | | | 4 251 | 4 252 | 4 307 |
| | Argentina | 3 961 | 2 201 | 2 160 | | | | 4 890 | 5 061 | 5 099 |
| | Azerbaijan | | | | | | | | | |
| | Brazil | 4 746 | 2 544 | 2 220 | 4 232 | 4 357 | 4 005 | 8 844 | 9 086 | 8 891 |
| | Bulgaria | 4 601 | 2 608 | 2 399 | | | | 4 471 | 4 438 | 4 449 |
| | Chile | 4 815 | 2 536 | 2 451 | | | | 5 162 | 5 131 | 5 198 |
| | Colombia | | | | | | | 4 318 | 4 421 | 4 189 |
| | Croatia | | | | | | | 5 038 | 5 065 | 5 069 |
| | Estonia | | | | | | | 4 802 | 4 806 | 4 705 |
| | Hong Kong-China | 4 365 | 2 358 | 2 343 | 4 439 | 4 275 | 4 387 | 4 548 | 4 485 | 4 597 |
| | Indonesia | 7 210 | 4 006 | 3 970 | 10 262 | 10 566 | 10 447 | 10 600 | 10 457 | 10 623 |
| | Israel | 4 420 | 2 454 | 2 450 | | | | 4 499 | 4 446 | 4 544 |
| | Jordan | | | | | | | 6 088 | 6 382 | 6 436 |
| | Kyrgyzstan | | | | | | | 5 754 | 5 801 | 5 876 |
| | Latvia | 3 817 | 2 054 | 2 142 | 4 504 | 4 524 | 4 542 | 4 635 | 4 679 | 4 654 |
| | Liechtenstein | 261 | 147 | 169 | 300 | 210 | 238 | 281 | 231 | 266 |
| | Lithuania | | | | | | | 4 626 | 4 469 | 4 695 |
| | Macao-China | | | | 969 | 1 203 | 1 089 | 3 741 | 3 104 | 4 276 |
| | Montenegro | | | | | | | 3 983 | 3 478 | 3 872 |
| | Peru | 4 381 | 2 406 | 2 088 | | | | | | |
| | Qatar | | | | | | | 4 236 | 3 875 | 5 025 |
| | Romania | | | | | | | 4 966 | 4 962 | 5 093 |
| | Russian Federation | 6 622 | 3 664 | 3 656 | 5 849 | 5 839 | 5 885 | 5 604 | 5 675 | 5 749 |
| | Serbia | | | | 4 349 | 4 259 | 4 205 | 4 701 | 4 575 | 4 760 |
| | Slovenia | | | | | | | 5 322 | 4 915 | 6 022 |
| | Chinese Taipei | | | | | | | 8 444 | 8 699 | 8 769 |
| | Thailand | 5 267 | 2 838 | 2 903 | 4 690 | 5 047 | 4 936 | 6 000 | 5 870 | 6 085 |
| | Tunisia | | | | 4 154 | 4 669 | 4 602 | 4 582 | 4 536 | 4 620 |
| | Uruguay | | | | 5 403 | 5 743 | 5 777 | 4 640 | 4 556 | 4 689 |



Table 11.14
Design effect 5 by country, by domain and cycle

| | PISA 2000 | | | PISA 2003 | | | PISA 2006 | | | |
|-----------------|--------------------|-------------|---------|-----------|-------------|---------|-----------|-------------|---------|-------|
| | Reading | Mathematics | Science | Reading | Mathematics | Science | Reading | Mathematics | Science | |
| OECD | Australia | 6.20 | 4.29 | 3.88 | 5.98 | 6.36 | 5.33 | 6.86 | 9.18 | 7.21 |
| | Austria | 3.16 | 1.94 | 2.08 | 6.11 | 5.66 | 5.78 | 7.00 | 7.15 | 7.93 |
| | Belgium | 7.37 | 5.10 | 5.56 | 4.85 | 3.81 | 4.67 | 6.77 | 6.87 | 5.50 |
| | Canada | 8.05 | 4.55 | 5.15 | 10.89 | 12.18 | 11.57 | 14.53 | 11.90 | 10.53 |
| | Czech Republic | 3.25 | 2.55 | 2.05 | 8.31 | 8.63 | 7.12 | 8.38 | 7.03 | 7.40 |
| | Denmark | 2.44 | 1.88 | 1.74 | 4.29 | 3.81 | 3.59 | 5.74 | 4.31 | 5.05 |
| | Finland | 4.04 | 1.93 | 2.23 | 2.38 | 2.88 | 2.60 | 3.29 | 3.80 | 2.62 |
| | France | 4.13 | 2.40 | 2.50 | 3.28 | 3.20 | 3.13 | 7.21 | 5.19 | 5.16 |
| | Germany | 2.49 | 1.71 | 1.63 | 4.49 | 4.87 | 4.96 | 7.59 | 7.45 | 7.05 |
| | Greece | 12.23 | 6.91 | 6.61 | 7.12 | 7.99 | 6.67 | 7.56 | 5.03 | 5.99 |
| | Hungary | 8.67 | 4.69 | 4.62 | 3.43 | 4.39 | 3.87 | 5.43 | 4.51 | 4.13 |
| | Iceland | 0.84 | 1.33 | 1.14 | 0.84 | 0.83 | 0.79 | 1.52 | 1.60 | 1.08 |
| | Ireland | 4.61 | 2.25 | 2.56 | 3.57 | 3.20 | 3.25 | 6.71 | 5.28 | 5.22 |
| | Italy | 5.06 | 2.91 | 2.68 | 10.63 | 12.02 | 9.80 | 10.83 | 12.36 | 9.72 |
| | Japan | 19.38 | 11.67 | 10.67 | 6.51 | 7.51 | 6.75 | 7.56 | 8.02 | 6.76 |
| | Korea | 6.02 | 2.97 | 3.07 | 7.63 | 6.69 | 6.75 | 9.65 | 8.54 | 7.19 |
| | Luxembourg | 0.89 | 0.90 | 1.13 | 0.87 | 0.44 | 0.83 | 0.75 | 0.59 | 0.54 |
| | Mexico | 6.85 | 4.23 | 4.34 | 55.44 | 54.48 | 48.54 | 31.66 | 36.46 | 35.04 |
| | Netherlands | 3.58 | 2.35 | 2.38 | 4.52 | 4.57 | 4.07 | 4.46 | 4.15 | 4.00 |
| | New Zealand | 2.43 | 2.07 | 1.15 | 2.49 | 2.38 | 2.31 | 3.90 | 3.16 | 3.04 |
| | Norway | 3.03 | 2.11 | 1.91 | 2.98 | 2.71 | 3.11 | 4.30 | 3.90 | 4.92 |
| | Poland | 7.28 | 5.64 | 5.72 | 3.94 | 3.37 | 3.43 | 4.31 | 4.42 | 3.77 |
| | Portugal | 9.91 | 5.07 | 5.14 | 7.46 | 6.95 | 6.32 | 6.63 | 5.85 | 5.95 |
| | Slovak Republic | | | | 8.36 | 9.45 | 9.68 | 4.00 | 4.22 | 3.64 |
| | Spain | 6.35 | 4.07 | 3.31 | 8.01 | 8.00 | 7.34 | 12.39 | 13.51 | 15.74 |
| | Sweden | 2.52 | 1.71 | 1.77 | 2.97 | 3.37 | 3.01 | 5.44 | 3.20 | 2.82 |
| | Switzerland | 10.57 | 6.57 | 6.70 | 10.07 | 9.96 | 9.89 | 12.90 | 12.77 | 12.36 |
| | Turkey | | | | 17.91 | 20.08 | 18.29 | 10.12 | 13.65 | 10.52 |
| | United Kingdom | 6.07 | 3.86 | 3.88 | 6.55 | 6.59 | 5.75 | 6.44 | 7.64 | 6.04 |
| | United States | 17.39 | 12.89 | 11.13 | 5.53 | 5.23 | 5.00 | | 11.26 | 8.90 |
| Partners | Albania | 5.45 | 2.31 | 2.61 | | | | | | |
| | Argentina | 32.83 | 13.49 | 13.53 | | | | 14.46 | 16.53 | 15.65 |
| | Azerbaijan | | | | | | | 10.24 | 11.49 | 12.68 |
| | Brazil | 6.33 | 3.93 | 3.53 | 7.54 | 10.45 | 8.70 | 12.40 | 9.44 | 9.05 |
| | Bulgaria | 10.76 | 6.99 | 5.97 | | | | 15.53 | 16.54 | 14.74 |
| | Chile | 7.78 | 4.23 | 3.64 | | | | 12.24 | 14.37 | 11.61 |
| | Colombia | | | | | | | 9.95 | 8.27 | 7.09 |
| | Croatia | | | | | | | 5.20 | 4.20 | 4.24 |
| | Estonia | | | | | | | 5.77 | 5.67 | 4.43 |
| | Hong Kong-China | 5.35 | 2.95 | 3.05 | 8.46 | 9.18 | 9.18 | 4.07 | 3.80 | 3.38 |
| | Indonesia | 22.31 | 11.72 | 11.25 | 21.15 | 25.35 | 23.97 | 66.74 | 52.62 | 71.16 |
| | Israel | 27.07 | 12.59 | 13.15 | | | | 6.75 | 7.51 | 5.07 |
| | Jordan | | | | | | | 7.86 | 10.14 | 6.49 |
| | Kyrgyzstan | | | | | | | 6.85 | 9.07 | 7.23 |
| | Latvia | 10.36 | 4.00 | 7.13 | 7.62 | 8.14 | 8.13 | 7.98 | 6.31 | 5.86 |
| | Liechtenstein | 0.57 | 0.93 | 0.99 | 0.53 | 0.57 | 0.58 | 0.57 | 0.70 | 0.61 |
| | Lithuania | | | | | | | 4.62 | 5.03 | 4.45 |
| | Macao-China | | | | 1.30 | 1.37 | 1.48 | 0.98 | 1.14 | 0.87 |
| | Montenegro | | | | | | | 0.81 | 1.15 | 0.79 |
| | Peru | 9.34 | 4.11 | 5.12 | | | | | | |
| | Qatar | | | | | | | 0.76 | 0.79 | 0.66 |
| | Romania | | | | | | | 13.36 | 12.86 | 13.71 |
| | Russian Federation | 13.69 | 10.24 | 8.48 | 10.63 | 12.37 | 10.29 | 12.48 | 10.82 | 9.71 |
| | Serbia | | | | 8.41 | 8.66 | 7.87 | 6.83 | 7.02 | 6.10 |
| | Slovenia | | | | | | | 0.83 | 0.90 | 0.85 |
| | Chinese Taipei | | | | | | | 14.10 | 13.95 | 12.58 |
| | Thailand | 9.53 | 5.62 | 4.69 | 6.76 | 7.01 | 5.78 | 6.21 | 5.09 | 4.78 |
| | Tunisia | | | | 4.06 | 4.52 | 4.06 | 7.92 | 8.60 | 5.98 |
| | Uruguay | | | | 4.66 | 6.34 | 4.11 | 3.88 | 3.33 | 4.10 |



Table 11.15
Effective sample size 5 by country, by domain and cycle

| | PISA 2000 | | | PISA 2003 | | | PISA 2006 | | |
|--------------------|-----------|-------------|---------|-----------|-------------|---------|-----------|-------------|---------|
| | Reading | Mathematics | Science | Reading | Mathematics | Science | Reading | Mathematics | Science |
| OECD | | | | | | | | | |
| Australia | 835 | 666 | 738 | 2 098 | 1 973 | 2 356 | 2 067 | 1 543 | 1 966 |
| Austria | 1 502 | 1 360 | 1 284 | 752 | 813 | 795 | 704 | 689 | 622 |
| Belgium | 905 | 742 | 670 | 1 815 | 2 311 | 1 883 | 1 308 | 1 290 | 1 610 |
| Canada | 3 686 | 3 626 | 3 199 | 2 568 | 2 296 | 2 416 | 1 558 | 1 904 | 2 150 |
| Czech Republic | 1 652 | 1 204 | 1 495 | 761 | 732 | 888 | 708 | 844 | 801 |
| Denmark | 1 737 | 1 264 | 1 351 | 982 | 1 108 | 1 174 | 789 | 1 050 | 898 |
| Finland | 1 203 | 1 402 | 1 214 | 2 437 | 2 011 | 2 226 | 1 431 | 1 242 | 1 801 |
| France | 1 131 | 1 082 | 1 036 | 1 312 | 1 342 | 1 372 | 654 | 909 | 914 |
| Germany | 2 036 | 1 656 | 1 757 | 1 039 | 957 | 939 | 644 | 656 | 694 |
| Greece | 382 | 377 | 392 | 650 | 579 | 694 | 645 | 968 | 814 |
| Hungary | 564 | 597 | 606 | 1 388 | 1 086 | 1 232 | 827 | 995 | 1 086 |
| Iceland | 4 037 | 1 414 | 1 634 | 3 983 | 4 031 | 4 241 | 2 488 | 2 375 | 3 501 |
| Ireland | 836 | 948 | 833 | 1 087 | 1 213 | 1 195 | 684 | 868 | 878 |
| Italy | 985 | 950 | 1 033 | 1 095 | 969 | 1 188 | 2 010 | 1 762 | 2 239 |
| Japan | 271 | 250 | 273 | 723 | 627 | 697 | 787 | 742 | 880 |
| Korea | 828 | 934 | 899 | 713 | 814 | 807 | 536 | 606 | 719 |
| Luxembourg | 3 970 | 2 170 | 1 727 | 4 509 | 8 942 | 4 706 | 6 113 | 7 681 | 8 432 |
| Mexico | 671 | 606 | 587 | 541 | 550 | 618 | 978 | 850 | 884 |
| Netherlands | 699 | 589 | 587 | 884 | 874 | 982 | 1 092 | 1 174 | 1 217 |
| New Zealand | 1 510 | 988 | 1 762 | 1 811 | 1 897 | 1 950 | 1 237 | 1 524 | 1 587 |
| Norway | 1 369 | 1 093 | 1 208 | 1 363 | 1 500 | 1 305 | 1 092 | 1 202 | 954 |
| Poland | 502 | 350 | 357 | 1 114 | 1 299 | 1 279 | 1 286 | 1 255 | 1 472 |
| Portugal | 462 | 502 | 496 | 618 | 663 | 729 | 770 | 873 | 858 |
| Slovak Republic | | | | 879 | 778 | 759 | 1 183 | 1 121 | 1 298 |
| Spain | 979 | 841 | 1 046 | 1 346 | 1 349 | 1 469 | 1 582 | 1 451 | 1 246 |
| Sweden | 1 749 | 1 441 | 1 379 | 1 559 | 1 371 | 1 535 | 817 | 1 387 | 1 574 |
| Switzerland | 577 | 517 | 507 | 836 | 846 | 852 | 945 | 954 | 986 |
| Turkey | | | | 271 | 242 | 266 | 488 | 362 | 470 |
| United Kingdom | 1 540 | 1 345 | 1 336 | 1 455 | 1 446 | 1 657 | 2 042 | 1 722 | 2 176 |
| United States | 221 | 166 | 191 | 987 | 1 043 | 1 090 | | 498 | 630 |
| Partners | | | | | | | | | |
| Albania | 913 | 1 206 | 1 063 | | | | | | |
| Argentina | 121 | 165 | 163 | | | | 300 | 262 | 277 |
| Azerbaijan | | | | | | | 506 | 451 | 409 |
| Brazil | 773 | 692 | 768 | 591 | 426 | 512 | 749 | 984 | 1 027 |
| Bulgaria | 433 | 375 | 427 | | | | 290 | 272 | 305 |
| Chile | 628 | 647 | 748 | | | | 428 | 364 | 451 |
| Colombia | | | | | | | 450 | 542 | 632 |
| Croatia | | | | | | | 1 002 | 1 242 | 1 230 |
| Estonia | | | | | | | 844 | 858 | 1 099 |
| Hong Kong-China | 823 | 827 | 799 | 529 | 488 | 488 | 1 140 | 1 224 | 1 374 |
| Indonesia | 330 | 349 | 362 | 509 | 424 | 449 | 160 | 202 | 150 |
| Israel | 166 | 197 | 191 | | | | 679 | 611 | 905 |
| Jordan | | | | | | | 829 | 642 | 1 003 |
| Kyrgyzstan | | | | | | | 862 | 651 | 817 |
| Latvia | 376 | 537 | 303 | 607 | 568 | 569 | 592 | 748 | 806 |
| Liechtenstein | 547 | 189 | 178 | 632 | 579 | 573 | 591 | 486 | 557 |
| Lithuania | | | | | | | 1 026 | 943 | 1 066 |
| Macao-China | | | | 962 | 910 | 845 | 4 853 | 4 186 | 5 469 |
| Montenegro | | | | | | | 5 467 | 3 877 | 5 645 |
| Peru | 474 | 615 | 495 | | | | | | |
| Qatar | | | | | | | 8 232 | 7 881 | 9 556 |
| Romania | | | | | | | 383 | 398 | 373 |
| Russian Federation | 490 | 363 | 438 | 562 | 483 | 580 | 465 | 536 | 597 |
| Serbia | | | | 524 | 509 | 559 | 703 | 683 | 786 |
| Slovenia | | | | | | | 7 979 | 7 344 | 7 803 |
| Chinese Taipei | | | | | | | 625 | 632 | 701 |
| Thailand | 560 | 527 | 632 | 775 | 747 | 906 | 997 | 1 216 | 1 297 |
| Tunisia | | | | 1 163 | 1 045 | 1 163 | 586 | 539 | 776 |



SUMMARY ANALYSES OF THE DESIGN EFFECT

To better understand the evolution of the design effect for a particular country across the three PISA cycles, some information related to the design effects and their respective effective sample sizes, are presented in appendix 3. In particular, as the design effect and the effective sample size depends on:

- **The sample size**, the number of participating schools, the number of participating students and the average school sample size, which are provided in Table A3.2;
- **The school variance**, school variance estimates and the intraclass correlation, which are provided respectively in Table A3.3 and Table A3.4;
- **The stratification variables**, the intraclass correlation coefficient within explicit strata (provided in Table A3.5), and the percentage of school variance explained by explicit stratification variables (provided in Table A3.6).

Finally, the standard errors on the mean performance estimates are provided in Table A3.1.

Table 11.16 to Table 11.21 present the median of the indices presented in Table 11.6 and in Table A3.1 to Table A3.6 by cycle and per domain.

Table 11.16
Median of the design effect 3 per cycle and per domain across the 35 countries that participated in every cycle

| | Reading | Mathematics | Science |
|-----------|---------|-------------|---------|
| PISA 2000 | 5.90 | 3.68 | 2.93 |
| PISA 2003 | 6.02 | 6.25 | 5.45 |
| PISA 2006 | 6.69 | 6.26 | 5.63 |

In PISA 2000, student performance estimates for a particular domain were only provided for students who responded to testing material from that domain, while in 2003 and 2006, student proficiency estimates were provided for all domains. For PISA 2000 about five-ninths of the students were assessed in the minor domains (Adams & Wu, 2002). This difference explains why the design effects in mathematics and science for 2000 are so low in comparison with all other design effects.

The design effect associated with scientific literacy is always the smallest for any data collection. As shown by Table 11.16, this outcome seems to result from the smaller school variance estimates in scientific literacy in comparison with reading literacy and mathematical literacy. Indeed, for the three cycles, the school variance in science literacy is always the smallest. However, as will be explained below, the school variance estimates in PISA 2000 and PISA 2003 are suspected to be biased downwards.

Table 11.17 presents summary information about the standard errors of national mean achievement across PISA cycles.

Table 11.17
Median of the standard errors of the student performance mean estimate for each domain and PISA cycle for the 35 countries that participated in every cycle

| | Reading | Mathematics | Science |
|-----------|---------|-------------|---------|
| PISA 2000 | 3.10 | 3.26 | 3.18 |
| PISA 2003 | 2.88 | 3.00 | 3.08 |
| PISA 2006 | 3.18 | 2.89 | 2.79 |



With the exception of reading literacy in 2006, the standard errors, on average, have decreased between the 2000 data collection and the 2006 data collection. This decrease is associated with the continuously increasing school sample size. Note that, generally speaking, the sample size increase in a given country, in 2006 compared with earlier cycles, was intended to provide adequate data for regional or other subgroup estimates. Consequently the reduction in standard error for the national mean achievement is often not particularly great for countries with a noticeable increase in sample size. In other words, the sample size increased, but so did the design effects for country mean achievement estimates.

This reduction of the standard errors might also be explained by a better efficiency of the explicit stratification variables. Indeed, the median percentage of school variance explained by explicit stratification variables have slightly increased, mainly between 2003 and 2006 data collection, as shown by Table 11.18.

Table 11.18 shows that school sample sizes have generally been increasing across PISA cycles.

Table 11.18
**Median of the number of participating schools for each domain and PISA cycle
for the 35 countries that participated in every cycle**

| | Number of schools |
|-----------|-------------------|
| PISA 2000 | 176 |
| PISA 2003 | 193 |
| PISA 2006 | 199 |

Table 11.19 shows information about the size of the between-school variance across PISA cycles.

Table 11.19
**Median of the school variance estimate for each domain and PISA cycle
for the 35 countries that participated in every cycle**

| | Reading | Mathematics | Science |
|-----------|---------|-------------|---------|
| PISA 2000 | 3305 | 3127 | 2574 |
| PISA 2003 | 2481 | 2620 | 2270 |
| PISA 2006 | 2982 | 2744 | 2520 |

To understand the pattern of school variance estimates, it is important to recall how the school membership was implemented in the conditioning model. In PISA 2000 and PISA 2003, the conditioning variable consists of the school average of student performance weighted maximum likelihood estimates in the major domain. In 2006, the conditioning variables consist of $n-1$ dummy variables, with n being the number of participating schools (see Chapter 9). The method used in the first two PISA studies seems to generate an underestimation of the school variance estimates in the minor domains. This bias might therefore explain why the largest school variance estimate in 2000 and in 2003 was associated with the major domain, respectively reading literacy and mathematic literacy.

Table 11.20
**Median of the intraclass correlation for each domain and PISA cycle
for the 35 countries that participated in every cycle**

| | Reading | Mathematics | Science |
|-----------|---------|-------------|---------|
| PISA 2000 | 0.37 | 0.36 | 0.33 |
| PISA 2003 | 0.30 | 0.34 | 0.28 |
| PISA 2006 | 0.38 | 0.36 | 0.35 |

**Table 11.21**

Median of the within explicit strata intraclass correlation for each domain and PISA cycle for the 35 countries that participated in every cycle

| | Reading | Mathematics | Science |
|-----------|---------|-------------|---------|
| PISA 2000 | 0.21 | 0.19 | 0.18 |
| PISA 2003 | 0.18 | 0.20 | 0.14 |
| PISA 2006 | 0.27 | 0.22 | 0.20 |

Table 11.22

Median of the percentages of school variances explained by explicit stratification variables, for each domain and PISA cycle for the 35 countries that participated in every cycle

| | Reading | Mathematics | Science |
|-----------|---------|-------------|---------|
| PISA 2000 | 23 | 22 | 24 |
| PISA 2003 | 23 | 22 | 21 |
| PISA 2006 | 34 | 28 | 34 |

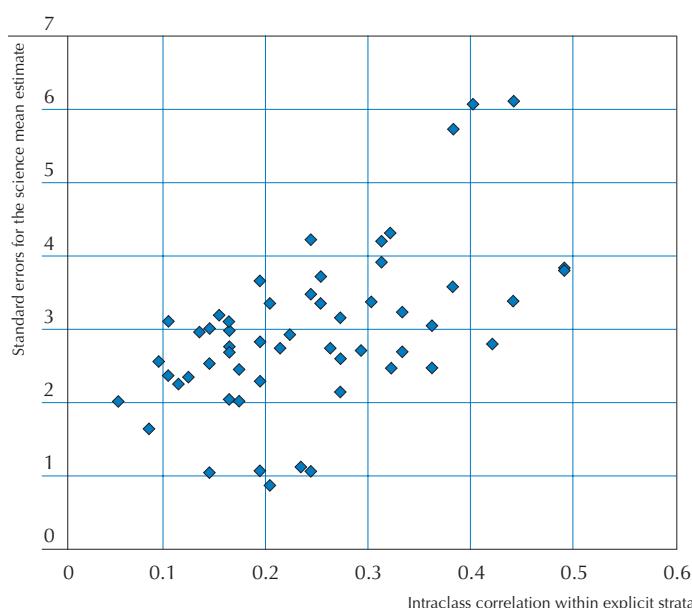
Countries with outlying standard errors

Figure 11.2 presents the relationship between the intraclass correlation within explicit strata and the standard errors for the science performance mean estimates. The correlation between these 2 variables is equal to 0.54.

The three outlying dots in the scatter plot represent Indonesia, Argentina and Bulgaria. The large standard error for Indonesia is due to an error in the school frame for the measure of size of a single school. Removing that school from the PISA database reduces the standard errors from 5.73 to 3.33. In Bulgaria and in Argentina, the school variance within explicit stratification variable is quite large (the intraclass correlation is above 0.40) and the percentage of school variance explained by explicit stratification variable is quite low (about 0.30). This suggests that, in future cycles, efforts might be needed to improve the effectiveness of the explicit stratification in these two countries.

Figure 11.2

Relationship between the standard error for the science performance mean and the intraclass correlation within explicit strata (PISA 2006)





Five countries have an intraclass correlation within explicit strata higher than, or equal to, 0.30 but present a percentage of school variance explained by explicit stratification variables above 0.50 – Austria, Belgium, Chile, Hungary and Romania.

Greece has an intraclass correlation within explicit strata equal to 0.33 and a percentage of explained school variance equal to 0.43. This suggests that stratification variables used are quite efficient for explaining the school variance but can still be improved.

The following countries have an intraclass correlation within explicit strata above or equal to 0.30 and a percentage of explained variance close or below 0.30: Columbia, Hong Kong-China, Serbia, Chinese Taipei, Indonesia, Argentina, Azerbaijan, Brazil, Japan, Bulgaria, Germany and Turkey. In these countries, the sampling design should be revised and more efficient stratification variables should be identified.



Reader's Guide

Country codes – the following country codes are used in this report:

OECD countries

| | |
|-----|---------------------------------|
| AUS | Australia |
| AUT | Austria |
| BEL | Belgium |
| BEF | Belgium (French Community) |
| BEN | Belgium (Flemish Community) |
| CAN | Canada |
| CAE | Canada (English Community) |
| CAF | Canada (French Community) |
| CZE | Czech Republic |
| DNK | Denmark |
| FIN | Finland |
| FRA | France |
| DEU | Germany |
| GRC | Greece |
| HUN | Hungary |
| ISL | Iceland |
| IRL | Ireland |
| ITA | Italy |
| JPN | Japan |
| KOR | Korea |
| LUX | Luxembourg |
| LXF | Luxembourg (French Community) |
| LXG | Luxembourg (German Community) |
| MEX | Mexico |
| NLD | Netherlands |
| NZL | New Zealand |
| NOR | Norway |
| POL | Poland |
| PRT | Portugal |
| SVK | Slovak Republic |
| ESP | Spain |
| ESB | Spain (Basque Community) |
| ESC | Spain (Catalonian Community) |
| ESS | Spain (Castillian Community) |
| SWE | Sweden |
| CHE | Switzerland |
| CHF | Switzerland (French Community) |
| CHG | Switzerland (German Community) |
| CHI | Switzerland (Italian Community) |

TUR Turkey

GBR United Kingdom

IRL Ireland

SCO Scotland

USA United States

Partner countries and economies

ARG Argentina

AZE Azerbaijan

BGR Bulgaria

BRA Brazil

CHL Chile

COL Colombia

EST Estonia

HKG Hong Kong-China

HRV Croatia

IDN Indonesia

JOR Jordan

KGZ Kyrgyzstan

LIE Liechtenstein

LTU Lithuania

LVA Latvia

LVL Latvia (Latvian Community)

LVR Latvia (Russian Community)

MAC Macao-China

MNE Montenegro

QAT Qatar

ROU Romania

RUS Russian Federation

SRB Serbia

SVN Slovenia

TAP Chinese Taipei

THA Thailand

TUN Tunisia

URY Uruguay



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**List of abbreviations** – the following abbreviations are used in this report:

| | | | |
|-------|---|---------|--|
| ACER | Australian Council for Educational Research | NPM | National Project Manager |
| AGFI | Adjusted Goodness-of-Fit Index | OECD | Organisation for Economic Cooperation and Development |
| BRR | Balanced Repeated Replication | PISA | Programme for International Student Assessment |
| CBAS | Computer Based Assessment of Science | PPS | Probability Proportional to Size |
| CFA | Confirmatory Factor Analysis | PGB | PISA Governing Board |
| CFI | Comparative Fit Index | PQM | PISA Quality Monitor |
| CITO | National Institute for Educational Measurement, The Netherlands | PSU | Primary Sampling Units |
| CIVED | Civic Education Study | QAS | Questionnaire Adaptations Spreadsheet |
| DIF | Differential Item Functioning | RMSEA | Root Mean Square Error of Approximation |
| ENR | Enrolment of 15-year-olds | RN | Random Number |
| ESCS | PISA Index of Economic, Social and Cultural Status | SC | School Co-ordinator |
| ETS | Educational Testing Service | SE | Standard Error |
| IAEP | International Assessment of Educational Progress | SD | Standard Deviation |
| I | Sampling Interval | SEM | Structural Equation Modelling |
| ICR | Inter-Country Coder Reliability Study | SMEG | Subject Matter Expert Group |
| ICT | Information Communication Technology | SPT | Study Programme Table |
| IEA | International Association for the Evaluation of Educational Achievement | TA | Test Administrator |
| INES | OECD Indicators of Education Systems | TAG | Technical Advisory Group |
| IRT | Item Response Theory | TCS | Target Cluster Size |
| ISCED | International Standard Classification of Education | TIMSS | Third International Mathematics and Science Study |
| ISCO | International Standard Classification of Occupations | TIMSS-R | Third International Mathematics and Science Study – Repeat |
| ISEI | International Socio-Economic Index | VENR | Enrolment for very small schools |
| MENR | Enrolment for moderately small school | WLE | Weighted Likelihood Estimates |
| MOS | Measure of size | | |
| NCQM | National Centre Quality Monitor | | |
| NDP | National Desired Population | | |
| NEP | National Enrolled Population | | |
| NFI | Normed Fit Index | | |
| NIER | National Institute for Educational Research, Japan | | |
| NNFI | Non-Normed Fit Index | | |



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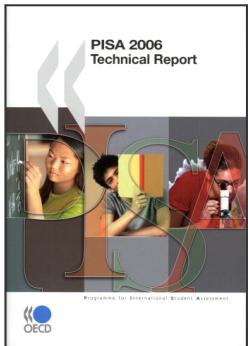
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