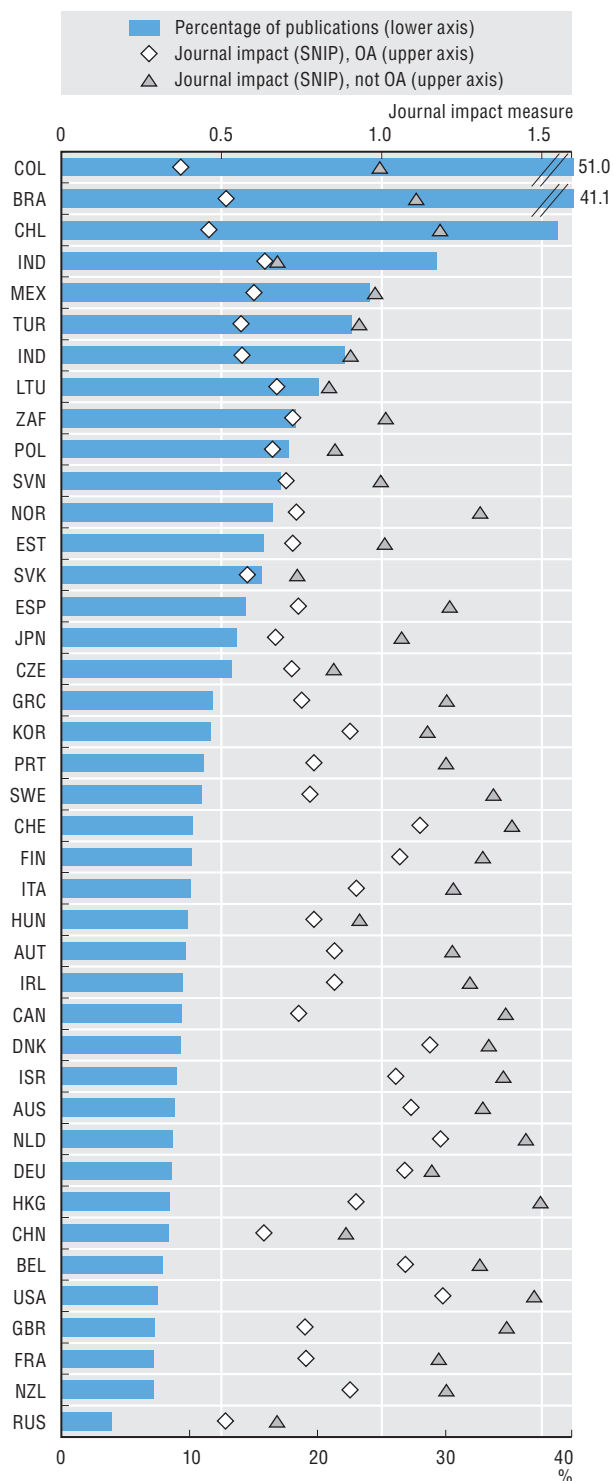


### 3. CONNECTING TO KNOWLEDGE

## 4. Open access to research

### Open access journal (OA) publishing, by affiliation of corresponding author, 2011-13

As a percentage of all publications, and average journal impact (SNIP2013)



Source: OECD calculations based on Scopus Custom Data, Elsevier, version 4.2015; and on Scopus journal title list, accessed May 2015, <http://oe.cd/scientometrics>, June 2015. StatLink contains more data. See chapter notes.

StatLink <http://dx.doi.org/10.1787/888933273898>

Access to the content of scientific research articles plays an important role in the diffusion of scientific knowledge as it encourages the application of knowledge and supports advances in science. Interest in open access (OA) to publications is relevant to the promotion of open science, i.e. the efforts to make the outputs of publicly funded research more widely accessible in digital format to the scientific community and to society more broadly.

There is considerable heterogeneity in the extent to which scholarly research literature is openly and freely available. Authors based in different countries differ in their propensity to publish in journals that make their content freely accessible online. Among articles published in 2011-13 and catalogued in the Scopus database, those with corresponding authors based in Colombia, Brazil, Chile and India were most likely to be published in journals identified as being OA. In most OECD countries, the share of documents published in OA journals is less than 10%. Within countries, the implied citation “prestige” of journals as measured by citation indicators is higher for documents published in non-OA journals.

In Scopus, 2 800 titles, i.e. about 12% of the 22 283 active journals covered, are identified as being OA journals. Among those published in OECD countries, the average share of journals listed as OA is 8%, but it is much higher for those published in the BRIICS at 25%. Data reveal significant differences in the use of OA journals as a means of research diffusion across countries. OA publication patterns not only differ by country, but also by scientific domain. Only a few fields have more than 10% of their journals rated as OA. The lowest OA rates are found in Business and accounting, Arts and humanities, Engineering, Energy and Economics.

Analysis of the results from a new OECD survey of scientific authors publishing in 2011 shows that repository-based (green) OA plays an important role, especially among authors in countries with an apparently low level of journal-based (gold) OA. The survey results provide a more comprehensive analysis of the citation impact of OA.

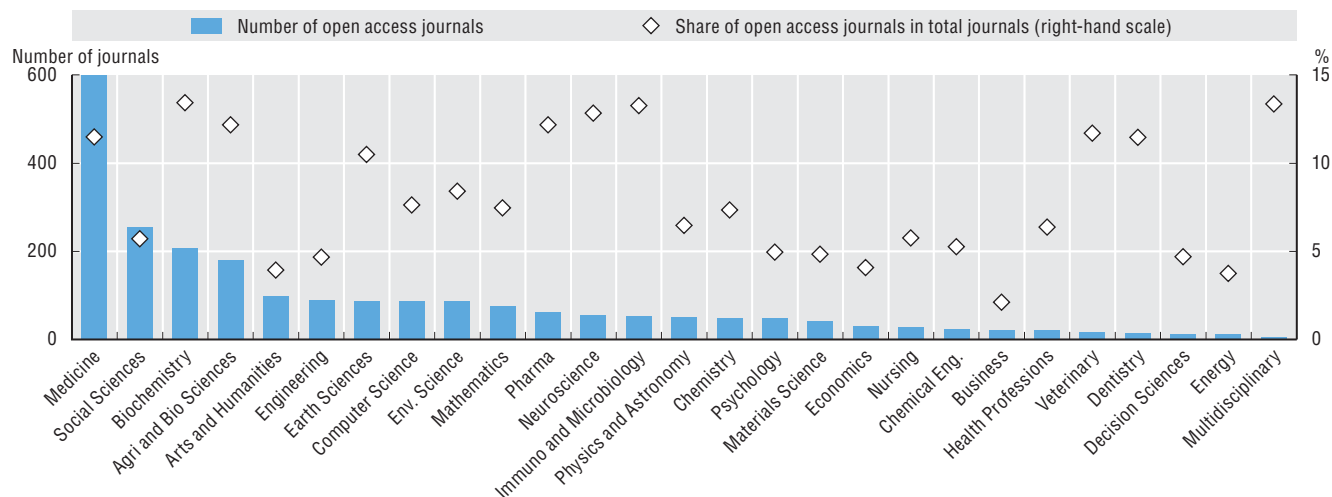
#### Definitions

Open access (OA) is typically defined as the possibility of unrestricted online access to scientific articles. Access can occur via a number of channels, such as institutional repositories, journal publishers’ websites and researchers’ webpages. The term “gold OA” refers to OA provided by a publisher, while “green OA” refers to the practice of self-archiving the pre-print or the post-print of an article, generally by its author (OECD, 2015c).

The Source Normalized Impact per Paper (SNIP) indicator measures contextual citation impact by weighting citations based on the total number of citations in a subject field (see [www.journalindicators.com/methodology](http://www.journalindicators.com/methodology)).

### Open access (OA) publishing, by field, 2014

Number of active OA journals published in OECD countries

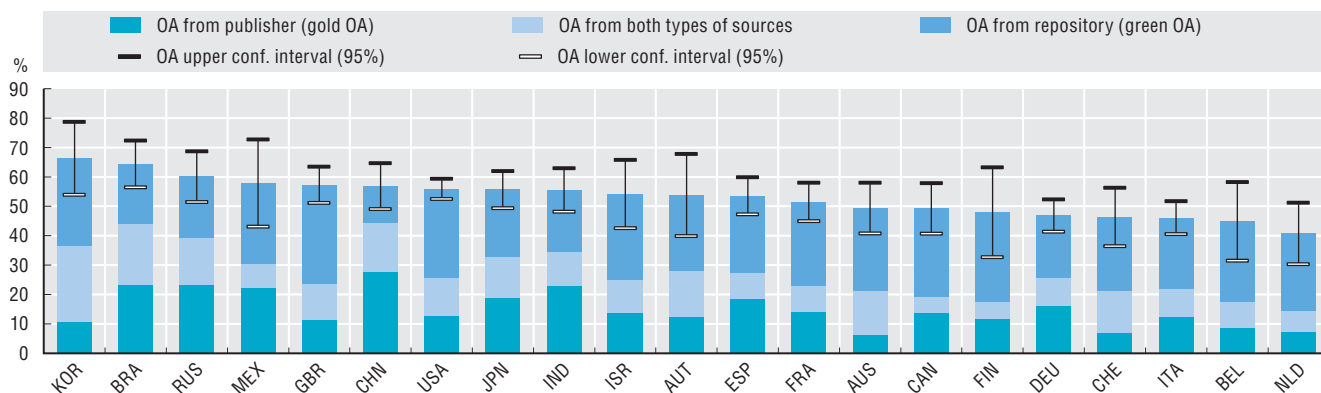


Source: OECD and SCImago Research Group (CSIC), calculations based on Scopus journal title list, [www.elsevier.com/\\_data/assets/excel\\_doc/0005/226742/title\\_list.xlsx](http://www.elsevier.com/_data/assets/excel_doc/0005/226742/title_list.xlsx), accessed December 2014. StatLink contains more data.

StatLink <http://dx.doi.org/10.1787/888933273907>

### Open access to scientific documents by corresponding author's affiliation, selected fields, 2011

Percentages, by mode of open access (OA), and 95% confidence intervals



Note: This is an experimental indicator, based on a stratified random sample of scientific authors.

Source: OECD, based on preliminary analysis of OECD Pilot Survey of Scientific Authors 2015, [www.oecd.org/science/survey-of-scientific-authors.htm](http://www.oecd.org/science/survey-of-scientific-authors.htm). See chapter notes.

StatLink <http://dx.doi.org/10.1787/888933273916>

### Measurability

The designation of titles as OA is based largely on inclusion in the Directory of Open Access Journals (DOAJ), and as such, focuses on the Gold-Access model of open access. DOAJ maintains a database of over 8 000 OA journals. The inclusion criteria include free accessibility upon publication and some form of quality control. The use of alternative measures of journal impact (SNIP or SJR) does not result in qualitative differences in results.

The processes and outcomes of scientific production, peer-review and scientific publishing are closely intertwined with the way in which science is funded and scientists rewarded for their efforts. The OECD has recently undertaken an online survey of OA publication patterns by authors. The survey investigated author awareness of document access both through open registers (green OA) and journals (gold OA) in which they have been published. Non-response rates to online surveys can be significant and may impact on the representativeness of data. Results should be treated with caution. See [www.oecd.org/science/survey-of-scientific-authors.htm](http://www.oecd.org/science/survey-of-scientific-authors.htm).

#### **Cyprus**

The following note is included at the request of Turkey:

“The information in this document with reference to ‘Cyprus’ relates to the southern part of the Island. There is no single authority representing both Turkish and Greek Cypriot people on the Island. Turkey recognises the Turkish Republic of Northern Cyprus (TRNC). Until a lasting and equitable solution is found within the context of the United Nations, Turkey shall preserve its position concerning the ‘Cyprus issue’.”

The following note is included at the request of all of the European Union Member States of the OECD and the European Union:

“The Republic of Cyprus is recognised by all members of the United Nations with the exception of Turkey. The information in this document relates to the area under the effective control of the Government of the Republic of Cyprus.”

#### **Israel**

“The statistical data for Israel are supplied by and under the responsibility of the relevant Israeli authorities or third party. The use of such data by the OECD is without prejudice to the status of the Golan Heights, East Jerusalem and Israeli settlements in the West Bank under the terms of international law.”

“It should be noted that statistical data on Israeli patents and trademarks are supplied by the patent and trademark offices of the relevant countries.”

### 3.1. International mobility of highly skilled individuals

#### **International and foreign students enrolled in tertiary education, 2012**

Data refer to foreign students for the Czech Republic, France, Israel, Italy, Poland, the Slovak Republic and Turkey. Foreign students are defined on the basis of their country of citizenship; these data are not comparable with data on international students and are therefore presented separately in the table and figure.

Total enrolments include all international or foreign students. The distribution is based on the number of students with a known field of education.

For Austria, Finland, Germany and Switzerland, data exclude tertiary-type B programmes.

For Canada, data refer to 2011.

For the Netherlands, data exclude programmes in private education.

#### **Highly educated individuals in immigrant and native-born populations, 2013**

Estimates refer to working age individuals (16-65) not in education, with the exception of Canada and New Zealand, where data include people still in education.

For Australia, data refer to 2013.

For Chile and Israel, data refer to 2011.

For Japan, data refer to 2010 and the country is not included in the OECD average.

In Japan and Korea, immigrant status is defined on the basis of nationality, not on the basis of country of birth.

For Mexico, data refer to 2012.

For the United States, data refer to 2012 and include people over 55 who are still in education. The share of highly educated individuals is calculated for the 16-64 age group.

The indicator is computed based on the following data sources: European Labour Force Survey (EULFS) 2012-13; United States Current Population Survey (CPS) 2012; Australian Survey of Education and Work (ASEW) 2013; Labour Force Survey 2012-13 (Canada and New Zealand); Labour Force Survey 2011 (Israel); *Encuesta de Caracterización Socioeconómica Nacional (CASEN)* 2011 (Chile); *Encuesta Nacional de Ocupación y Empleo (ENOE)* 2012 (Mexico); Population Census 2010 (Japan) and Foreign Labour Force Survey 2012-13 (Korea).

### 3.2. Scientists on the move

#### International bilateral flows of scientific authors, 1996-2013

Data are based on the main country affiliation for authors captured in at least two documents published and indexed in the Scopus database over the 1986-2013 period. Counts are based on the number of differences in affiliation between first and last recorded publication per author. Flows to and from interim affiliations are not taken into account in this figure.

#### General notes:

#### International mobility of scientific authors, 2013 and; Expected citation impact of scientific authors, by mobility profile in 2013

This is an experimental indicator.

Only authors with two or more publications are considered. A mobility episode is identified when an author affiliated to an institution in a given economy, according to his/her last publication in 2013, was previously affiliated to an institution in another economy. In the case of multiple publications per author in a given year, the last publication in any given year is used as a reference, while others are ignored. Authors are assigned a given status based on their last affiliation in 2013. When the main affiliation for both 2013 and pre-2013 corresponds to the reference economy, the authors are designated “stayers”. When authors move affiliation into the reference economy, but were previously affiliated to it for their first recorded publication they are designated “returnees”. From the perspective of the previous economy of author affiliation, individuals can be computed as outflows, and the count incorporated into the data presentation.

#### Additional notes:

#### International mobility of scientific authors, 2013

Estimates are based on a comparison between the main affiliation of a given author with a Scopus ID publishing in 2013 and the closest available publication in a previous year.

The indicator is represented as the ratio between the number of authors in the relevant category, divided by the (absolute) sum of authors in the reference economy in 2013, plus the outflows from that economy recorded in 2013. The indicator can be adjusted to focus on the profiles of authors from the perspective of the final country of affiliation, as shown in additional variables.

#### Expected citation impact of scientific authors, by mobility profile in 2013

Estimates are based on a comparison of 2013 *SCImago Journal Rank (SJR)* scores for articles published by scientific authors, based on the journal rank corresponding to an author publishing in 2013. The indicator is represented as the median SJR2013 among authors in the relevant category and economy.

### 3.3. Excellence in scientific collaboration

#### International scientific collaboration, 2003 and 2012

International collaboration is defined as the proportion of publications involving institutional affiliations with other countries or economies, as a proportion of publications attributed to authors with an affiliation in the reference economy.

#### The citation impact of scientific production and the extent of international collaboration, 2003-12

Scientific production/Output/Number of documents is the total number of documents published in scholarly journals indexed in Scopus (all document types are included).

The normalised impact is derived as the ratio between the average number of citations received by documents published by authors affiliated to an institution in a given economy and the world average of citations, over the same time period, by document type and subject area.

The normalisation of citation values is item oriented (i.e. carried out at the level of the individual article). If an article belongs to several subject areas, a mean value of the areas is calculated. The values show the relationship of the unit's average impact to the world average, which is 1 (i.e. a score of 0.8 means the unit cited is 20% below average and 1.3 means the unit cited is 30% above average).

The international institutional collaboration indicator is based on the proportion of documents involving institutional affiliations with other countries or economies, as a proportion of documents attributed to authors with an affiliation in the reference economy. Single-authored documents with multiple affiliations across boundaries can therefore count as institutional international collaboration.

## 3. CONNECTING TO KNOWLEDGE

### Notes and references

#### **Top 10% most cited documents and scientific leading authorship, 2003-12**

This figure indicates the amount (in percentage) of an institution's scientific output that is included in the set of the 10% most-cited papers in their respective scientific fields. It is a measure of the high-quality output of research institutions. Leading authorship indicates the amount (in percentage) of an institution's output as "leading" contributor, that is, the number of documents for which the corresponding author is affiliated to the relevant institution. In this figure, leading authorship is used to distinguish between highly cited documents that have corresponding authors with foreign affiliations and those with domestic affiliations. In the case of multiple affiliations for a corresponding author, the affiliation for the correspondence address is used as the reference.

#### **3.4. Open access to research**

##### **Open access journal (OA) publishing, by affiliation of corresponding author, 2011-13**

Documents published between 2011 and 2013 have been entirely attributed to countries on the basis of the main affiliation reported by corresponding authors. The country affiliations of potential contributors are not taken into account in this case. The open access status of documents is inferred solely on the basis of the journal's description (access from publisher), regardless of whether the document is available through other means. OA comprises DOAJ-registered publications as well as other journal titles marked by Elsevier as being open access.

Journal citation impact measures have been averaged across documents according to the open access status of the journals in which they are published, using the SNIP2013 indicator reported in Elsevier's *Scopus* journal title list file.

##### **Open access to scientific documents by corresponding author's affiliation, selected fields, 2011**

This is an experimental indicator, based on a stratified random sample of scientific authors.

Results are based on authors' self-reported measures of access to scientific documents published in 2011 and refer to their access status as of January 2015.

Data are based on scientific documents indexed in *Scopus*. Fields covered include Arts and Humanities, Business, Chemical Engineering, Immunology and Microbiology, Materials Science, Neuroscience and Physics and Astronomy.

Weighted estimates take into account sampling design and non-response patterns by fields, country affiliation and journal status.

#### **3.5. Research across borders**

##### **Business enterprise R&D funded from abroad, by source of funds, 2013**

When a breakdown by source of funds is not available, the global share of BERD funded from abroad is used to encompass all sources of funds.

For all countries except Belgium, "Other/not elsewhere classified" also includes the private non-profit (PNP) sector, which accounts at most for 2.26% of all BERD funded from abroad.

For Australia, Austria, Belgium, Germany, Greece, Mexico, Sweden and the United Kingdom, data refer to 2011.

For Denmark, the EU28 zone, France, Ireland, Israel, Italy, the OECD zone, Portugal, South Africa and Switzerland, data refer to 2012.

For Belgium, private non-profit funding is included in "Government and higher education".

For Denmark, BERD funded by international organisations only includes European commission funding.

For Japan and Mexico, information on funding from abroad from "other national government", "PNP" and "International organisations" is not available.

For Israel, defence R&D is partly excluded from available estimates.

##### **R&D expenditures incurred by foreign-controlled affiliates, selected countries, 2011**

Financial intermediation and community, social and personal services are excluded for the Czech Republic.

For Finland, Hungary, the Netherlands, Poland, Slovenia and Spain, only Sections B to F of ISIC Rev. 4 are covered.

For the Czech Republic and Hungary, figures refer to 2009.

For France, Italy, the Netherlands, Switzerland and the United States, figures refer to 2012.

For Canada, Sweden and the United Kingdom, figures refer to 2013.

**Government R&D funding for international programmes and activities, 2013**

Data are based on Eurostat's indicator on "National public funding to transnationally co-ordinated R&D".

2007 data are underestimated for Belgium, the Netherlands and the Slovak Republic.

Data for Switzerland refer to 2012 and are underestimated.

**3.6. Science and technology links****Patents citing non-patent literature (NPL), selected technologies, 2007-13**

Data refer to citations made in patent applications filed at the European Patent Office (EPO), according to the priority date of the citing patent and the applicant's residence.

Environment-related patents are defined on the basis of their International Patent Classification (IPC) codes or Cooperative Patent Classification (CPC) codes.

Patents in ICT are identified following a new experimental classification based on their International Patent Classification (IPC) codes.

Patents are allocated to health-related fields on the basis of their International Patent Classification (IPC) codes, following the concordance provided by WIPO (2013).

Only economies with more than 200 patents in the selected fields in 2010-13 are included.

**The innovation-science link for major enabling technologies, 2003-13**

Data refer to citations made in patent families with priority year in 2003-13. To identify whether non-patent literature (NPL) cited in patents corresponds to a scientific document, NPL references were matched to the *Thomson Reuters Web of Science Database*, an index of scientific literature. Counts reflect observations of the number of times a patent family with priority in the relevant office cites a scientific publication in a specific field. Patents are allocated to health-related fields on the basis of their International Patent Classification (IPC) codes, following the concordance provided by WIPO (2013). Biotechnology, ICT and nanotechnology patents are defined on the basis of their International Patent Classification (IPC) codes. Environment-related patents are defined on the basis of their International Patent Classification (IPC) codes or Cooperative Patent Classification (CPC) codes.

**Affiliations of scientific authors cited in patents, 2007-13**

This is an experimental indicator: international comparability may be limited due to different practices and procedures adopted by the selected patent offices.

Data refer to citations made in patent families with priority year in 2003-13. The analysis is restricted to patents filed at one of the following patent offices: IP Australia (IP AUS), the Canadian Intellectual Property Office (CIPO), the State Intellectual Property Office of the People's Republic of China (SIPO), the European Patent Office (EPO), Institut national de la propriété industrielle (INPI), Deutsche Patent- und Markenamt (DPMA), Ufficio Italiano Brevetti e Marchi (UIBM), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), Oficina Española de Patentes y Marcas (OEPM), Institut fédéral de la propriété intellectuelle (IGE), the Intellectual Property Office of the United Kingdom (IPO) and the United States Patent and Trademark Office (USPTO).

To identify whether non-patent literature (NPL) cited in patents corresponds to a scientific document, NPL references were matched to the *Thomson Reuters Web of Science Database*, an index of scientific literature. Counts reflect observations of the number of times a patent family with priority in the relevant office cites a scientific publication with an author affiliated to an institution in a given country.

**3.7. Inventions across borders****International co-inventions in patents, 2000-03 and 2010-13**

International co-inventions are measured as the share of patent applications with at least one co-inventor located in a different economy out of the total number of patents invented domestically. Data refer to patent applications filed at the EPO or the USPTO that belong to IP5 families, by filing date, according to the inventor's residence using fractional counts. Only economies with more than 500 patents over the reference periods are included. Data for 2013 are partial.

## 3. CONNECTING TO KNOWLEDGE

### Notes and references

#### **International co-inventions by technology fields, 2000-03 and 2010-13**

International co-inventions are measured as the share of patent applications with at least one co-inventor located in a different economy out of the total number of patents invented domestically. Data refer to patent applications filed at the EPO or the USPTO that belong to IP5 families, by filing date. Data for 2013 are partial.

Patents are allocated to technology fields on the basis of their International Patent Classification (IPC) codes, following the concordance provided by WIPO (2013).

#### **Location of inventors by technology field, 2010-13**

Data refer to IP5 patent families with members filed at the EPO or the USPTO, by first filing date. Data for 2013 are partial.

Patents are allocated to technology fields on the basis of their International Patent Classification (IPC) codes, following the concordance provided by WIPO (2013).

### **3.8. International markets for knowledge**

#### **Foreign inventions owned by economies, 2000-03 and 2010-13**

Foreign inventions owned by economies relate to the number of patents owned by a resident of an economy for which no inventors reside in the given economy, as a share of total patents owned by that economy. Data refer to patent applications filed at the EPO or the USPTO that belong to IP5 families, by filing date, according to the applicant's residence using fractional counts. Only economies with more than 500 patents over the periods are included. Data for 2013 are partial.

#### **International trade in knowledge assets, 2013**

Data are based on BPM6 for Australia, Austria, Belgium, the Czech Republic, Denmark, Finland, Germany, Hungary, Italy, Luxembourg, New Zealand, Poland, Portugal, Spain, Sweden, Switzerland, the United Kingdom and the United States. The categories included are: Licences for the use of outcomes of R&D; Franchises and trademarks licensing fees; Computer services; Architectural, engineering, scientific and other technical services; and Research and development services.

Data are based on BPM5 for Estonia, Greece, Iceland, Ireland, Israel, the Netherlands, Norway, the Russian Federation, the Slovak Republic and Slovenia. The categories included are: Royalties and licence fees; Acquisition/disposal of non-produced, non-financial assets; Computer services; Architectural, engineering, scientific and other technical services; and Research and development services.

Data for Canada, Japan, Korea and Mexico come from R&D surveys. Coverage may be limited by the scope of such surveys (R&D performers).

For Mexico, figures refer to 2011.

For Iceland, Israel, the Slovak Republic and Slovenia, figures refer to 2012.

#### **Trends in international flows of knowledge assets, 2009-13**

Data are based on BPM6 for Australia, Austria, Belgium, Hungary, Italy, Luxembourg, New Zealand, Portugal, Spain, Switzerland, the United Kingdom and the United States. The categories included are: Licences for the use of outcomes of R&D; Franchises and trademarks licensing fees; Computer services; Architectural, engineering, scientific and other technical services; and Research and development services.

Data are based on BPM5 for the Czech Republic, Finland, Germany, Estonia, Greece, Ireland, Israel, the Netherlands, Norway, the Russian Federation, the Slovak Republic, Slovenia and Sweden. The categories included are: Royalties and licence fees; Acquisition/disposal of non-produced, non-financial assets; Computer services; Architectural, engineering, scientific and other technical services; and Research and development services.

Data for Canada, Japan, Korea and Mexico come from R&D surveys. Coverage may be limited by the scope of such surveys (R&D performers).

For the Czech Republic, Denmark, Finland, Germany, Israel, the Slovak Republic, Slovenia and Sweden, figures refer to 2009-12.

### 3.9. Open innovation

#### General notes for all figures:

International comparability may be limited due to differences in innovation survey methodologies and country-specific response patterns. European countries follow harmonised survey guidelines with the Community Innovation Survey. Please see [www.oecd.org/sti/inno-stats.htm](http://www.oecd.org/sti/inno-stats.htm) for more details.

For countries following the Eurostat CIS 2012, Industry core coverage includes ISIC Rev. 4 Sections and Divisions B, C, D, E, G46, H, J, K and M71-72-73. Only enterprises with 10 or more employees are covered.

For Australia, data come from the Business Characteristics Survey (BCS) and refer to financial year 2012/13. The sectoral and size coverage of enterprises matches the CIS scope.

For Brazil, data come from the Brazil Innovation Survey 2011 (PINTEC) and refer to 2009-11. The industries surveyed differ from the CIS core coverage. ISIC Rev. 4 Section E is not included and only a selection of services are covered (Divisions and Groups: 592, 61, 62, 631, 71 and 72).

For Chile, data come from the Chilean Innovation Survey 2013 and refer to 2011-12. The survey covers firms with more than UF 2 400 in annual revenue; no cut-off by size is applied. Sectoral coverage is larger for the industrial sector and in addition to CIS core activities includes: ISIC Rev. 3 Section A, Agriculture, hunting and forestry; B, Fishing; and F, Construction. The services covered are ISIC Rev. 3 (G, I, J and K).

For Colombia, data come from the Survey of Development and Technological Innovation in the Manufacturing Sector, 2011-12 and from the Survey of Development and Technological Innovation in the Service Sector, 2012-13. Data refer to 2011-12 for manufacturing and 2012-13 for services. The size of the enterprise surveyed varies according to the industrial sector. The industries surveyed differ from the CIS core coverage. Data for ISIC Rev. 4: Sections D and E are collected for firms with 20 employees or more. For Division 46, data are collected for firms with 20 employees or more. For Section H, Division 49 is not available and Divisions 51 and 53 are collected for firms with 20 and 40 employees or more, respectively. For Section J, Division 63, only 631 is surveyed. For Divisions 59, 60 and 61, data are collected for firms with 40 employees or more, while for Divisions 62 and 631 data are for firms with 75 employees or more. For Section K, only Groups 6411 and 6412 are available on a census basis. Divisions 71 and 73 are not surveyed. Division 72 is collected on a census basis.

For India, data come from the Indian National Innovation Survey and refer to 2010-11. The sample is drawn from the Indian Annual Survey of Industries 2009-10 database. The data do not include ongoing or abandoned innovative activities. The sectoral coverage is broader than that of the CIS and also includes: ISIC Rev. 4 Sections A, F and all service activities except for Sections T and U.

For Israel, data come from the Israel Innovation Survey, 2010-12. The sectoral and size coverage of enterprises matches the CIS scope.

For Japan, data come from the Japanese National Innovation Survey (J-NIS 2012). Data refer to the financial years 2009/10, 2010/11 and 2011/12. The sectoral and size coverage of enterprises matches the CIS scope.

For Korea, data come from the Korean Innovation Survey. The survey is carried out separately for manufacturing and services, but all data refer to the period 2011-13. The sectoral coverage is smaller than CIS for the industrial sector and includes ISIC Rev. 4 Section C, Manufacturing only. All services are covered except for Section (O) Public administration and defence; compulsory social security.

For the Russian Federation, data refer to 2011-13 and firms with 15 or more employees. The industries surveyed differ from the CIS core coverage. ISIC Rev. 3.1 Sections C, Mining and quarrying; D, Manufacturing; E, Electricity, gas and water supply; and Divisions 64, 72, 73 and 74 for services are covered.

For Switzerland, data come from the Survey of Innovation Activities in the Swiss Economy, 2013. Data refer to 2010-12. The sectoral and size coverage of enterprises matches the CIS scope.



## 3. CONNECTING TO KNOWLEDGE

### Notes and references

#### *Additional notes:*

##### **External sources of knowledge for innovation, by type, 2010-12**

For countries following the Eurostat CIS 2012, Brazil, Israel, Japan, Korea, the Russian Federation and Switzerland, the data on sources of knowledge for innovation include product or process innovative firms (including ongoing or abandoned innovation activities).

For Australia and Colombia, data on sources of knowledge for innovation include product, process, marketing or organisational innovative firms (including ongoing or abandoned innovation activities). Marketing and organisational innovators are less likely to be engaged in relations with institutions. The Australian questionnaire asks only whether the relevant source was used, not the importance of the source.

For Chile, data on sources of knowledge for innovation include product, process, marketing or organisational innovative firms (ongoing or abandoned innovative activities are not identified). Marketing and organisational innovators are less likely to be engaged in relations with institutions.

##### **Externally developed goods and services innovation, by size, 2010-12**

For Canada, data come from the Survey of Innovation and Business Strategy (SIBS) 2012 and refer to 2010-12. For Canada, the indicator refers to all types of product innovation, as the question on product innovation is not broken down by goods and services innovation. The survey covered firms with 20 or more employees and with at least CAD 250 000 annual revenue in 2009. The industries covered are NAICS (2007) 31-33, 41, 48, 49, 51, 52 and 54.

##### **Firms engaging in collaboration on innovation, by R&D status, 2010-12**

For countries following the Eurostat CIS 2012, Brazil, Israel, Japan and Korea, the data on innovation collaboration include product or process innovative firms (including ongoing or abandoned innovation activities).

For Australia and Colombia, data on innovation collaboration include product, process, marketing or organisational innovative firms (including ongoing or abandoned innovation activities). Marketing and organisational innovators are less likely to be involved in collaboration.

For Chile, data on innovation collaboration include product, process, marketing or organisational innovative firms. Ongoing or abandoned innovative activities are not identified. Marketing and organisational innovators are less likely to be involved in collaboration.

For Spain, R&D status corresponds to 2012 only.

### 3.10. Collaboration on innovation

#### **General notes for all figures:**

See under 3.9 in addition to the following:

For countries following the Eurostat CIS 2012, Brazil, Israel, Japan, Korea and Switzerland, the data on innovation collaboration include product or process innovative firms (including ongoing or abandoned innovation activities).

For Australia and Colombia, data on innovation collaboration include product, process, marketing or organisational innovative firms (including ongoing or abandoned innovation activities). Marketing and organisational innovators are less likely to be involved in collaboration.

For Chile, data on innovation collaboration include product, process, marketing or organisational innovative firms. Ongoing or abandoned innovative activities are not identified. Marketing and organisational innovators are less likely to be involved in collaboration.

## References

- Appelt, S., F. Galindo-Rueda, R. de Pinho and B. van Beuzekom (2015), “Which factors influence the international mobility of research scientists?”, *OECD Science, Technology and Industry Working Papers*, No. 2015/02, OECD Publishing, Paris, <http://dx.doi.org/10.1787/5js1tmrr2233-en>.
- Elsevier (2011), “International Comparative Performance of the UK Research Base: 2011”, Report commissioned by the UK Department for Business, Innovation and Skills.
- González-Pereira, B., V.P. Guerrero-Bote and F. Moya-Anegón (2010), “A new approach to the metric of journals’ scientific prestige: The SJR indicator”, *Journal of Informetrics*, Vol. 4, No. 3, pp. 379-391, <http://dx.doi.org/10.1016/j.joi.2010.03.002>.
- Moed, H., M. Aisati and A. Plume (2013), “Studying Scientific Migration in Scopus”, *Scientometrics*, Vol. 94, No. 3, pp. 929-942.
- Moya-Anegón, F., V.P. Guerrero-Bote, L. Bornmann and H. Moed (2013), “The research guarantors of scientific papers and the output counting: A promising new approach”, *Scientometrics*, Vol. 97, No. 2, pp. 421-434.
- OECD (2015a), *Frascati Manual 2015: Guidelines for Collecting and Reporting Data on Research and Experimental Development*, The Measurement of Scientific, Technological and Innovation Activities, OECD Publishing, Paris, <http://dx.doi.org/10.1787/9789264239012-en>.
- OECD (2015b), *BEPS Action 11: Improving the analysis of BEPS*, Base Erosion and Profit Shifting (BEPS), Public Discussion Draft, [www.oecd.org/ctp/tax-policy/discussion-draft-action-11-data-analysis.pdf](http://www.oecd.org/ctp/tax-policy/discussion-draft-action-11-data-analysis.pdf), accessed on 28 July 2015.
- OECD (2015c), *Making Open Science a Reality*, OECD Publishing (forthcoming).
- OECD (2014), *Education at a Glance 2014: OECD Indicators*, OECD Publishing, Paris, <http://dx.doi.org/10.1787/eag-2014-en>.
- OECD (1990), “Proposed Standard Method of Compiling and Interpreting Technology Balance of Payments Data – TBP Manual 1990”, *The Measurement of Scientific and Technological Activities Series*, OECD Publishing, Paris, <http://dx.doi.org/10.1787/9789264065567-en>.
- OECD/European Union (2015), *Indicators of Immigrant Integration 2015: Settling In*, OECD Publishing, Paris, <http://dx.doi.org/10.1787/9789264234024-en>.
- OECD/Eurostat (2005), *Oslo Manual: Guidelines for Collecting and Interpreting Innovation Data*, 3rd edition, The Measurement of Scientific and Technological Activities, OECD Publishing, Paris, <http://dx.doi.org/10.1787/9789264013100-en>.
- OECD and SCImago Research Group (CSIC) (2015), *Compendium of Bibliometric Science Indicators 2014*, <http://oe.cd/scientometrics>.
- United Nations, International Monetary Fund, Organisation for Economic Co-operation and Development, Statistical Office of the European Union, United Nations Conference on Trade and Development, World Tourism Organization, and World Trade Organization (2011), *A compilers’ guide for the Manual on Statistics of International Trade in Services 2010*, ST/ESA/M.86/Rev., the United Nations, New York, [http://unstats.un.org/unsd/publication/Seriesm/seriesM\\_86Rev1e.pdf](http://unstats.un.org/unsd/publication/Seriesm/seriesM_86Rev1e.pdf), accessed on 16 July 2015.
- WIPO (2013), *IPC – Technology Concordance Table*, [www.wipo.int/ipstats/en/statistics/technology\\_concordance.html](http://www.wipo.int/ipstats/en/statistics/technology_concordance.html), accessed on 1 June 2015.



**From:**  
**OECD Science, Technology and Industry  
Scoreboard 2015**  
Innovation for growth and society

**Access the complete publication at:**  
[https://doi.org/10.1787/sti\\_scoreboard-2015-en](https://doi.org/10.1787/sti_scoreboard-2015-en)

**Please cite this chapter as:**

OECD (2015), "Open access to research", in *OECD Science, Technology and Industry Scoreboard 2015: Innovation for growth and society*, OECD Publishing, Paris.

DOI: [https://doi.org/10.1787/sti\\_scoreboard-2015-20-en](https://doi.org/10.1787/sti_scoreboard-2015-20-en)

This work is published under the responsibility of the Secretary-General of the OECD. The opinions expressed and arguments employed herein do not necessarily reflect the official views of OECD member countries.

This document and any map included herein are without prejudice to the status of or sovereignty over any territory, to the delimitation of international frontiers and boundaries and to the name of any territory, city or area.

You can copy, download or print OECD content for your own use, and you can include excerpts from OECD publications, databases and multimedia products in your own documents, presentations, blogs, websites and teaching materials, provided that suitable acknowledgment of OECD as source and copyright owner is given. All requests for public or commercial use and translation rights should be submitted to [rights@oecd.org](mailto:rights@oecd.org). Requests for permission to photocopy portions of this material for public or commercial use shall be addressed directly to the Copyright Clearance Center (CCC) at [info@copyright.com](mailto:info@copyright.com) or the Centre français d'exploitation du droit de copie (CFC) at [contact@cfcopies.com](mailto:contact@cfcopies.com).